



PROPOSED UPGRADE OF ARUA AIRPORT LOCATED IN ARUA DISTRICT – WEST NILE, UGANDA



ENVIRONMENTAL AND SOCIAL MANAGEMENT PLAN (ESMP)

AN ENVIRONMENTAL & SOCIAL COMMITMENT PLAN

SUBMITTED BY:

Uganda Civil Aviation Authority

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General considerations

1. [Government of Uganda through the Uganda Civil Aviation Authority (UCAA) is planning to upgrade Arua Airport (the **Project**); located in Arua district – west Nile, Uganda The African Development Bank (the Bank) agreed to provide financing, implementation support and monitoring for the Project.
2. [UCAA] will implement measures and actions of this Environmental and Social Management Plan¹ (**ESMP**) so that the Project meets all the requirements of the Bank Environmental and Social Operational Safeguards (**OS**) and the National policy and legal requirements.
3. Where the ESMP refers to specific plans, whether they have already been prepared or are to be developed, the ESMP requires compliance with all mandatory provisions of such plans.
4. The table below summarizes the material measures and actions that are required, the basis of the requirement, the timing of the measure or action, and the criteria to be used for determining whether the required measure or action has been successfully achieved. [UCAA] is responsible for compliance with all requirements of the ESMP even when implementation of specific measures and actions is conducted by an entity different from the Project Implementation Unit (PIU).
5. Implementation of the material measures and actions set out in this ESMP will be monitored and reported to the Bank by [UCAA] as required by the ESMP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the measures and actions throughout implementation of the Project.
6. As agreed by the Bank and [UCAA], this ESMP may be revised from time to time during Project implementation, to reflect adaptive risk management of project changes and unforeseen circumstances or in response to assessment of project performance conducted under the ESMP itself. In such circumstances, [UCAA] will propose and agree changes with the Bank, and then update the ESMP to reflect such changes.

No.	Material Actions² to Manage the Project's E&S Risks and Impacts	Basis Requirement for	Key Performance Indicator	Indicative Timing/Deadline
1	Conduct an Environmental and Social Impact Assessment (ESIA)	NEA, 2019, OS1 and OS6	ESIA report, and ESIA Certificate approved and issued by NEMA	Already done
2	Conduct a Resettlement Action Plan (RAP)	NEA, 2019 and OS5	The RAP report and approval from the Chief Government Valuer (CGV)	Land already for the project is secured
3	Development of a project Stakeholder Engagement Plan (SEP)	OS1, OS2, OS5, and OS10; Bank's Disclosure and	Inclusive and meaningful SEP	Already done

¹ The ESMP refers to all the E&S risks/impacts and measures, as approved in all the disclosed E&S documents and agreed between the Bank and Borrower. For projects involving multiple subprojects, that are identified, prepared and implemented during the course of the project, the Borrower will need to demonstrate to the Bank, before the project appraisal, through the preparation of E&S documentation of a sample of subprojects, that it has the capacity to carry out appropriate environmental and social assessment of subprojects, and prepare and implement such subprojects in accordance with the national laws and the OSs. (*Section III.2.3 of Bank's ESP and section D of OSI*)

² Please add any relevant key actions and/or indicate "Not applicable" in the third column ("Basis for requirement") for actions that are not applicable to the project.

No.	Material Actions² to Manage the Project's E&S Risks and Impacts	Basis Requirement for	Key Performance Indicator	Indicative Timing/Deadline
		Access to Information Policy		
4	Periodic E&S implementation report to the Bank	Bank's ESP and OS1	Reports submitted in time, in good standard	Mo
5	Designate a buffer zone around the proposed airport site	Protecting Communities from the Airport activities	Designated buffer zone	Before Commencement of Civil Works Activities
6	Establish the E and S unit	Disclosed ESIA, OS1	Established E and S unit Recruitment of E and S Personnel	By the Commencement of Civil Works Activities
7	Establishment of the Project Grievance Redress Mechanism (GRM) and disclosure to Public	OS1, OS2, OS5 and National requirements	Grievance Management Committees formed	By the project effectiveness
9	Appropriate and timely handling of complaints/grievances	Bank's ESP and OS1	Grievance log	Throughout the implementation period
11	Incorporation of site-specific E&S measures in the request for proposals	SO1 & national requirements	Bidding document incorporating site-specific E&S measures	Prior to issuance of RFP
12	Submission of high-risk activity's Contractor ESMP (C-ESMP) to Bank clearance	Bank's ESP and OS1	C-ESMP approved by the Bank	Prior to commencement of civil works
13	Obtaining nationally required licenses prior commencement of subjected activities (excavations, tree-cutting, working at height, working in confined spaces, etc.)	OS1, OS2 and national labor laws	Licenses, certificates, approvals and consents obtained	Prior to commencement of civil works
14	Preparation, approval, and disclosure of specific E&S documents during Project implementation, including prior review of Category 1 terms of reference by the Bank	Bank's ESP, OS1 and national requirements	Adequate E&S instruments prepared	Prior to commencement of works at the specific sites
15	Establishment of Emergency Preparedness and Response mechanism	OS1 & OS4, national legislation on contingencies et	Adequate Emergency Preparedness and Response prepared	Already done
16	Capacity building of key project implementers	OS1	Capacity Improvement Plan Approved and implemented	Throughout the implementation period

No.	<i>Material Actions² to Manage the Project's E&S Risks and Impacts</i>	<i>Basis Requirement for</i>	<i>Key Performance Indicator</i>	<i>Indicative Timing/Deadline</i>
17	Implementation of ESMS/ESAP	OS1 and, national requirements	ESHS Monitoring Reports	Throughout the implementation period
19	Prepare the root-cause analysis (RCA) of any fatal EOHS incident and implement the Corrective Action Plan (CAP).	Bank's ESP and OS1	Root-cause analysis report	Within 14 days of an incident
20	Disclosure of Project's E&S reports to the public	OS1, OS10, Bank's Disclosure and Access to Information Policy	Quality ESHS reports disclosed	By the project effectiveness
22	Implementation of complimentary initiatives, safeguards against HIV/GBV and Livelihoods restoration strategies	OS1, OS5, OS2, OS10	Approved Livelihoods restoration Plan, Reports from Nominated Service Provider (NSP),	Throughout the implementation period