

# STATUTORY INSTRUMENTS

2019 No.....

## THE CIVIL AVIATION (AERONAUTICAL COMMUNICATION SYSTEMS) REGULATIONS, 2019

### ARRANGEMENT OF REGULATIONS

#### PART I - PRELIMINARY

##### *Regulation*

1. Title.
2. Interpretation.
3. Application

#### PART II - GENERAL REQUIREMENTS

4. Requirements for communication, navigation and surveillance facility.
5. Certification
6. Application for approval.
7. Siting and installation.
8. Installation, operation and maintenance of communication, navigation and surveillance.
9. Commissioning of a facility
10. Inspections and Audits
11. Availability and reliability.
12. Test equipment.
13. Record keeping.
14. Documentation.
15. Periodic inspection and testing.
16. Flight inspection.
17. Operation and maintenance plan.
18. Training requirements for communication, navigation and surveillance personnel.
19. Communication, navigation and surveillance personnel requirements.

#### PART III - AERONAUTICAL TELECOMMUNICATION NETWORK

20. Support of aeronautical telecommunication network application.
21. Implementation of aeronautical telecommunication network.
22. Aeronautical telecommunication network applications requirements.
23. Air-ground applications.
24. Ground-ground applications.
25. Aeronautical telecommunication network communications service requirements.
26. Aeronautical telecommunication network or open system interconnection upper layer communications service.
27. Aeronautical telecommunication network or internet protocol suite communications service.
28. Aeronautical telecommunication network or open system interconnection communications service.
29. Aeronautical telecommunication network naming and addressing requirements.

30. ATN security requirements.

#### **PART IV - AERONAUTICAL MOBILE-SATELLITE (ROUTE) SERVICE**

31. Aeronautical mobile-satellite (route) service.

32. RF characteristics.

33. Priority and pre-emptive access.

34. Signal acquisition and tracking.

##### *Performance Requirements*

35. Designated operational coverage.

36. Failure notification.

37. AES requirements.

38. Packet data service performance.

39. Delay parameters.

40. Integrity.

41. Voice service performance.

42. Call processing delay.

43. Voice quality.

44. Voice capacity.

45. Security.

46. System interfaces.

47. Packet data service interfaces.

#### **PART V - SECONDARY SURVEILLANCE RADAR MODE S AIR-GROUND DATA LINK**

48. Air ground data link communication.

#### **PART VI- VERY HIGH FREQUENCY AIR-GROUND DIGITAL LINK (VDL)**

49. Radio channels and functional channels.

50. System capabilities.

51. Air-ground VHF digital link communications system characteristics.

52. System characteristics of the ground installations for VHF air-ground digital link.

53. System characteristics of the aircraft installation.

54. Physical layer protocols and services.

55. Link layer protocols and services.

56. Sub-network layer protocols and services.

57. The VDL mobile sub network dependent convergence function.

##### *VDL Mode 3*

58. VDL Mode 3 sub network dependent convergence function.

59. Voice unit for Mode 3 services.

60. Voice Unit for Mode 3 speech encoding, parameters and procedures.

##### *VDL Mode 4*

61. VDL Mode 4 radio channels.

62. VDL Mode 4 system capabilities.

63. Coordination of channel utilisation.

#### **PART VII - AERONAUTICAL FIXED TELECOMMUNICATION NETWORK**

64. Characteristics of interregional aeronautical fixed service circuits.

65. Technical provisions relating to international ground-ground data interchange at medium and higher signalling rates.
66. Aircraft addressing system.

#### **PART VIII – POINT-TO-MULTIPOINT COMMUNICATIONS**

67. Service via satellite for the dissemination of aeronautical information.
68. Service via satellite for the dissemination of World Area Forecast System products.

#### **PART IX – HIGH FREQUENCY DATA LINK SYSTEM**

69. System architecture.
70. Aircraft and ground station subsystems.
71. Operational coverage.
72. Requirements for carriage of HF DL equipment.
73. Ground station networking.
74. Ground station synchronization.
75. Quality of service.
76. HF data link protocol.
77. Ground management subsystem.

#### **PART X - UNIVERSAL ACCESS TRANSCEIVER (UAT)**

78. Universal access transceiver system characteristics of aircraft and ground stations.
79. Mandatory carriage requirements.

#### **PART XI - AERONAUTICAL MOBILE SERVICE**

80. Air-ground VHF communication system characteristics .
81. Single side band (SSB) HF communication system characteristics.
82. SELCAL system.

#### **PART XII - AERONAUTICAL SPEECH CIRCUITS**

83. Technical provisions relating to international aeronautical speech circuit switching and signalling for ground-ground applications.

#### **PART XIII - EMERGENCY LOCATOR TRANSMITTER FOR SEARCH AND RESCUE**

84. Operating frequencies.
85. Emergency locator transmitters register.
86. Specification for the 121.5 MHz component of emergency locator transmitter.
87. Specification for the 406 MHz component of emergency locator transmitter.
88. Transmitter identification coding.

#### **PART XIV -EXEMPTIONS**

89. Application for exemption.
90. Review and publication.
91. Evaluation of the request.

#### **PART XV- OFFENCES AND PENALTIES**

92. Contravention of Regulations.
93. Offences and penalties.

## **PART XVI- SAVINGS**

### 94. Savings

#### **SCHEDULES**

SCHEDULE 1-TABLES FOR AERONAUTICAL TELECOMMUNICATIONS  
NETWORK (ATN) MAPPING

SCHEDULE 2-SSR MODE S AIR GROUND DATA LINK

SCHEDULE 3-DCE AND XDCE STATE TABLES

SCHEDULE 4-MODE S PACKET FORMATS

SCHEDULE 5-VHF AIR GROUND DIGITAL LINK (VDL)

SCHEDULE 6- VHF AIR GROUND DIGITAL LINK (VDL)

SCHEDULE 7-VHF AIR GROUND DIGITAL LINK SYSTEMS

SCHEDULE 8-VDL MODE 4

SCHEDULE 9-VHF AIR GROUND DIGITAL LINK SYSTEMS

SCHEDULE 10- VHF AIR GROUND DIGITAL LINK SYSTEMS

SCHEDULE 11-TECHNICAL PROVISIONS RELATING TO INTERNATIONAL  
GROUND-GROUND DATA INTERCHANGE AT MEDIUM AND  
HIGHER SIGNALLING RATES

SCHEDULE 12-A WORLDWIDE SCHEME FOR THE ALLOCATION,  
ASSIGNMENT AND APPLICATION OF AIRCRAFT ADDRESSES

SCHEDULE 13- HF DATA LINK PROTOCOL

SCHEDULE 14-UNIVERSAL ACCESS TRANSCEIVER (UAT)

SCHEDULE 15-AERONAUTICAL MOBILE SERVICE

SCHEDULE 16-SELCAL SYSTEM

SCHEDULE 17-EMERGENCY LOCATOR TRANSMITTER CODING

# STATUTORY INSTRUMENTS

2019 No.....

## **The Civil Aviation (Aeronautical Communication Systems) Regulations, 2019**

*(Under sections 34(2) (c) and 61 of the Civil Aviation Authority Act, Cap 354)*

In EXERCISE of the powers conferred on the Minister responsible for civil aviation by section 61 of the Civil Aviation Authority Act, Cap 354, and on the recommendation of the Civil Aviation Authority, these Regulations are made this.....day of.....2019.

### PART I - PRELIMINARY

#### **1. Title.**

These Regulations may be cited as the Civil Aviation (Aeronautical Communication Systems) Regulations, 2019.

#### **2. Interpretation.**

In these Regulations unless the context otherwise requires-

“aeronautical administrative communications (AAC)” means communications necessary for the exchange of aeronautical administrative messages;

“aeronautical operational control (AOC)” means communication required for the exercise of authority over the initiation, continuation, diversion or termination of flight for safety, regularity and efficiency reasons;

“aeronautical telecommunication network (ATN)” means a global internet work architecture that allows ground, air-ground and avionic data sub-networks to exchange digital data for the safety of air navigation and for the regular, efficient and economic operation of air traffic services;

“air traffic service” includes flight information service, alerting service, air traffic advisory service and air traffic control service;

“aircraft address” means a unique combination of 24 bits available for assignment to an aircraft for air-ground communications, navigation and surveillance;

“aircraft data circuit-terminating equipment (ADCE)” means an aircraft specific data circuit-terminating equipment that is associated with an airborne data link processor (ADLP) and operates a protocol unique to Mode S data link for data transfer between air and ground;

“aircraft data link processor (ADLP)” means an aircraft-resident processor that is specific to an air-ground data link such as Mode S and which provides channel management, segments or reassembles messages for transfer; is connected to one side of aircraft elements common to all data link systems and on the other side to the air-ground link itself;

“aircraft earth station (AES)” means a mobile earth station in the aeronautical mobile-satellite service located on board an aircraft;

“air-initiated protocol” means a procedure initiated by a Mode S aircraft installation for delivering a standard length or extended length downlink message to the ground;

“Air Navigation Services” means services provided to air traffic during all phases of operations including air traffic management, communication, navigation and surveillance, meteorological services for air navigation, search and rescue, aeronautical information services and construction of instrument flight procedures;

“air navigation services provider” means an independent entity for the purposes of operating and managing air navigation services and authorised to manage and use the revenues it generates to cover its costs;

“ATN end-system” means an ATN host in Internet Protocol Suites;

“ATN host” means an ATN end-system in Open System Interconnection model;

“ATN/IPS” means Aeronautical Telecommunication Network/Internet Protocol Suite;

“Authority” means the Uganda Civil Aviation Authority established under the Act;

“automatic dependent surveillance — contract (ADS-C)” means ways by which the terms of an ADS-C agreement will be exchanged between the ground system and the aircraft, via a data link, specifying under what conditions ADS-C reports would be initiated, and what data would be contained in the reports;

“automatic dependent surveillance-broadcast (ADS-B)” means ways by which aircraft, aerodrome vehicles and other objects can automatically transmit or receive data such as identification, position and additional data, as appropriate, in a broadcast mode via a data link;

“automatic terminal information service (ATIS)” means the automatic provision of current, routine information to arriving and departing aircraft throughout 24 hours or a specified portion;

“BDS Comm-B data selector” means the 8-bit BDS code that determines the register whose contents are to be transferred in the MB-Comm-B field and is expressed in two groups of 4 bits each, BDS1 (most significant 4 bits) and BDS2 (least significant 4 bits);

“Bit error rate (BER)” means the number of bit errors in a sample divided by the total number of bits in the sample, generally averaged over many such samples;

“broadcast” means-

- (a) a transmission of information relating to air navigation that is not addressed to a specific station or stations; or
- (b) the protocol within the Mode S system that permits uplink messages to be sent to all aircraft in coverage area and downlink messages to be made available to all interrogators that have the aircraft wishing to send the message under surveillance;

“burst” means a time-defined, contiguous set of one or more related signal units which may convey user information and protocols, signalling, and any necessary preamble;

“capability report” means information identifying whether the transponder has a data link capability as reported in the capability field of an all-call reply or squitter transmission;

“close-out” means a command from a Mode S interrogator that terminates a Mode S link layer communication transaction;

“cluster of interrogators” means two or more interrogators with the same interrogator identifier (II) code, operating cooperatively to ensure that there is no interference to the required surveillance and data link performance of each of the interrogators, in areas of common coverage;

“coded chip” means a “1” or “0” output of the rate  $\frac{1}{2}$  or  $\frac{1}{4}$  convolutional code encoder;

“Comm-A” means a 112-bit interrogation containing the 56-bit MA message field and is used by the uplink standard length message (SLM) and broadcast protocols;

“Comm-B” means a 112-bit reply containing the 56-bit MB message field and is used by the downlink SLM, ground-initiated and broadcast protocols;

“Comm-C” means a 112-bit interrogation containing the 80-bit MC message field and is used by the uplink extended length message (ELM) protocol;

“Comm-D” means a 112-bit reply containing the 80-bit MD message field. This field is used by the downlink ELM protocol;

“connection” means a logical association between peer-level entities in a communication system;

“connection establishment delay” means connection establishment delay, as defined in ISO 8348, includes a component, attributable to the called subnetwork (SN) service user, which is the time between the SN-CONNECT indication and the SN-CONNECT response: this user component is due to actions outside the boundaries of the satellite subnetwork and is therefore excluded from the AMS(R)S specifications;

“controller pilot data link communications (CPDLC)” means a means of communication between controller and pilot, using data link for ATC communications;

“COSPAS-SARSAT” means Space System for Search of vessels in distress) – (Search and Rescue Satellite-Aided Tracking);

“data circuit-terminating equipment (DCE)” means a DCE is a network provider equipment used to facilitate communications between DTEs;

“data link capability report” means information in a Comm-B reply identifying the complete Mode S communications capabilities of the aircraft installation;

“data link entity (DLE)” means a protocol State machine capable of setting up and managing a single data link connection;

“data link flight information services (D-FIS)” means the provision of FIS via data link;

“data link service (DLS) sublayer” means the sublayer that resides above the MAC sublayer: for VDL Mode 4, the DLS sublayer resides above the VSS sublayer; the DLS manages the transmit queue, creates

and destroys DLEs for connection oriented communications, provides facilities for the LME to manage the DLS, and provides facilities for connectionless communications;

“data link-automatic terminal information service (D-ATIS) means the provision of ATIS via data link;

“data signalling rate” means the passage of information per unit of time and is expressed in bits/second and is given by the formula:

$$\sum_{i=1}^{i=m} \frac{1}{T_i} \log_2 n_i$$

where  $m$  is the number of parallel channels,  $T_i$  is the minimum interval for the  $i$ th channel expressed in seconds,  $n_i$  is the number of significant conditions of the modulation in the  $i$ th channel;

“data terminal equipment (DTE)” means an endpoint of a subnetwork connection;

“data transfer delay (95th percentile)” means the 95th percentile of the statistical distribution of delays for which transit delay is the average;

“data transit delay” means in accordance with ISO 8348, the average value of the statistical distribution of data delays: this delay represents the subnetwork delay and does not include the connection establishment delay;

“degree of standardized test distortion” means the degree of distortion of the restitution measured during a specific period of time when the modulation is perfect and corresponds to a specific text;

“designated operational coverage (DOC) area” means the area in which a particular service is provided and in which the service is afforded frequency protection;

“direct link service (DLS)” means a data communications service which makes no attempt to automatically correct errors, detected or undetected, at the link layer of the air-ground communications path;

“doppler shift” means the frequency shift observed at a receiver due to any relative motion between transmitter and receiver;

“downlink ELM (DELM)” means extended length downlink communication by means of 112-bit Mode S Comm-D replies, each containing the 80-bit Comm-D message field (MD);

“downlink” means the transmission of data from an aircraft to the ground: mode S air-to-ground signals are transmitted on the 1 090 MHz reply frequency channel;

“end-to-end” means in relation to an entire communication path, from the interface between the information source and the communication system at the transmitting end to the interface between the communication system and the information user or processor or application at the receiving end;

“end-user” means an ultimate source or consumer of information;

“extended Golay Code” means an error correction code capable of correcting multiple bit errors;

“extended length message (ELM)” means a series of Comm-C interrogations (uplink ELM) transmitted without the requirement for intervening replies, or a series of Comm-D replies (downlink ELM) transmitted without intervening interrogations;

“flight information service (FIS)” means a service provided for the purpose of giving advice and information useful for the safe and efficient conduct of flights;

“forward error correction (FEC)” means the process of adding redundant information to the transmitted signal in a manner which allows correction, at the receiver, of errors incurred in the transmission;

“frame” means-

- (a) a link layer frame composed of a sequence of address, control, FCS and information fields: for VDL Mode 2, these fields are bracketed by opening and closing flag sequences, and a frame may or may not include a variable-length information field;
- (b) the basic unit of transfer at the link level;
- (c) in the context of Mode S subnetwork, includes from one to four Comm-A or Comm-B segments, from two to sixteen Comm-C segments, or from one to sixteen Comm-D segments;

“Gaussian filtered frequency shift keying (GFSK)” means a continuous-phase, frequency shift keying technique using two tones and a Gaussian pulse shape filter;

“global signalling channel (GSC)” means a channel available on a worldwide basis which provides for communication control;

“ground data circuit-terminating equipment (GDCE)” means a ground specific data circuit-terminating equipment associated with a ground data link processor (GDLP) and operates a protocol unique to Mode S data link for data transfer between air and ground;

“ground data link processor (GDLP)” means a ground-resident processor that is specific to an air-ground data link (e.g. Mode S), and which provides channel management, and segments or reassembles messages for transfer connected on one side (by means of its DCE) to ground elements common to all data link systems, and on the other side to the air-ground link itself;

“ground earth station (GES)” means an earth station in the fixed satellite service, or, in some cases, in the aeronautical mobile-satellite service, located at a specified fixed point on land to provide a feeder link for the aeronautical mobile satellite service;

“ground-initiated Comm-B (GICB)” means the ground-initiated Comm-B protocol that allows the interrogator to extract Comm-B replies containing data from a defined source in the MB field;

“HFDDL” means high frequency data link;

“HFNPDU” means high frequency network protocol data unit;

“high frequency network protocol data unit” means user data packet;

“link layer” means the layer that lies immediately above the physical layer in the open systems interconnection protocol model;

“link management entity (LME)” means a protocol State machine capable of acquiring, establishing and maintaining a connection to a single peer system: the link establishes data link and subnetwork connections, “hands-off” those connections, manages the media access control sublayer and physical layer, and is deleted when communication with the peer system is no longer viable;

“link” means a link that connects an aircraft DLE and a ground DLE and is uniquely specified by the combination of aircraft DLS address and the ground DLS address: a different subnetwork entity resides above every link endpoint;

“link protocol data unit (LPDU)” means data unit which encapsulates a segment of an HFNPDU;

“low modulation rates” means modulation rates up to and including 300 bauds;

“M burst” means a management channel data block of bits used in VDL Mode 3 and contains signalling information needed for media access and link status monitoring;

“margin” means the maximum degree of distortion of the circuit at the end of which the apparatus is situated which is compatible with the correct translation of all the signals which it may possibly receive;

“M-ary phase shift keying (M-PSK) modulation” means a digital phase modulation that causes the phase of the carrier waveform to take on one of a set of M values;

“media access control (MAC)” means the sublayer that acquires the data path and controls the movement of bits over the data path;

“media access protocol data unit (MPDU)” means data unit which encapsulates one or more LPDUs;

“Mode 2” means a data-only VDL mode that uses D8PSK modulation and a carrier sense multiple access (CSMA) control scheme;

“Mode 3” means a voice and data VDL mode that uses D8PSK modulation and a TDMA media access control scheme;

“Mode 4” means a data-only VDL mode using a GFSK modulation scheme and self-organizing time division multiple access (STDMA);

“Mode S packet” means a packet conforming to the Mode S subnetwork standard, designed to minimise the bandwidth required from the air-ground link: ISO 8208 packets may be transformed into Mode S packets and vice-versa.

“Mode S specific protocol (MSP)” means a protocol that provides restricted datagram service within the Mode S subnetwork;

“Mode S specific services entity (SSE)” means an entity resident within an XDLP to provide access to the Mode S specific services;

“Mode S specific services” means a set of communication services provided by the Mode S system which are not available from other air-ground subnetworks, and therefore not interoperable;

“Mode S subnetwork” means a mode of performing an interchange of digital data using secondary surveillance radar (SSR) Mode S interrogators and transponders in accordance with defined protocols;

“modulation rate” means the reciprocal of the unit interval measured in seconds and the rate is expressed in bauds;

“M-PSK symbol” means one of the M possible phase shifts of the M-PSK modulated carrier representing a group of  $\log_2 M$  coded chips;

“optimum sampling point” means the optimum sampling point of a received UAT bit stream is at the nominal centre of each bit period, when the frequency offset is either plus or minus 312.5 kHz;

“packet” means the basic unit of data transfer among communication devices within the network layer;

“peak envelope power (PEP)” means the peak power of the modulated signal supplied by the transmitter to the antenna transmission line;

“physical layer protocol data unit (PPDU)” means data unit passed to the physical layer for transmission or decoded by the physical layer after reception;

“physical layer” means the lowest level layer in the open systems interconnection protocol model: the physical layer is concerned with the transmission of binary information over the physical medium such as VHF radio;

“point-to-point” means the interconnection of two devices, particularly end-user instruments: a communication path of service intended to connect two discrete end-users; as distinguished from broadcast or multipoint service;

“power measurement point (PMP)” means a point at the end of a cable that is attached to an antenna for an antenna to UAT equipment connection: all power measurements are considered as being made at the PMP unless otherwise specified and the cable connecting the UAT equipment to the antenna is assumed to have 3 dB of loss;

“pseudorandom message data block” means a performance testing requirement for UAT which has statistical properties that are nearly indistinguishable from those of a true random selection of bits for instance each bit should have (nearly) equal probability of being a one or a zero independent of its neighbouring bits: there should be a large number of such pseudorandom message data blocks for each message type (Basic ADS-B, Long ADS-B or Ground Uplink) to provide sufficient independent data for statistical performance measurement;

“quality of service (QOS)” means the information relating to data transfer characteristics used by various communications protocols to achieve various levels of performance for network users;

“reed-Solomon code” means an error correction code capable of correcting symbol errors: since symbol errors are collections of bits, these codes provide good burst error correction capabilities;

“reliable link service (RLS)” means a data communications service provided by the subnetwork which automatically provides for error control over its link through error detection and requested retransmission of signal units found to be in error;

“residual error rate” means the ratio of incorrect, lost and duplicate subnetwork service data units (SNSDUs) to the total number of SNSDUs that were sent;

“segment” means a portion of a message that can be accommodated within a single MA/MB field in the case of a standard-length message, or MC/MD field in the case of an extended length message;

“SELCAL” means Selective Calling System;

“self-organizing time division multiple access (STDMA)” means a multiple access scheme based on time-shared use of a radio frequency (RF) channel employing-

- (a) discrete contiguous time slots as the fundamental shared resource; and
- (b) a set of operating protocols that allows users to mediate access to these time slots without reliance on a master control station;

“service volume” means a part of the facility coverage where the facility provides a particular service in accordance with relevant SARPs and within which the facility is afforded frequency protection;

“slot” means one of a series of consecutive time intervals of equal duration: each burst transmission starts at the beginning of a slot;

“squitter protocol data unit (SPDU)” means data packet which is broadcast every 32 seconds by an HF DL ground station on each of its operating frequencies, and which contains link management information;

“standard length message (SLM)” means an exchange of digital data using selectively addressed Comm-A interrogations or Comm-B replies;

“standard UAT receiver” means a general purpose UAT receiver satisfying the minimum rejection requirements of interference from adjacent frequency distance measuring equipment (DME);

“subnetwork” means-

- (a) an implementation of a data network that employs a homogeneous protocol and addressing plan, and is under the control of a single authority; or
- (b) network (N) in ISO 8348 whenever it appears in relation to the sub network layer packet data performance;

“subnetwork service data unit (SNSDU)” means an amount of sub network user data, the identity of which is preserved from one end of a sub network connection to the other;

“subnetwork connection” means a long-term association between an aircraft DTE and a ground DTE using successive virtual calls to maintain context across link handoff;

“subnetwork dependent convergence function (SND CF)” means a function that matches the characteristics and services of a subnetwork to those characteristics and services required by the internetwork facility;

“subnetwork entity” means a packet layer entity as defined in ISO 8208 and includes-

- (a) “ground DCE”: the subnetwork entity in a ground station communicating with an aircraft;
- (b) “ground DTE”: the subnetwork entity in a ground router communicating with an aircraft station; and
- (c) “aircraft DTE”: the subnetwork entity in an aircraft communicating with the station;

“subnetwork layer” means a layer that establishes, manages and terminates connections across a subnetwork;

“subnetwork management entity (SNME)” means an entity resident within a GDLP that performs subnetwork management and communicates with peer entities in intermediate or end-systems;

“successful message reception (SMR)” means the function within the UAT receiver for declaring a received message as valid for passing to an application that uses received UAT messages;

“synchronous operation” means operation in which the time interval between code units is a constant;

“system” means a VDL-capable entity that comprises one or more stations and the associated VDL management entity and may either be an aircraft system or a ground system;

“time division multiple access (TDMA)” means a multiple access scheme based on time-shared use of an RF channel employing-

- (a) discrete contiguous time slots as the fundamental shared resource; and
- (b) a set of operating protocols that allows users to interact with a master control station to mediate access to the channel;

“time division multiplex (TDM)” means a channel sharing strategy in which packets of information from the same source but with different destinations are sequenced in time on the same channel;

“timeout” means the cancellation of a transaction after one of the participating entities has failed to provide a required response within a pre-defined period of time;

“transit delay” means in packet data systems, the elapsed time between a request to transmit an assembled data packet and an indication at the receiving end that the corresponding packet has been received and is ready to be used or forwarded;

“UAT ADS-B message” means a message broadcasted once per second by each aircraft to convey state vector and other information and can be in one of two forms depending on the amount of information to be transmitted in a given second; the Basic UAT ADS-B Message or the Long UAT ADS-B Message; UAT ground stations can support traffic information service-broadcast (TIS-B) through transmission of individual ADS-B messages in the ADS-B segment of the UAT frame;

“UAT ground uplink message” means a message broadcasted by ground stations, within the ground segment of the UAT frame, to convey flight information such as text and graphical weather data, advisories, and other aeronautical information, to aircraft that are in the service volume of the ground station;

“Universal access transceiver (UAT)” means a broadcast data link operating on 978 MHz, with a modulation rate of 1.041667 Mbps;

“Uplink” means the transmission of data from the ground to an aircraft;

“Uplink ELM (UELM)” means extended length uplink communication by means of 112-bit Mode S Comm-C interrogations, each containing the 80-bit Comm-C message field (MC);

“UTC” means Coordinated Universal Time;

“user group” means a group of ground or aircraft stations which share voice or data connectivity in VDL: for voice communications, all members of a user group can access all communications whereas

for data, communications include point-to-point connectivity for air-to-ground messages, and point-to-point and broadcast connectivity for ground-to-air messages;

“VDL” means Very High Frequency Digital Link;

“VDL management entity (VME)” means a VDL-specific entity that provides the quality of service requested by the ATN-defined SN\_SME;

“VHF” means Very High Frequency;

“VHF digital link (VDL)” means a constituent mobile subnetwork of the aeronautical telecommunication network (ATN), operating in the aeronautical mobile VHF frequency band;

“vocoder” means a low bit rate voice encoder or decoder;

“voice unit” means device that provides a simplex audio and signalling interface between the user and VDL;

“VSS user” means a user of the VDL Mode 4 specific services;

“XDCE” means ADCE and the GDCE;

“XDLP” means ADLP and the GDLP.

### **3. Application**

These Regulations shall apply to a person who provides communication, navigation and surveillance within designated air spaces and at aerodromes.

## **PART II - GENERAL REQUIREMENTS**

### **4. Requirements for communication, navigation and surveillance facility.**

The installation, commissioning, operation and maintenance of communication, navigation and surveillance facilities shall conform to these Regulations.

### **5. Certification**

A person shall not provide communication, navigation and surveillance services or operate a facility to support air traffic services without air navigation services provider certificate issued in accordance with the Civil Aviation (Certification of Air Navigation Services) Regulations, 2019.

### **6. Application for approval.**

A person who wishes to provide communication, navigation and surveillance systems or to operate communication, navigation and surveillance facility in designated airspace and aerodromes shall apply to the Authority for approval.

### **7. Siting and installation.**

(1) The Air Navigation Services Provider shall determine the site for installation of a new facility based on operational requirements, construction aspects and maintainability.

(2) The facility referred to in subregulation (1) shall be installed by maintenance personnel who are qualified in the area of air navigation facilities and who have knowledge of the operations, testing and maintenance of the communication, navigation and surveillance facilities.

#### **8. Installation, operation and maintenance of communication, navigation and surveillance.**

The Air Navigation Services Provider shall establish procedures to ensure that the communication, navigation and surveillance systems –

- (a) are operated, maintained, available and reliable in accordance with the requirements prescribed by the Authority;
- (b) are designed to meet the applicable operational specification for that facility;
- (c) are installed and commissioned as prescribed by the Authority; and
- (d) conform to the applicable system characteristics and specifications.

#### **9. Commissioning of a facility**

- (1) The Authority shall be involved in the commissioning of communication, navigation and surveillance facilities to confirm that the facilities meet the standard operating parameters and these Regulations before the commencement of operations.
- (2) The Air Navigation Services Provider shall establish procedures to ensure that each new facility is commissioned to meet the specifications for that facility and is in compliance with these Regulations.
- (3) The Air Navigation Services Provider shall at the time of commissioning a facility referred to in sub regulation (1), validate the system performance for the new facility by carrying out the necessary tests.
- (4) The procedures referred to in sub regulation (2) shall include documentation of tests conducted on the facility prior to commissioning, including those that test the compliance of the facility with the applicable standards and any flight check required in compliance with these Regulations.

#### **10. Inspections and Audits**

- (1) The Authority shall carry out safety inspections and audits on communication, navigation and surveillance facilities, documents and records of the communication, navigation and surveillance facilities to determine compliance with these Regulations.
- (2) An inspector designated by the Authority shall have unrestricted access to the communication, navigation and surveillance facilities, records and documents of the facilities approved under these Regulations to determine compliance with these Regulations.

#### **11. Availability and reliability.**

The communication, navigation and surveillance facilities provider shall provide protected power supply system, battery back-up, reliable connectivity and air conditioning.

#### **12. Test equipment.**

- (1) The Air Navigation Services Provider shall provide appropriate tools and test equipment for personnel to maintain the operation of equipment.
- (2) The Air Navigation Services Provider shall establish a procedure to control, calibrate, and maintain all the equipment.
- (3) The maintenance plan or operating and maintenance instructions for each facility shall specify the test equipment requirements for all levels of operation and maintenance undertaken.
- (4) The Air Navigation Services Provider shall use documented procedures established under sub-regulation (2) to control, calibrate and maintain test equipment.

### **13. Record keeping.**

The Air Navigation Services Provider shall establish procedures to identify, collect, index, store, maintain and dispose records covering —

- (a) the performance and maintenance history of a facility;
- (b) the establishment of the periodic test programmes for each facility;
- (c) the test equipment required for the measurement of critical performance parameters;
- (d) the reported or detected facility malfunction;
- (e) the internal quality assurance review; and
- (f) a person authorised person to place facilities into operational service.

### **14. Documentation.**

The Air Navigation Services Provider shall—

- (a) keep copies of relevant equipment manuals, technical standards, practices, instructions, maintenance procedures, site logbooks and any other documentation that are necessary for the provision and operation of the facility;
- (b) record all occurrences and actions relating to operation, maintenance, modification, failure, faults, removal from and restoration to service in the log books; and
- (c) establish a procedure for the control of the documentation required under this regulation.

### **15. Periodic inspection and testing.**

- (1) The Air Navigation Services Provider shall establish a procedure for the periodic inspection and testing of the communication, navigation and surveillance systems to verify that each facility meets the performance specifications for that facility.
- (2) Periodic inspection referred to in sub regulation (1) shall include -
  - (a) security of the facility and site;
  - (b) adherence to the approved maintenance programme;
  - (c) upkeep of the equipment, building, site and site services; and
  - (d) adequacy of facility records and documentation.

### **16. Flight inspection.**

The Air Navigation Services Provider shall ensure that the radio navigation aids prescribed under these Regulations are available for use by aircraft engaged in air navigation and are subjected to periodic ground and flight inspection.

### **17. Operation and maintenance plan.**

(1) The Air Navigation Services Provider shall establish an operation and maintenance plan for the communication, navigation and surveillance facilities, to meet the safety requirements prescribed in these Regulations.

(2) The operation and maintenance plan established under subregulation (1) shall provide for the timely and appropriate detection and warning of system failures and degradations.

### **18. Training requirements for communication, navigation and surveillance personnel.**

(1) The Air Navigation Services Provider shall that all its personnel possess the skills and competencies required in the provision of the communication navigation and surveillance services.

(2) The Air Navigation Services Provider shall-

- (a) develop a training policy and programme for the organisation;
- (b) maintain training records and plan for the staff; and
- (c) conduct periodic review of the training plan.

### **19. Communication, navigation and surveillance personnel requirements.**

(1) The Air Navigation Services Provider shall employ sufficient number of competent personnel to perform the installation, operation and maintenance of communication, navigation and surveillance system in the designated airspace and aerodromes.

(2) The Air Navigation Services Provider shall provide in the Manual of Air Navigation Services Operations an analysis of the personnel required to perform the communication navigation and surveillance services for each facility taking into account the duties and workload required.

(3) A person shall not perform a function related to the installation, operation or maintenance of any communication, navigation and surveillance system unless—

- (a) that person has successfully completed training in the performance of that function; and
- (b) the Air Navigation Services Provider is satisfied that the technical person is competent in performing that function; and
- (c) that the technical person has been certified in accordance with these Regulations.

## **PART III - AERONAUTICAL TELECOMMUNICATION NETWORK**

### **20. Support of aeronautical telecommunication network application.**

(1) The aeronautical telecommunication network shall specifically and exclusively be used to provide digital data communications services to air navigation services organizations and operators in support of-

- (a) air traffic services communications with aircraft;

- (b) air traffic services communications between air traffic service units;
- (c) aeronautical operational control communications; and
- (d) aeronautical administrative communications.

(2) The aeronautical telecommunication network communication services referred to in subregulation (1) shall be supported by aeronautical telecommunication network applications.

## **21. Implementation of aeronautical telecommunication network.**

(1) The Implementation of the aeronautical telecommunication network shall be based on Afi Regional Air Navigation Agreements.

(2) The aeronautical telecommunication network shall either use International Organization for Standardization communication standards for open systems interconnection or the Internet Society communications standards for the internet protocol suite.

(3) The aeronautical fixed telecommunication network/aeronautical message handling system gateway shall ensure the interoperability of aeronautical fixed telecommunication network stations and networks with the aeronautical telecommunication network.

(4) An authorised path for the aeronautical fixed telecommunication network shall be defined based on a predefined routing policy.

(5) The aeronautical telecommunication network shall-

- (a) transmit, relay and deliver messages in accordance with the priority classifications and without discrimination or undue delay;
- (b) provide means to define data communications that can be carried only over authorised paths for the traffic type and category specified by the user;
- (c) provide communication in accordance with the Technical Guidance Manual on Required Communication Performance Doc 9869;
- (d) operate in accordance with the communication priorities specified in Table 1 and Table 2 of Schedule 1;
- (e) enable exchange of application information when one or more authorised paths exist;
- (f) notify the appropriate application processes when no authorised path exists;
- (g) make provisions for the efficient use of limited bandwidth sub-networks;
- (h) enable an aircraft intermediate system to connect to a ground intermediate system via different sub-networks;
- (i) enable an aircraft intermediate system to connect to different ground intermediate systems;
- (j) enable the exchange of address information between applications; and
- (k) be accurate to within 1 second of UTC where the absolute time of day is used.

## **22. Aeronautical telecommunication network applications requirements.**

(1) The aeronautical telecommunication network shall support the data link initiation capability applications when air-ground data links are implemented.

(2) The aeronautical telecommunication network open system interconnection end-system shall support the following directory services application functions when aeronautical message handling system and security protocols are implemented-

- (a) directory information retrieval; and
- (b) directory information modification.

### **23. Air-ground applications.**

The aeronautical telecommunication network shall support one or more of the following applications-

- (a) automatic dependent surveillance-contract;
- (b) controller pilot data link communication; and
- (c) flight information service including automatic terminal information service and meteorological reports.

### **24. Ground-ground applications.**

The aeronautical telecommunication network shall support the following applications-

- (a) air traffic service inter facility data communication; and
- (b) air traffic service message handling services applications.

### **25. Aeronautical telecommunication network communications service requirements.**

An aeronautical telecommunication network host shall support the aeronautical telecommunication network or internet protocol suite upper layers including an application layer.

### **26. Aeronautical telecommunication network or open system interconnection upper layer communications service.**

An aeronautical telecommunication network/open system interconnection end-system shall support the open system interconnection upper layer communications service including session, presentation and application layers.

### **27. Aeronautical telecommunication network or internet protocol suite communications service.**

(1) An aeronautical telecommunication network host shall support the aeronautical telecommunication network or internet protocol suite including-

- (a) transport layer in accordance with transmission control protocol and the user data ground protocol; and
- (b) network layer in accordance with internet protocol version 6.

(2) An internet protocol suite router shall support the aeronautical telecommunication network layer in accordance with internet protocol version 6, broader gateway protocol and broader gateway protocol multiprotocol extensions.

### **28. Aeronautical telecommunication network or open system interconnection communications service.**

(1) An aeronautical telecommunication network or open system interconnection end-system shall support the aeronautical telecommunication network including the -

- (a) transport layer in accordance with ISO/IEC 8073 (TP4) and optionally ISO/IEC 8602 (CLTP); and
- (b) network layer in accordance with ISO/IEC 8473 (CLNP).

(2) An ATN intermediate system shall support the aeronautical telecommunication network layer in accordance with ISO/IEC 8473 (CLNP) and ISO/IEC 10747 (IDRP).

### **29. Aeronautical telecommunication network naming and addressing requirements.**

- (1) The aeronautical telecommunication network shall make provisions for clear application, identification and addressing.
- (2) The aeronautical telecommunication network shall provide means to unambiguously address all aeronautical telecommunication network end-systems and intermediate systems.
- (3) The aeronautical telecommunication network addressing and naming plans shall allow the Authority to assign addresses and names.

### **30. ATN security requirements.**

- (1) The aeronautical telecommunication network shall-
  - (a) make provisions where only the controlling air traffic services unit within the Air Navigation Services Provider may provide air traffic control instructions to operators in Uganda's airspace;
  - (b) enable the recipient of a message to identify the originator of that message; and
  - (c) be protected against service attacks to a level consistent with the application service requirements.
- (2) Aeronautical telecommunication network end-systems supporting aeronautical telecommunication network security services shall be capable of authenticating the identity of peer end-systems, authenticating the source of messages and ensuring the data integrity of the messages.

## **PART IV - AERONAUTICAL MOBILE-SATELLITE (ROUTE) SERVICE**

### **31. Aeronautical mobile-satellite (route) service.**

- (1) A mobile-satellite system intended to provide aeronautical mobile-satellite (route) service shall conform to the requirements of these Regulations.
- (2) An aeronautical mobile-satellite (route) service system shall support packet data service, voice service or both.
- (3) The requirements for mandatory carriage of aeronautical mobile-satellite (route) service system equipment including the level of system capability shall be made on the basis of AFI Regional Air Navigation Agreements which specify the airspace of operation and the implementation timescales for the carriage of equipment and the level of system capability.
- (4) Notwithstanding subregulation (3), an operator shall be given at least two years notice to change the mandatory carriage of airborne systems.
- (5) The Authority shall coordinate with Government ministries, departments and agencies of Government in the implementation aspects of an aeronautical mobile-satellite (route) service system that permit worldwide interoperability and optimum use, as appropriate.

### **32. RF characteristics.**

(1) When providing aeronautical mobile-satellite (route) service communications, an aeronautical mobile-satellite (route) service system shall operate only in frequency bands which are appropriately allocated to aeronautical mobile-satellite (route) service and protected by the International Telecommunications Union Radio Regulations.

(2) The total emissions of the aircraft earth station necessary to meet designed system performance shall be controlled to avoid harmful interference to other systems necessary to support safety and regularity of air navigation, installed on the same or other aircraft.

(3) Emissions from an aeronautical mobile-satellite (route) service system aircraft earth station shall not cause harmful interference to an aircraft earth station providing aeronautical mobile-satellite (route) service on a different aircraft.

(4) The aircraft earth station equipment shall operate properly in an interference environment causing a cumulative relative change in its receiver noise temperature ( $\Delta T/T$ ) of 25 per cent.

### **33. Priority and pre-emptive access.**

(1) Every aircraft earth station and ground earth station shall be designed to ensure that messages are transmitted in accordance with the Civil Aviation (Communication Procedures) Regulations, 2019 including their order of priority and are not delayed by the transmission and reception of other types of messages.

(2) The message types not defined in the Civil Aviation (Communication Procedures) Regulations, 2019 shall be terminated even without warning, to allow messages specified in the Civil Aviation (Communication Procedures) Regulations, 2019 to be transmitted and received.

(3) All aeronautical mobile-satellite (route) service data packets and all aeronautical mobile-satellite (route) service voice calls shall be identified as to their associated priority.

(4) The system shall provide voice communications priority over data communications within the same message category.

### **34. Signal acquisition and tracking.**

(1) The aircraft earth station, ground earth station and satellites shall acquire and track service link signals when the aircraft is moving at a ground speed of up to 1 500 km/h (800 knots) along any heading.

(2) The aircraft earth station, ground earth station and satellites shall acquire and track service link signals when the component of the aircraft acceleration vector in the plane of the satellite orbit is up to 0.6 g.

### *Performance Requirements*

### **35. Designated operational coverage.**

An aeronautical mobile-satellite (route) service system shall provide aeronautical mobile-satellite (route) service throughout its designated operational coverage.

### **36. Failure notification.**

An aeronautical mobile-satellite (route) service system shall-

- (a) provide timely predictions of the time, location and duration of any resultant outages until full service is restored in the event of a service failure; and
- (b) annunciate a loss of communications capability within 30 seconds of the time when it detects such a loss.

### **37. AES requirements.**

The aircraft earth station shall meet the relevant performance requirements specified in regulations 36 and 39 for aircraft -

- (a) in straight and level flight throughout the designated operational coverage of the satellite system;  
or
- (b) attitudes of +20/-5 degrees of pitch and +/-25 degrees of roll throughout the designated operational coverage of the satellite system.

### **38. Packet data service performance.**

Where an aeronautical mobile-satellite (route) service system provides packet data service, it shall be capable of operating as a constituent mobile sub network of the aeronautical telecommunication network.

### **39. Delay parameters.**

- (1) Connection establishment delay of Aeronautical Mobile satellite (route) service (AMS(R) S) systems shall not be greater than 70 seconds.
- (2) Data transit delay values shall be based on a fixed sub-network service data unit length of 128 octets in accordance with ISO 8348 and shall be defined as average values.
- (3) Data transit delay from aircraft shall not be greater than 40 seconds for the highest priority data service.
- (4) Data transit delay from aircraft shall not be greater than 28 seconds for the lowest priority data service.
- (5) Data transit delay to aircraft shall not be greater than 12 seconds for the highest priority data service
- (6) Data transit delay to aircraft shall not be greater than 28 seconds for the lowest priority data service.
- (7) Data transfer delay (95th percentile), shall not be greater than 80 seconds for the highest priority data service.
- (8) Data transfer delay (95th percentile) from-aircraft, shall not be greater than 60 seconds for the lowest priority data service.
- (9) Data transfer delay (95th percentile) to-aircraft shall not be greater than 15 seconds for the highest priority data service.
- (10) Data transfer delay (95th percentile) to-aircraft shall not be greater than 30 seconds for the lowest priority data service.
- (11) The connection release delay (95th percentile) shall not be greater than 30 seconds in either direction.

#### **40. Integrity.**

- (1) The residual error rate from-aircraft direction shall not be greater than  $10^{-4}$  per sub-network service data unit.
- (2) The residual error rate to-aircraft direction shall not be greater than  $10^{-6}$  per sub-network service data unit.
- (3) The probability of a sub-network connection provider-invoked sub-network connection release shall not be greater than  $10^{-4}$  over any one-hour interval.
- (4) The probability of a sub-network connection provider-invoked reset shall not be greater than  $10^{-1}$  over any one-hour interval.

#### **41. Voice service performance.**

The system that provides aeronautical mobile-satellite (route) service voice service shall meet the requirements in regulations 42, 43 and 44.

#### **42. Call processing delay.**

- (1) The 95th percentile of the time delay for a GES to present a call origination event to the terrestrial network interworking interface after a call origination event has arrived at the AES interface shall not be greater than 20 seconds.
- (2) The 95th percentile of the time delay for an AES to present a call origination event at its aircraft interface after a call origination event has arrived at the terrestrial network interworking interface shall not be greater than 20 seconds.

#### **43. Voice quality.**

- (1) The voice transmission shall provide overall intelligibility performance suitable for the intended operational and ambient noise environment.
- (2) The total allowable transfer delay within an aeronautical mobile-satellite (route) service sub-network shall not be greater than 0.485 seconds.

#### **44. Voice capacity.**

The aeronautical mobile-satellite (route) service system shall have sufficient available voice traffic channel resources to ensure that an aircraft earth station or ground earth station originated aeronautical mobile-satellite (route) service voice call presented to the system experience a probability of blockage of no more than  $10^{-2}$ .

#### **45. Security.**

The aeronautical mobile-satellite (route) service system shall provide features for the protection-

- (a) of messages in transit from tampering;
- (b) against denial of service, degraded performance characteristics, or reduction of system capacity when subjected to external attacks; or
- (c) unauthorised entry.

#### **46. System interfaces.**

An aeronautical mobile-satellite (route) service system shall allow sub-network users to address aeronautical mobile-satellite (route) service communications to specific aircraft by means of the ICAO twenty four-bit aircraft address.

#### **47. Packet data service interfaces.**

A system that provides aeronautical mobile-satellite (route) service packet data service shall provide an interface to the aeronautical telecommunication network and a connectivity notification function.

### **PART V - SECONDARY SURVEILLANCE RADAR MODE S AIR-GROUND DATA LINK**

#### **48. Air ground data link communication.**

The following shall be implemented where air ground data link communication is used by the SSR Mode S -

- (a) the Mode S characteristics shall be as specified in Schedule 2;
- (b) the DCE and XDCE state tables shall be as specified in Schedule 3;
- (c) the Mode S packet formats shall be as specified in Schedule 4.

### **PART VI- VERY HIGH FREQUENCY AIR-GROUND DIGITAL LINK (VDL)**

#### **49. Radio channels and functional channels.**

- (1) An aircraft station shall be capable of tuning to any of the channels in the range specified in regulation 52 within 100 milliseconds after the receipt of an auto tune command.
- (2) An aircraft station for VDL Mode 3, shall be able to tune to any channel in the range specified in regulation 52 within 100 milliseconds after the receipt of any tuning command.
- (3) A ground station shall be capable of operating on its assigned channel within the radio frequency range prescribed in regulation 49.
- (4) Frequency 136.975MHz shall be reserved as a worldwide common signalling channel for VHF air-ground digital link Mode 2.

#### **50. System capabilities.**

- (1) The VHF air-ground digital link system shall provide code-independent and byte-independent transfer of data.
- (2) The VHF air-ground digital link system shall provide link layer data broadcast services Mode 2 or voice and data broadcast services Mode 3.
- (3) The data broadcast service shall support network multicasting capability originating from the ground, for VHF air-ground digital link Mode 3.
- (4) The VHF air-ground digital link system shall establish and maintain a reliable communications path between the aircraft and the ground system while allowing but not requiring manual intervention.

(5) A VHF air-ground digital link -equipped aircraft shall transition from one ground station to another when circumstances dictate.

(6) The VHF air-ground digital link Mode 3 system shall support a transparent, simplex voice operation based on a “Listen-Before-Push-To-Talk” channel access.

**51. Air-ground VHF digital link communications system characteristics.**

(1) The radio frequencies used for air-ground VHF digital link communications shall be selected from the radio frequencies in the band 117.975–137 MHz.

(2) The lowest assignable frequency used for Air-ground VHF digital link communications shall be 118.000 MHz, and the highest assignable frequency shall be 136.975 MHz and the separation between assignable frequencies shall be 25 kHz.

(3) The design polarization of emissions shall be vertical.

**52. System characteristics of the ground installations for VHF air-ground digital link.**

The VHF Air ground digital link system characteristics for ground installation shall be as specified in Schedule 5.

**53. System characteristics of the aircraft installation.**

The VHF air ground digital link system characteristics for aircraft installation shall be as specified in Schedule 6.

**54. Physical layer protocols and services.**

The VHF air ground digital link systems physical layer protocols and services shall-

- (a) be as specified in Schedule 7 for aircraft and ground stations; and
- (b) be as specified in Schedule 8 for both mobile and ground stations of Mode 4 unless otherwise stated.

**55. Link layer protocols and services.**

The VHF air ground digital link systems link layer protocols and services shall be as specified in Schedule 9.

**56. Sub-network layer protocols and services.**

The VHF air ground digital link systems sub-network layer protocols and services shall be as specified in Schedule 10.

**57. The VDL mobile sub network dependent convergence function.**

(1) The VDL Mode 2 mobile sub network dependent convergence function shall be the standard mobile sub network dependent convergence function.

(2) The VDL Mode 2 mobile sub network dependent convergence function shall -

- (a) support maintaining context across sub network calls;
- (b) use the same context across all switched virtual circuits (SVCs) negotiated to a DTE, when negotiated with the same parameters; or
- (c) support at least two switched virtual circuits (SVCs) sharing a context.

### *VDL Mode 3*

#### **58. VDL Mode 3 sub network dependent convergence function.**

The VDL Mode 3 shall support -

- (a) the standard ISO 8208 sub network dependent convergence function as defined in ICAO Doc 9705; and
- (b) the denoted frame-based sub network dependent convergence function.

#### **59. Voice unit for Mode 3 services.**

- (1) The voice unit shall provide for a simplex, “push-to-talk” audio and signalling interface between the user and the VDL and two separate mutually exclusive voice circuit types shall be supported.
- (2) The two separate mutually exclusive voice circuit types referred to in subregulation (1) are-
  - (a) dedicated circuits; and
  - (b) demand assigned circuits.
- (3) Dedicated circuits referred to in subregulation (2) (a) shall provide service to a specific user group on an exclusive basis with no sharing of the circuit with other users outside the group and access shall be based on a “listen-before-push-to-talk” discipline.
- (4) Demand assigned circuits referred to in subregulation (2) (b) shall provide voice circuit access which is arbitrated by the ground station in response to an access request received from the aircraft station and allow dynamic sharing of the channel resource increasing trunking efficiency.
- (5) The voice unit operation shall support a priority override access for authorised ground users.
- (6) The voice unit operation shall support notification to the user of the source of a received message.
- (7) The voice unit shall support a coded squelch operation that offers some degree of rejection of undesired co-channel voice messages based on the burst time of arrival.

#### **60. Voice Unit for Mode 3 speech encoding, parameters and procedures.**

The VDL Mode 3 shall use the Advanced Multi-Band Excitation 4.8 kbits/s encoding or decoding algorithm, version number AMBE-ATC-10, developed by digital voice systems, incorporated for voice communications.

### *VDL Mode 4*

#### **61. VDL Mode 4 radio channels.**

- (1) A VDL Mode 4 transmitter or receiver shall be capable of tuning to any of the 25 kHz channels from 112 MHz to 137 MHz.
- (2) A VDL Mode 4 station shall be capable of receiving two channels simultaneously.

(3) VDL Mode 4 stations shall use two assigned frequencies as global signalling channels, to support user communications and link management functions.

**62. VDL Mode 4 system capabilities.**

- (1) The VDL Mode 4 system shall –
- (a) support ATN/IPS-compliant sub network services;
  - (b) provide code-independent and byte-independent transfer of data;
  - (c) provide link layer broadcast services;
  - (d) provide link layer point-to-point services;
  - (e) provide air-air communications, without ground support, as well as air-ground communications;
  - (f) establish and maintain a reliable communications path between the aircraft and the ground system while allowing, but not requiring, manual intervention when supporting air-ground operations; and
  - (g) provide the capability for deriving time from time-of-arrival measurements of received VDL Mode 4 transmissions whenever externally derived estimates of time are unavailable.
- (2) A mobile VDL Mode 4 DLS station shall transition from one ground VDL Mode 4 DLS station to another as required.
- (3) Mobile and ground VDL Mode 4 stations shall access the physical medium operating in simplex mode.

**63. Coordination of channel utilisation.**

Transmissions shall be scheduled relative to UTC, to ensure efficient use of shared channels and to avoid unintentional slot re-use.

**PART VII - AERONAUTICAL FIXED TELECOMMUNICATION NETWORK**

**64. Characteristics of interregional aeronautical fixed service circuits.**

Interregional aeronautical fixed service circuits being implemented or upgraded shall employ high quality telecommunications service and the modulation rate shall take into account the traffic volumes expected under both normal and alternate route conditions.

**65. Technical provisions relating to international ground-ground data interchange at medium and higher signalling rates.**

The technical provisions related to international ground –ground data interchange at medium and higher signalling rates for aeronautical fixed telecommunication networks shall be as specified in Schedule 11.

**66. Aircraft addressing system.**

(1) The aircraft address shall be one of 16 777 214 twenty-four-bit aircraft addresses allocated by the ICAO to the State of Registry or common mark registering authority and assigned as specified in Schedule 12.

(2) Non-aircraft transponders that are installed on aerodrome surface vehicles, obstacles or fixed Mode S target detection devices for surveillance or radar monitoring purposes shall be assigned 24-bit aircraft addresses.

(3) Mode S transponders used in accordance with subregulation (2) shall not have any negative impact on the performance of existing ATS surveillance systems and ACAS.

## **PART VIII – POINT-TO-MULTIPOINT COMMUNICATIONS**

### **67. Service via satellite for the dissemination of aeronautical information.**

Point-to-multipoint telecommunication service via satellite to support the dissemination of aeronautical information shall be based on full-time, non-pre-emptible, protected services as defined in the relevant Telecommunication Standardization Sector of the International Telecommunications Union Recommendations.

### **68. Service via satellite for the dissemination of World Area Forecast System products.**

System characteristics shall include-

- (a) frequency — C-band, earth-to-satellite, 6 GHz band, satellite-to-earth, 4 GHz band;
- (b) capacity with effective signalling rate of not less than 9 600 bits/s;
- (c) bit error rates — better than 1 in  $10^7$ ;
- (d) forward error correction; and
- (e) availability 99.95 per cent.

## **PART IX – HIGH FREQUENCY DATA LINK SYSTEM**

### **69. System architecture.**

The high frequency data link system shall –

- (a) consist of one or more ground and aircraft station subsystems, which implement the high frequency data link protocol specified in regulation 76; and
- (b) include a ground management subsystem specified in regulations 77.

### **70. Aircraft and ground station subsystems.**

The high frequency data link aircraft station subsystem and the high frequency data link ground station subsystem shall include the following functions-

- (a) high frequency transmission and reception;
- (b) data modulation and demodulation; and
- (c) high frequency data link protocol implementation and frequency selection.

### **71. Operational coverage.**

Frequency assignments for high frequency data link shall be protected throughout their designated operational coverage area.

**72. Requirements for carriage of HF DL equipment.**

(1) Requirements for mandatory carriage of high frequency data link equipment shall be made on the basis of Afi Regional Air Navigation Agreements that specify the airspace of operation and the implementation timescale.

(2) The agreement in subregulation (1) shall provide advance notice of at least two years for the mandatory carriage of airborne systems.

**73. Ground station networking.**

High frequency data link ground station subsystems shall interconnect through a common ground management subsystem.

**74. Ground station synchronization.**

(1) Synchronization of high frequency data link ground station subsystems shall be to within  $\pm 25$  ms of UTC.

(2) For any station not operating within  $\pm 25$  ms of UTC, appropriate notification shall be made to all aircraft and ground station subsystems to allow for continued system operation.

**75. Quality of service.**

(1) The undetected error rate for a network user packet which contains between 1 and 128 octets of user data shall be equal to or less than 1 in  $10^6$ .

(2) Transit and transfer delays for network user packets of 128 octets shall not exceed the values of the specifications in Table 13-1\* in Schedule 13.

**76. HF data link protocol.**

The high frequency data link protocol shall consist of a physical layer, a link layer, and a sub-network layer, as specified in Schedule 13.

**77. Ground management subsystem.**

The ground management subsystem shall-

- (a) perform the functions necessary to establish and maintain communications channels between the HF DL ground and aircraft station subsystems; and
- (b) interface with the ground station subsystem in order to exchange control information required for frequency management, system table management, log status management, channel management, and quality of service data collection.

**PART X - UNIVERSAL ACCESS TRANSCEIVER (UAT)**

**78. Universal access transceiver system characteristics of aircraft and ground stations.**

The universal access transmitter physical layer and system characteristics of aircraft and ground stations shall be as specified in Schedule 14.

**79. Mandatory carriage requirements.**

Requirements for mandatory carriage of UAT equipment shall be made on the basis of Afi Regional Air Navigation Agreements which specify the airspace of operation and the implementation timescales for the carriage of equipment, including the appropriate lead time.

**PART XI - AERONAUTICAL MOBILE SERVICE**

**80. Air-ground VHF communication system characteristics .**

(1) The characteristics of the air-ground VHF communication system used in the international aeronautical mobile service shall be in conformity with the specifications contained in Schedule 15.

(2) The systems characteristics for both ground and airborne installation shall conform to the specifications of Schedule 15.

**81. Single side band (SSB) HF communication system characteristics.**

The characteristics of the air-ground HF single side band system, where used in the aeronautical mobile service, shall be in conformity with the specifications of Schedule 15.

**82. SELCAL system.**

(1) The system characteristics contained in Schedule 16 shall be applied where a SELCAL system is installed.

(2) Aeronautical stations which are required to communicate with SELCAL-equipped aircraft shall have SELCAL encoders in accordance with the red group specified in the table of tone frequencies in Schedule 16 which may include Red P, Red Q, Red R, and Red S.

**PART XII - AERONAUTICAL SPEECH CIRCUITS**

**83. Technical provisions relating to international aeronautical speech circuit switching and signalling for ground-ground applications.**

(1) The use of circuit switching and signalling to provide speech circuits to interconnect ATS units not interconnected by dedicated circuits shall be by agreement between the Authorities concerned.

(2) The application of aeronautical speech circuit switching and signalling shall be based on Afi Regional Air Navigation Agreements.

(3) The Air Traffic Control communication requirements defined in the Civil Aviation (Air Traffic Services) Regulations, 2019 shall be met by implementation of one or more of the following basic three call types-

- (a) instantaneous access;
- (b) direct access; or
- (c) indirect access.

(4) Subject to subregulation (3), the following functions shall be provided in order to meet the requirements specified in the Civil Aviation (Air Traffic Services) Regulations, 2019-

- (a) means of indicating the calling or called party identity;
  - (b) means of initiating urgent or priority calls; and
  - (c) conference capabilities.
- (5) The characteristics of the circuits used in aeronautical speech circuit switching and signalling shall conform to appropriate ISO/IEC international standards and Telecommunication Standardization Sector of the International Telecommunications Union recommendations.
- (6) Digital signalling systems shall be used wherever their use can be justified in terms of -
- (a) improved quality of service;
  - (b) improved user facilities; or
  - (c) reduced costs where quality of service is maintained.
- (7) The characteristics of supervisory tones to be used such as ringing, busy and number unobtainable shall conform to appropriate Telecommunication Standardization Sector of the International Telecommunications Union recommendations.
- (8) The international aeronautical telephone network numbering scheme shall be used to take advantage of the benefits of interconnecting regional and national aeronautical speech networks.

### **PART XIII - EMERGENCY LOCATOR TRANSMITTER FOR SEARCH AND RESCUE**

#### **84. Operating frequencies.**

- (1) All installations of emergency locator transmitters operating on 406 MHz shall comply with regulation 67.
- (2) All installations of emergency locator transmitters operating on 121.5 MHz shall comply with regulation 68.
- (3) Emergency locator transmitters shall operate on 406 MHz and 121.5 MHz simultaneously.
- (4) All emergency locator transmitters installed on or after 1 January 2002 shall operate simultaneously on 406 MHz and 121.5 MHz.
- (5) The technical characteristics for the 406 MHz component of an integrated ELT shall be in accordance with regulation 70.
- (6) The technical characteristics for the 121.5 MHz component of an integrated ELT shall be in accordance with regulation 69.

#### **85. Emergency locator transmitters register.**

- (1) The Authority shall prepare a 406 MHz emergency locator transmitters register and shall ensure that the register is updated whenever necessary.
- (2) The register information regarding the emergency locator transmitters shall be immediately available to search and rescue authorities.
- (3) Emergency locator transmitters register information shall include-
- (a) transmitter identification expressed in the form of an alphanumeric code of 15 hexadecimal characters;

- (b) transmitter manufacturer, model and serial number;
- (c) COSPAS-SARSAT type approval number;
- (d) name, address and emergency telephone number of the owner and operator;
- (e) name, address and telephone number of other emergency contacts to whom the owner or the operator is known;
- (f) aircraft manufacturer and type; and
- (g) colour of the aircraft.

**86. Specification for the 121.5 MHz component of emergency locator transmitter.**

- (1) Emergency locator transmitters shall operate on 121.5 MHz and the frequency tolerance shall not exceed plus or minus 0.005 per cent.
- (2) The emission from an emergency locator transmitters under normal conditions and attitudes of the antenna shall be vertically polarized and essentially omni-directional in the horizontal plane.
- (3) Over a period of 48 hours of continuous operation, at an operating temperature of minus 20°C, the peak effective radiated power shall at no time be less than 50 mW.
- (4) The type of emission shall be A3X and any other type of modulation that meets the requirements of subregulations (5), (6) and (7) shall be used provided that the emission does not prejudice precise location of the beacon by homing equipment.
- (5) The carrier shall be amplitude modulated at a modulation factor of at least 0.85.
- (6) The modulation applied to the carrier shall have a minimum duty cycle of 33 percent.
- (7) The emission shall have a distinctive audio characteristic achieved by amplitude modulating the carrier with an audio frequency sweeping downward over a range of not less than 700 Hz within the range 1 600 Hz to 300 Hz and with a sweep repetition rate of between 2 Hz and 4 Hz.
- (8) The emission shall include a clearly defined carrier frequency distinct from the modulation sideband components; in particular, at least 30 per cent of the power shall be contained at all times within plus or minus 30 Hz of the carrier frequency on 121.5 MHz.

**87. Specification for the 406 MHz component of emergency locator transmitter.**

- (1) Emergency locator transmitters shall operate on one of the frequency channels assigned for use in the frequency band 406.0 to 406.1 MHz.
- (2) The period between transmissions shall be 50 seconds plus or minus 5 percent.
- (3) The transmitter power output shall be within the limits of 5 W plus or minus 2 dB over a period of 24 hours of continuous operation at an operating temperature of -20°C.
- (4) The 406 MHz emergency locator transmitters shall be capable of transmitting a digital message.

**88. Transmitter identification coding.**

(1) Emergency locator transmitters operating on 406 MHz shall be assigned a unique coding for identification of the transmitter or aircraft on which it is carried.

(2) The emergency locator transmitter shall be coded in accordance with either the aviation user protocol or one of the serialized user protocols specified in Schedule 17 and shall be registered with the Authority.

**PART XIV -EXEMPTIONS**

**89. Application for exemption.**

(1) A person may apply to the Authority for an exemption from any provision of these Regulations.

(2) Except in the case of an emergency, an application under subregulation (1) shall be made at least sixty days prior to the proposed effective date, giving the following-

- (a) name and address of applicant;
- (b) telephone contact;
- (c) the specific provision from which the applicant seeks exemption;
- (d) justification for the exemption;
- (e) a description of the type of operations to be conducted under the proposed exemption;
- (f) the proposed duration of the exemption;
- (g) a detailed description of the alternative means by which the applicant will ensure a level of safety equivalent to that established by the regulation in question;
- (h) a safety risk assessment carried out in respect of the exemption applied for;
- (i) if the applicant handles international operations and seeks to operate under the proposed exemption, an indication whether the exemption would contravene any provision of the Standards and Recommended Practices of the International Civil Aviation Organization(ICAO); and
- (j) any other information that the Authority may require.

(3) The application shall contain evidence and reasons for not filing the application within the time specified in subregulation (2) and reason for deeming the application an emergency where the applicant seeks emergency processing of an application for exemption.

(4) The Authority may, in writing, refuse an application made under subregulation (3), where in the opinion of the Authority, the reasons given for emergency processing are not satisfactory.

(5) The application for exemption shall be accompanied by a fee prescribed by the Authority.

**90. Review and publication.**

(1) The Authority shall review the application for exemption made under regulation 87 for accuracy and compliance, and if the application is satisfactory, the Authority shall publish a detailed summary of the application for comments, within a prescribed time, in either—

- (a) the Gazette;
- (b) aeronautical information circular; or
- (c) a daily newspaper of wide national circulation.

- (2) The Authority shall request the applicant in writing, to comply prior to publication or making a decision, where the application requirements have not been complied with.
- (3) Where the request is for emergency relief, the Authority shall publish the decision as soon as possible after processing the application.

#### **91. Evaluation of the request.**

- (1) Where the application requirements have been satisfied, the Authority shall conduct an evaluation of the request taking into account-
  - (a) whether an exemption would be in the public interest;
  - (b) whether the applicant's proposal would provide a level of safety equivalent to that established by the regulation after taking a technical evaluation: and where the Authority decides that a technical evaluation of the request would impose a significant burden on the Authority's technical resources, the Authority may deny the exemption on that basis;
  - (c) whether a grant of the exemption would contravene these Regulations; and
  - (d) a recommendation based on paragraph (a), (b) and (c), of whether the request should be granted or denied, and of any conditions or limitations that should be part of the exemption.
- (2) The Authority shall notify the applicant in writing, the decision to grant or deny the request and publish a detailed summary of its evaluation and decision in the Gazette.
- (3) The summary referred to in subregulation (2) shall specify the duration of the exemption and any conditions or limitations of the exemption.
- (4) Where the exemption affects a significant population of the aviation community, the Authority shall publish the summary in the aeronautical information circular.

### **PART XV- OFFENCES AND PENALTIES**

#### **92. Contravention of Regulations.**

A person who contravenes any provision of these Regulations may have his or her certificate, licence, approval or authorisation revoked or suspended.

#### **93. Offences and penalties.**

A person who contravenes any provision of these Regulations commits an offence and is liable, on conviction, to a fine not exceeding twenty-four currency points or imprisonment not exceeding twelve months or both.

### **PART XVI- SAVINGS**

#### **94. Savings**

A licence, certificate or approval issued by the Authority prior to the commencement of these Regulations shall continue in force as if it was issued under these Regulations until it expires or is cancelled by the Authority.

## SCHEDULES

### SCHEDULE 1

*Regulation 19(5)*

#### Tables for Aeronautical Telecommunications Network (ATN) Mapping-

**Table 1: Mapping of ATN communication priorities**

<i>Message categories</i>	<i>ATN application</i>	<i>Corresponding protocol priority</i>	
		<i>Transport layer priority</i>	<i>Network layer priority</i>
Network/systems management		0	14
Distress communications		1	13
Urgent communications		2	12
High-priority flight safety messages	CPDLC, ADS-C	3	11
Normal-priority flight safety messages	AIDC, ATIS	4	10
Meteorological communications	METAR	5	9
Flight regularity communications	DLIC, ATSMHS	6	8
Aeronautical information service messages		7	7
Network/systems administration	DIR	8	6
Aeronautical administrative messages		9	5
<unassigned>		10	4
Urgent-priority administrative and U.N. Charter communications		11	3
High-priority administrative and State/Government communications		12	2
Normal-priority administrative communications		13	1
Low-priority administrative communications and aeronautical passenger communications		14	0
<i>Note. — The network layer priorities shown in the table apply only to connectionless network priority and do not apply to subnetwork priority.</i>			

**Table 2. Mapping of ATN network priority to mobile sub-network priority**

<i>Message categories</i>	<i>ATN network layer priority</i>	<i>Corresponding mobile subnetwork priority (see Note 4)</i>					
		<i>AMSS</i>	<i>VDL Mode 2</i>	<i>VDL Mode 3</i>	<i>VDL Mode 4</i>	<i>SSR Mode S</i>	<i>HFDL</i>
Network/systems management	14	14	see Note 1	3	14	high	14
Distress communications	13	14	see Note 1	2	13	high	14
Urgent communications	12	14	see Note 1	2	12	high	14

High-priority flight safety messages	11	11	see Note 1	2	11	high	11
Normal-priority flight safety messages	10	11	see Note 1	2	10	high	11
Meteorological communications	9	8	see Note 1	1	9	low	8
Flight regularity communications	8	7	see Note 1	1	8	low	7
Aeronautical information service messages	7	6	see Note 1	0	7	low	6
Network/systems administration	6	5	see Note 1	0	6	low	5
Aeronautical administrative messages	5	5	not allowed				
<unassigned>	4	unassigned	unassigned	unassigned	unassigned	unassigned	unassigned
Urgent-priority administrative and U.N. Charter communications	3	3	not allowed				
High-priority administrative and State/Government communications	2	2	not allowed				
Normal-priority administrative communications	1	1	not allowed				
Low-priority administrative communications and aeronautical passenger communications	0	0	not allowed				

Note 1. — VDL Mode 2 has no specific subnetwork priority mechanisms.

Note 2. — The AMSS requirements specify mapping of message categories to subnetwork priority without explicitly referencing ATN network layer priority.

Note 3. — The term “not allowed” means that only communications related to safety and regularity of flight are authorized to pass over this subnetwork as defined in the subnetwork requirements.

Note 4. — Only those mobile subnetworks are listed for which subnetwork requirement exist and for which explicit support is provided by the ATN boundary intermediate system (BIS) technical provisions.

## SCHEDULE 2

### SSR MODE S AIR GROUND DATA LINK

Regulation 46(a)

#### MODE S CHARACTERISTICS

##### 1. General

*Note 1.— Reference ISO document. In this Schedule, the term “ISO 8208” means the ISO Standard “Information technology-Data communications-X.25 Packet Layer Protocol for Data Terminal Equipment, Reference Number ISO/IEC 8208: 1990(E)”.*

*Note 2.— The overall architecture of the Mode S sub-network is presented in the diagram below.*

*Note 3.— The processing splits into three different paths. The first consists of the processing of switched virtual circuits (SVCs), the second consists of the processing of Mode S specific services, and the third consists of the processing of sub-network management information. SVCs utilize the reformatting process and the ADCE or GDCE function. Mode S specific services utilize the Mode S specific services entity (SSE) function.*

- (1) *Message categories.* The Mode S subnetwork shall only carry aeronautical communications classified under categories of flight safety and flight regularity as specified in the Civil Aviation (Communication Procedures) Regulations, 2019.
- (2) *Signals in space.* The signal-in-space characteristics of the Mode S subnetwork shall conform to the provisions contained in the Civil Aviation (Surveillance and Collision Avoidance Systems) Regulations, 2019.
- (3) *Code and byte independency.* The Mode S subnetwork shall be capable of code and byte independent transmission of digital data.
- (4) *Data transfer.* Data shall be conveyed over the Mode S data link in segments using either standard length message (SLM) protocols or extended length message (ELM) protocols as defined in the Civil Aviation (Surveillance and Collision Avoidance Systems) Regulations, 2019.

*Note 1.— An SLM segment is the contents of one 56-bit MA or MB field. An ELM segment is the contents of one 80-bit MC or MD field.*

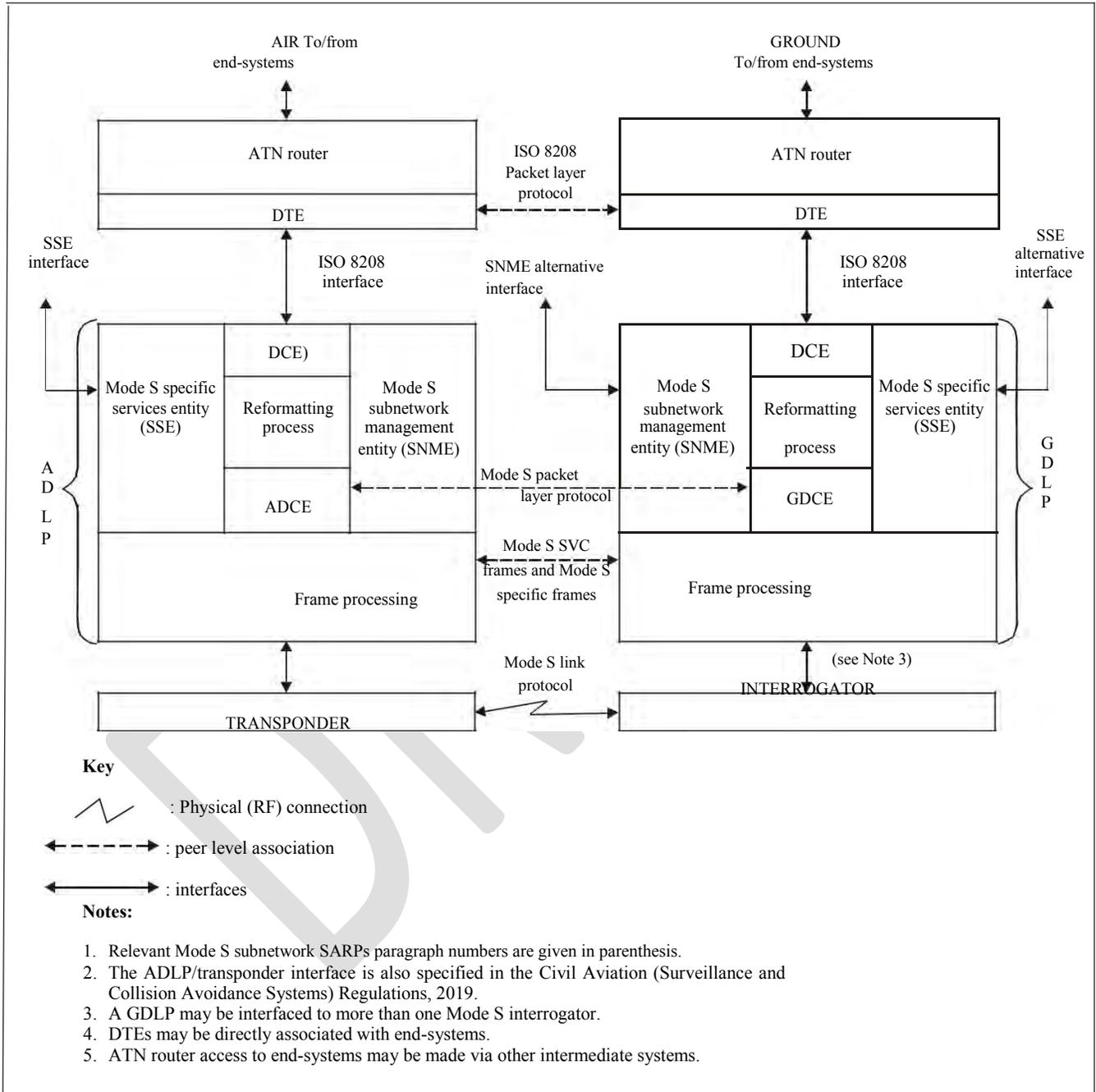
*Note 2.— An SLM frame is the contents of up to four linked MA or MB fields. An ELM frame is the contents of 2 to 16 MC or 1 to 16 MD fields.*

- (5) *Bit numbering.* In the description of the data exchange fields, the bits shall be numbered in the order of their transmission, beginning with bit 1. Bit numbers shall continue through the second and higher segments of multi-segment frames. Unless otherwise stated, numerical values encoded by groups (fields) of bits shall be encoded using positive binary notation and the first bit transmitted shall be the most significant bit (MSB).
- (6) *Unassigned bits.* When the length of the data is not sufficient to occupy all bit positions within a message field or subfield, the unassigned bit positions shall be set to 0.

## 2. UPLINK FRAMES

(1) *SLM frame*. An uplink SLM frame shall be composed of up to four selectively addressed Comm-A segments.

### Functional elements of the Mode S subnetwork



*Note.— Each Comm-A segment (MA field) received by the ADLP is accompanied by the first 32 bits of the interrogation that delivered the segment. Within these 32 bits is the 16-bit special designator (SD) field.*

(2)*SD field.* When the designator identification (DI) field (bits 14-16) has a code value of 1 or 7, the special designator (SD) field (bits 17-32) of each Comm-A interrogation shall be used to obtain the interrogator identifier subfield (IIS, bits 17-20) and the linked Comm-A subfield (*LAS*, bits 30-32). The action to be taken shall depend on the value of *LAS*. The contents of *LAS* and IIS shall be retained and shall be associated with the Comm-A message segment for use in assembling the frame as indicated below. All fields other than the *LAS* field shall be as defined in the Civil Aviation (Surveillance and Collision Avoidance Systems) Regulations, 2019.

(3)*LAS coding.* The 3-bit *LAS* subfield shall be coded as follows-

LAS	MEANING
0	single segment
1	linked, 1st segment
2	linked, 2nd but not final segment
3	linked, 3rd but not final segment
4	linked, 4th and final segment
5	linked, 2nd and final segment
6	linked, 3rd and final segment
7	unassigned

(a) *Single segment SLM frame.* If *LAS* = 0, the data in the MA field shall be considered a complete frame and shall be made available for further processing.

(b) *Multiple segment SLM frame.* The ADLP shall accept and assemble linked 56-bit Comm-A segments associated with all sixteen possible interrogator identifier (II) codes. Correct linking of Comm-A segments shall be achieved by requiring that all Comm-A segments have the same value of IIS. If *LAS* = 1 through 6, the frame shall consist of two to four Comm-A segments as follows-

(i) *Initial segment.* If *LAS* = 1, the MA field shall be assembled as the initial segment of an SLM frame. The initial segment shall be stored until all segments of the frame have been received or the frame is cancelled.

(ii) *Intermediate segment.* If *LAS* = 2 or 3, the MA field shall be assembled in numerical order as an intermediate segment of the SLM frame. It shall be associated with previous segments containing the same value of IIS.

(iii) *Final segment.* If *LAS* = 4, 5 or 6, the MA field shall be assembled as the final segment of the SLM frame. It shall be associated with previous segments containing the same value of IIS.

(iv) *Frame completion.* The frame shall be considered complete and shall be made available for further processing as soon as all segments of the frame have been received.

(v) *Frame cancellation.* An incomplete SLM frame shall be cancelled if one or more of the following conditions apply-

- (aa) a new initial segment ( $LAS = 1$ ) is received with the same value of IIS; in this case, the new initial segment shall be retained as the initial segment of a new SLM frame;
- (bb) the sequence of received  $LAS$  codes (after the elimination of duplicates) is not contained in the following list-

- 1)  $LAS = 0$
- 2)  $LAS = 1,5$
- 3)  $LAS = 1,2,6$
- 4)  $LAS = 1,6,2$
- 5)  $LAS = 1,2,3,4$
- 6)  $LAS = 1,3,2,4$
- 7)  $LAS = 1,2,4,3$
- 8)  $LAS = 1,3,4,2$
- 9)  $LAS = 1,4,2,3$
- 10)  $LAS = 1,4,3,2$

- (cc)  $T_c$  seconds have elapsed since the last Comm-A segment with the same value of IIS was received (see Table 3-1 of Schedule 3).

(vi) **Segment cancellation.** A received segment for an SLM frame shall be discarded if it is an intermediate or final segment and no initial segment has been received with the same value of IIS.

(vii) *Segment duplication.* If a received segment duplicates a currently received segment number with the same value of IIS, the new segment shall replace the currently received segment.

(4) **ELM frame.** An uplink ELM frame shall consist of from 20 to 160 bytes and shall be transferred from the interrogator to the transponder using the protocol defined in the Civil Aviation (Surveillance and Collision Avoidance Systems) Regulations, 2019. The first 4 bits of each uplink ELM segment (MC field) shall contain the interrogator identifier (II) code of the Mode S interrogator transmitting the ELM. The ADLP shall check the II code of each segment of a completed uplink ELM. If all of the segments contain the same II code, the II code in each segment shall be deleted and the remaining message bits retained as user data for further processing. If all of the segments do not contain the same II code, the entire uplink ELM shall be discarded.

### 3. Downlink frames

(1) *SLM frame.* A downlink SLM frame shall be composed of up to 4 Comm-B segments. The MB field of the first Comm-B segment of the frame shall contain a 2-bit linked Comm-B subfield (LBS, bits 1 and 2 of the MB field). This subfield shall be used to control linking of up to four Comm-B segments.

(a) *LBS coding.* Linking shall be indicated by the coding of the LBS subfield of the MB field of the initial Comm-B segment of the SLM frame. The coding of LBS shall be as follows-

LBS	MEANING
0	single segment

- 1 initial segment of a two-segment SLM frame
- 2 initial segment of a three-segment SLM frame
- 3 initial segment of a four-segment SLM frame

(b) *Linking protocol*

- (i) In the Comm-B protocol, the initial segment shall be transmitted using the air-initiated or multisitedirected protocols. The LBS field of the initial segment shall indicate to the ground the number of additional segments to be transferred (if any). Before the transmission of the initial segment to the transponder, the remaining segments of the SLM frame (if any) shall be transferred to the transponder for transmission to the interrogator using the ground-initiated Comm-B protocol. These segments shall be accompanied by control codes that cause the segments to be inserted in ground-initiated Comm-B registers 2, 3 or 4, associated respectively with the second, third, or fourth segment of the frame.
  - (ii) Close-out of the air-initiated segment that initiated the protocol shall not be performed until all segments have been successfully transferred.
- (c) *Directing SLM frames.* If the SLM frame is to be multisite-directed, the ADLP shall determine the II code of the Mode S interrogator or cluster of interrogators (36 (3)) that shall receive the SLM frame.

(2) *ELM frame*

- (a) *Procedure.* Downlink ELM frames shall be used to deliver messages greater than or equal to 28 bytes and shall be formed using the protocol defined in the Civil Aviation (Surveillance and Collision Avoidance Systems) Regulations, 2019.
- (b) *Directing ELM frames.* If the ELM frame is to be multisite-directed, the ADLP shall determine the II code of the Mode S interrogator or cluster of interrogators (36 (3)) that shall receive the ELM frame.

4. *XDLP frame processing.*

Frame processing shall be performed on all Mode S packets (except for the MSP packet) as specified in paragraphs 4 to 6 of this Schedule. Frame processing for Mode S specific services shall be performed as specified in paragraph 30 of this Schedule.

- (1) *Packet length.* All packets (including a group of packets multiplexed into a single frame) shall be transferred in a frame consisting of the smallest number of segments needed to accommodate the packet. The user data field shall be an integral multiple of bytes in length. A 4-bit parameter (LV) shall be provided in the Mode S DATA, CALL REQUEST, CALL ACCEPT, CLEAR REQUEST and INTERRUPT packet headers so that during unpacking no additional bytes are added to the user data field. The LV field shall define the number of full bytes used in the last segment of a frame. During LV calculations, the 4-bit II code in the last segment of an uplink ELM message shall be-
  - (a) ignored for uplink ELM frames with an odd number of Comm-C segments; and
  - (b) counted for uplink ELM frames with an even number of Comm-C segments.
 The value contained in the LV field shall be ignored if the packet is multiplexed.

(2) *Multiplexing*. When multiplexing multiple Mode S packets into single SLM on ELM frame, the following procedures shall be used. Multiplexing of the packets within the ADLP shall not be applied to packets associated with SVCs of different priorities.

(a) *Multiplexing optimization*

When multiple packets are awaiting transfer to the same XDLP, they shall be multiplexed into a single frame in order to optimize throughput, provided that packets associated with SVCs of different priorities are not multiplexed together.

(b) *Structure*. The structure of the multiplexed packets shall be as follows-

HEADER: 6 or 8	LENGTH: 8	1ST PACKET: v	LENGTH: 8	2ND PACKET: v
----------------	-----------	---------------	-----------	---------------

Note. — A number in the field signifies the field length in bits; “v” signifies that the field is of variable length.

(i) *Multiplexing header*. The header for the multiplexed packets shall be as follows-

DP:1	MP:1	SP:2	ST:2	FILL2:0 or 2
------	------	------	------	--------------

Where,

Data packet type (DP) = 0

MSP packet type (MP) = 1

Supervisory packet (SP) = 3

Supervisory type (ST) = 2

(ii) *Length*. This field shall contain the length of the following packet in bytes. Any error detected in a multiplexed DATA packet, such as inconsistency between length as indicated in the LENGTH field and the length of the frame hosting that packet, shall result in the discarding of the packet unless the error can be determined to be limited to the LENGTH field, in which case a REJECT packet with the expected PS value can be sent. For multiplex packets, if the entire packet cannot be de-multiplexed, then the first constituent packet shall be treated as a format error, and the remainder should be discarded.

(iii) *Termination*. The end of a frame containing a sequence of multiplexed packets shall be determined by one of the following events-

- (aa) a length field of all zeros; or
- (bb) less than eight bits left in the frame.

(3) *Mode S channel sequence preservation*

*Application*. In the event that multiple Mode S frames from the same SVC are awaiting transfer to the same XDLP, the following procedure shall be used-

- (a) **SLM frames**. SLM frames awaiting transfer shall be transmitted in the order received; and
- (b) **ELM frames**. ELM frames awaiting transfer shall be transmitted in the order received.

## 5. GDLP frame processing

(1) *General*

- (a) The GDLP shall determine the data link capability of the ADLP/transponder installation from the data link capability report before performing any data link activity with that ADLP.
- (b) GDLP frame processing shall provide to the interrogator all data for the uplink transmission that are not provided directly by the interrogator.

(2) *Delivery status.* GDLP frame processing shall accept an indication from the interrogator function that a specified uplink frame that was previously transferred to the interrogator has been successfully delivered over the ground-to air link.

(3) *Aircraft address.* GDLP frame processing shall receive from the interrogator along with the data in each downlink SLM or ELM frame, the 24-bit address of the aircraft that transmitted the frame. GDLP frame processing shall be capable of transferring to the interrogator the 24-bit address of the aircraft that is to receive an uplink SLM or ELM frame.

(4) *Mode S protocol type identification.* GDLP frame processing shall indicate to the interrogator the protocol to be used to transfer the frame: standard length message protocol, extended length message protocol or broadcast protocol.

(5) *Frame determination.* A Mode S packet (including multiplexed packets but excluding MSP packets) intended for uplink and less than or equal to 28 bytes shall be sent as an SLM frame. A Mode S packet greater than 28 bytes shall be sent as an uplink ELM frame for transponders with ELM capability, using M-bit processing as necessary). If the transponder does not have ELM capability, packets greater than 28 bytes shall be sent using the M-bit or S-bit assembly procedures as necessary and multiple SLM frames.

## 6. ADLP frame processing

(1) *General.* With the possible exception of the last 24 bits (address/parity), ADLP frame processing shall accept from the transponder the entire content of both 56-bit and 112-bit received uplink transmissions, excluding all call and ACAS interrogations. ADLP frame processing shall provide to the transponder all data for the downlink transmission that is not provided directly by the transponder.

(2) *Delivery status.* ADLP frame processing shall accept an indication from the transponder that a specified downlink frame that was previously transferred to the transponder has been closed out.

(3) *Interrogator identifier.* ADLP frame processing shall accept from the transponder, along with the data in each uplink SLM and ELM, the interrogator identifier (II) code of the interrogator that transmitted the frame. ADLP frame processing shall transfer to the transponder the II code of the interrogator or cluster of interrogators that shall receive a multisite-directed frame.

(4) *Mode S protocol type identification.* ADLP frame processing shall indicate to the transponder the protocol to be used to transfer the frame: ground-initiated, air-initiated, broadcast, multisite-directed, standard length or extended length.

- (5) *Frame cancellation.* ADLP frame processing shall be capable of cancelling downlink frames previously transferred to the transponder for transmission but for which a close-out has not been indicated. If more than one frame is stored within the transponder, the cancellation procedure shall be capable of cancelling the stored frames selectively.
- (6) *Frame determination.* A Mode S packet (including multiplexed packets but excluding MSP packets) intended for downlink and less than or equal to 222 bits shall be sent as an SLM frame. A Mode S packet greater than 222 bits shall be sent as a downlink ELM frame for transponders with ELM capability using M-bit processing as necessary. When M-bit processing is used, all ELM frames containing  $M = 1$  shall contain the maximum number of ELM segments that the transponder is capable of transmitting in response to one requesting interrogation ( $UF = 24$ ). If the transponder does not have ELM capability, packets greater than 222 bits shall be sent using the M-bit or S-bit assembly procedures and multiple SLM frames.

## 7. Priority management

- (1) *ADLP priority management.* Frames shall be transferred from the ADLP to the transponder in the following order of priority (highest first)-
  - (a) Mode S specific services;
  - (b) search requests;
  - (c) frames containing only high priority SVC packets; and
  - (d) frames containing only low priority SVC packets.
- (2) *GDLP priority management:* Uplink frames shall be transferred in the following order of priority (highest first)-
  - (a) Mode S specific services;
  - (b) frames containing at least one Mode S ROUTE packet;;
  - (c) frames containing at least one high priority SVC packet; and
  - (d) frames containing only low priority SVC packets.

## 8. The DTE ISO 8208 data exchange interface

- (1) *General.* The interface between the XDLP and the DTE(s) shall conform to ISO 8208 packet layer protocol (PLP). The XDLP shall support the procedures of the DTE as specified in ISO 8208. As such, the XDLP shall contain a DCE.
- (2) Physical and link layer requirements for the DTE/DCE interface shall-
  - (a) be code and byte independent and shall not impose restrictions on the sequence, order, or pattern of the bits transferred within a packet; and
  - (b) support the transfer of variable length network layer packets.

- (3) DTE address

- (a) Ground DTE address. The ground DTE address shall have a total length of 3 binary coded decimal (BCD) digits, as follows-
- X0X1X2**
- X0 shall be the most significant digit. Ground DTE addresses shall be decimal numbers in the range of 0 through 255 coded in BCD. Assignment of the DTE address shall be a local issue. All DTEs connected to GDLPs having overlapping coverage shall have unique addresses. GDLPs which have a flying time less than Tr (see Table 3-1 of Schedule 3) between their coverage areas shall be regarded as having overlapping coverage.
- (b) Mobile DTE address. The mobile DTE address shall have a total length of 10 BCD digits, as follows-
- X0X1X2X3X4X5X6X7X8X9**
- X0 shall be the most significant digit. The digits X0 to X7 shall contain the octal representation of the aircraft address coded in BCD. The digits X8X9 shall identify a sub-address for specific DTEs on board an aircraft. This sub-address shall be a decimal number in the range of 0 and 15 coded in BCD. The following sub-address assignments shall be used-
- (i) ATN router;
  - (ii) 01 to 15-Unassigned.
- (c) Illegal DTE addresses. DTE addresses outside of the defined ranges or not conforming to the formats for the ground and mobile DTE addresses specified in paragraph (a) and (b) shall be defined to be illegal DTE addresses. The detection of an illegal DTE address in a CALL REQUEST packet shall lead to a rejection of the call as specified in paragraph 19(5) of this Schedule.
- (d) Packet layer protocol requirements of the DTE/DCE interface
- (i) Capabilities. The interface between the DTE and the DCE shall conform to ISO 8208 with the following capabilities-
    - (aa) expedited data delivery, that is the use of INTERRUPT packets with a user data field of up to 32 bytes;
    - (bb) priority facility (with two levels, see paragraph 19(1)(a)(vi));
    - (cc) fast select (see paragraph 19(1)(a)(xiii) and 19(1)(a)(xvi)); and
    - (dd) called/calling address extension facility, if required by local conditions (the XDLP is connected to the DTE via a network protocol that is unable to contain the Mode S address as defined). Other ISO 8208 facilities and the D-bit and the Q-bit shall not be invoked for transfer over the Mode S packet layer protocol.
  - (ii) Parameter values. The timer and counter parameters for the DTE/DCE interface shall conform to the default ISO 8208 values.

## **9. Mode S specific services data exchange interface**

### **(1) ADLP**

- (a) General provisions. The ADLP shall support the accessing of Mode S specific services through the provision of one or more separate ADLP interfaces for this purpose.
  - (b) Functional capability. Message and control coding via this interface shall support all of the capabilities specified in paragraph 31.
- (2) GDLP
- (a) *General*. The GDLP shall support the accessing of Mode S specific services through the provision of a separate GDLP interface for this purpose and/or by providing access to these services through the DTE/DCE interface.
  - (b) *Functional capability*. Message and control coding via this interface shall support all of the capabilities specified in paragraph 32.

## 10. ADLP/transponder data exchange interface

### (1) Transponder to ADLP

- (a) The ADLP shall accept an indication of protocol type from the transponder in connection with data transferred from the transponder to the ADLP. This shall include the following types of protocols-
  - (i) surveillance interrogation;
  - (ii) Comm-A interrogation;
  - (iii) Comm-A broadcast interrogation; and
  - (iv) uplink ELM.

The ADLP shall also accept the II code of the interrogator used to transmit the surveillance, Comm-A or uplink ELM.

*Note. — Transponders will not output all-call and ACAS information on this interface.*

- (b) The ADLP shall accept control information from the transponder indicating the status of downlink transfers including-
  - (a) Comm-B close-out;
  - (b) Comm-B broadcast timeout; and
  - (c) downlink ELM close-out.
- (c) The ADLP shall have access to current information defining the communication capability of the Mode S transponder with which it is operating. This information shall be used to generate the data link capability report (see paragraph 39).

### (2) ADLP to transponder

- (a) The ADLP shall provide an indication of protocol type to the transponder in connection with data transferred from the ADLP to the transponder and this shall include the following types of protocols-
  - (a) ground-initiated Comm-B;
  - (b) air-initiated Comm-B;
  - (c) multisite-directed Comm-B;
  - (d) Comm-B broadcast;
  - (e) downlink ELM; and
  - (f) Multisite-directed downlink ELM.

The ADLP shall also provide the II code for transfer of a multisite-directed Comm-B or downlink ELM and the Comm-B data selector (BDS) code (Civil Aviation (Surveillance and Collision Avoidance Systems) Regulations, 2019) for a ground-initiated Comm-B.

(b) The ADLP shall be able to perform frame cancellation as specified in paragraph 6(5).

## **11. GDLP/Mode S interrogator data exchange interface**

### **(1) Interrogator to GDLP**

(a) The GDLP shall accept an indication of protocol type from the interrogator in connection with data transferred from the interrogator to the GDLP and this shall include the following types of protocols-

- (i) ground-initiated Comm-B;
- (ii) air-initiated Comm-B;
- (iii) air-initiated Comm-B broadcast; and
- (iv) downlink ELM.

(b) The GDLP shall accept the BDS code used to identify the ground-initiated Comm-B segment.

(c) The GDLP shall accept control information from the interrogator indicating the status of uplink transfers and the status of the addressed Mode S aircraft.

(2) GDLP to interrogator. The GDLP shall provide an indication of protocol type to the interrogator in connection with data transferred from the GDLP to the interrogator and this shall include the following types of protocols-

- (a) Comm-A interrogation;
- (b) Comm-A broadcast interrogation;
- (c) uplink ELM; and
- (d) ground-initiated Comm-B request.

The GDLP shall also provide the BDS code for the ground-initiated Comm-B protocol.

**12. State transitions of a DCE operations.** The DCE shall operate as a State machine. Upon entering a State, the DCE shall perform the actions specified in Table 3-2 of Schedule 3. State transitions and additional actions shall be as specified in Table 3-3 through Table 3-12 of Schedule 3.

## **13. Disposition of packets of a DCE operation**

(1) Upon receipt of a packet from the DTE, the packet shall be forwarded or not forwarded to the XDCE (via the reformatting process) according to the parenthetical instructions contained in Tables 3-3 to 3-8 of Schedule 3. If no parenthetical instruction is listed or if the parenthetical instruction indicates “do not forward”, the packet shall be discarded.

(2) Upon receipt of a packet from the XDCE (via the reformatting process), the packet shall be forwarded or not forwarded to the DTE according to the parenthetical instructions contained in Tables 3-7 to 3-10 of Schedule 3. If no parenthetical instruction is listed or if the parenthetical instruction indicates “do not forward”, the packet shall be discarded.

## **14. Buffer requirements of Mode S packet layer processing**

(1) *ADLP buffer requirements*

- (a) The following requirements apply to the entire ADLP and shall be interpreted as necessary for each of the main processes (DCE, reformatting, ADCE, frame processing and SSE).
- (b) The ADLP shall be capable of maintaining sufficient buffer space for fifteen SVCs-
  - (i) to hold fifteen Mode S subnetwork packets of 152 bytes each in the uplink direction per SVC for a transponder with uplink ELM capability or 28 bytes otherwise;
  - (ii) to hold fifteen Mode S subnetwork packets of 160 bytes each in the downlink direction per SVC for a transponder with downlink ELM capability or 28 bytes otherwise;
  - (iii) for two Mode S subnetwork INTERRUPT packets of 35 bytes each (user data field plus control information), one in each direction, for each SVC;
  - (iv) for storing thirty-one Mode S subnetwork packets of 152 bytes each in the uplink direction per SVC for a transponder with uplink ELM capability or 28 bytes otherwise;
  - (v) for the temporary storage of at least one Mode S packet of 160 bytes undergoing M-bit or S-bit processing in each direction per SVC; and
  - (vi) of 1 600 bytes in each direction to be shared among all MSPs.

(2) *GDLP buffer requirements*

The GDLP shall be capable of maintaining sufficient buffer space for an average of 4 SVCs for each Mode S aircraft in the coverage area of the interrogators connected to it, assuming all aircraft have ELM capability.

**15. Channel number pools of Mode S packet layer processing**

- (1) The XDLP shall maintain several SVC channel number pools; the DTE/DCE (ISO 8208) interface uses one set. Its organization, structure and use shall be as defined in the ISO 8208 standard. The other channel pools shall be used on the ADCE/GDCE interface.
- (2) The GDLP shall manage a pool of temporary channel numbers in the range of 1 to 3, for each ground DTE/ADLP pair. Mode S CALL REQUEST packets generated by the GDLP shall contain the ground DTE address and a temporary channel number allocated from the pool of that ground DTE. The GDLP shall not reuse a temporary channel number allocated to an SVC that is still in the CALL REQUEST State.
- (3) The ADLP shall use the ground DTE address to distinguish the temporary channel numbers used by the various ground DTEs. The ADLP shall assign a permanent channel number (in the range of 1 to 15) to all SVCs and shall inform the GDLP of the assigned number by including it in the Mode S CALL REQUEST by ADLP or Mode S CALL ACCEPT by ADLP packets. The temporary channel number shall be included in the Mode S CALL ACCEPT by ADLP together with the permanent channel number in order to define the association of these channel numbers. The ADLP shall continue to associate the temporary channel number with the permanent channel number of an SVC until the SVC is returned to the READY (*p1*) State, or else, while in the DATA TRANSFER (*p4*) State, a Mode S CALL REQUEST by GDLP packet is received bearing the same temporary channel number. A

non-zero permanent channel number in the Mode S CLEAR REQUEST by ADLP, CLEAR REQUEST by GDLP, CLEAR CONFIRMATION by ADLP or CLEAR CONFIRMATION by GDLP packet shall indicate that the permanent channel number shall be used and the temporary channel number shall be ignored. In the event that an XDLP is required to send one of these packets in the absence of a permanent channel number, the permanent channel number shall be set to zero, which shall indicate to the peer XDLP that the temporary channel number is to be used.

- (4) The channel number used by the DTE/DCE interface and that used by the ADCE/GDCE interface shall be assigned independently. The reformatting process shall maintain an association table between the DTE/DCE and the ADCE/GDCE channel numbers.

## **16. Receive ready and receive not ready conditions of Mode S packet layer processing**

The ISO 8208 interface and the ADCE/GDCE interface management procedures shall be independent operations since each system must be able to respond to separate receive ready and receive not ready indications.

## **17. Processing of m-bit and s-bit sequence of Mode S packet layer processing**

*Note.— M-bit processing applies to the sequencing of the DATA packet. S-bit processing applies to the sequencing of Mode S CALL REQUEST, CALL ACCEPT, CLEAR REQUEST and INTERRUPT packets.*

### **(1) M-bit processing**

*Note.— The packet size used on the DTE/DCE interface can be different from that used on the ADCE/GDCE interface.*

- (a) M-bit processing shall be used when DATA packets are reformatted. M-bit processing shall utilize the specifications contained in the ISO 8208 standard. The M-bit sequence processing shall apply on a per channel basis. The M-bit set to 1 shall indicate that a user data field continues in the subsequent DATA packet. Subsequent packets in an M-bit sequence shall use the same header format (the packet format excluding the user data field).
- (b) If the packet size for the XDCE interface is larger than that used on the DTE/DCE interface, packets shall be combined to the extent possible as dictated by the M-bit, when transmitting a Mode S DATA packet. If the packet size is smaller on the XDCE interface than that defined on the DTE/DCE interface, packets shall be fragmented to fit into the smaller Mode S packet using M-bit assembly.
- (c) A packet shall be combined with subsequent packets if the packet is filled and more packets exist in the M-bit sequence (M-bit = 1). A packet smaller than the maximum packet size defined for this SVC (partial packet) shall only be allowed when the M-bit indicates the end of an M-bit sequence. A received packet smaller than the maximum packet size with M-bit equal to 1 shall cause a reset to be generated as specified in ISO 8208 and the remainder of the sequence should be discarded.
- (d) In order to decrease delivery delay, reformatting shall be performed on the partial receipt of an M-bit sequence, rather than delay reformatting until the complete M-bit sequence is received.

- (2) *S-bit processing.* S-bit processing shall apply only to Mode S CALL REQUEST, CALL ACCEPT, CLEAR REQUEST and INTERRUPT packets. This processing shall be performed as specified for M-bit processing in subparagraph (1) except that the packets associated with any S-bit sequence whose reassembly is not completed in  $T_q$  seconds (Tables 3-1 and 3-13 of Schedule 3) shall be discarded and receipt of a packet shorter than the maximum packet size with  $S = 1$  shall cause the entire S-bit sequence to be treated as a format error in accordance with Table 3-16 of Schedule 3.

**18. Mode S sub network error processing for ISO 8208 packets.**

- (1) *D-bit.* If the XDLP receives a DATA packet with the D-bit set to 1, the XDLP shall send a RESET REQUEST packet to the originating DTE containing a cause code ( $CC$ ) = 133 and a diagnostic code ( $DC$ ) = 166. If the D-bit is set to 1 in a CALL REQUEST packet, the D-bit shall be ignored by the XDLP. The D-bit of the corresponding CALL ACCEPT packet shall always be set to 0. The use of  $CC$  is optional.
- (2) *Q-bit.* If the XDLP receives a DATA packet with the Q-bit set to 1, the XDLP shall send a RESET REQUEST packet to the originating DTE containing  $CC = 133$  and  $DC = 83$ . The use of  $CC$  is optional.
- (3) *Invalid priority.* If the XDLP receives a call request with a connection priority value equal to 2 through 254, the XDLP shall clear the virtual circuit using  $DC = 66$  and  $CC = 131$ . The use of  $CC$  is optional.
- (4) *Unsupported facility.* If the XDLP receives a call request with a request for a facility that it cannot support, the XDLP shall clear the virtual circuit using  $DC = 65$  and  $C = 131$ . The use of  $CC$  is optional.
- (5) *Illegal calling DTE address.* If the XDLP receives a call request with an illegal calling DTE address, the XDLP shall clear the virtual circuit using  $DC = 68$  and  $CC = 141$ . The use of  $CC$  is optional.
- (6) *Illegal called DTE address.* If the XDLP receives a call request with an illegal called DTE address, the XDLP shall clear the virtual circuit using  $DC = 67$  and  $CC = 141$ . The use of  $CC$  is optional.

**19. Reformatting process of Mode S packet layer processing**

- (1) Call request by ADLP
- (a) Translation into Mode S packets
- (i) Translated packet format. Reception by the ADLP reformatting process of an ISO 8208 CALL REQUEST packet from the local DCE shall result in the generation of corresponding Mode S CALL REQUEST by ADLP packet(s) (as determined by S-bit processing in paragraph 17(2) as follows-

DP:1	MP:1	SP:2	ST:2	FILL2:0 or 2	P:1	FILL:1	SN:6	CH:4	AM:4	AG:8	S:1	FS:2	F:1	LV:4	UD:v
------	------	------	------	--------------	-----	--------	------	------	------	------	-----	------	-----	------	------

- (ii) Data packet type (DP). This field shall be set to 0.

- (iii) *MSP packet type (MP)*. This field shall be set to 1.
- (iv) *Supervisory packet (SP)*. This field shall be set to 1.
- (v) *Supervisory type (ST)*. This field shall be set to 0.
  
- (vi) *Priority (P)*. This field shall be set to 0 for a low priority SVC and to 1 for a high priority SVC. The value for this field shall be obtained from the data transfer field of the priority facility of the ISO 8208 packet, and shall be set to 0 if the ISO 8208 packet does not contain the priority facility or if a priority of 255 is specified. The other fields of the priority facility shall be ignored.
  
- (vii) *Sequence number (SN)*. For a particular SVC, each packet shall be numbered.
  
- (viii) *Channel number (CH)*. The channel number shall be chosen from the pool of SVC channel numbers available to the ADLP. The pool shall consist of 15 values from 1 through 15. The highest available channel number shall be chosen from the pool. An available channel shall be defined as one in State p1. The correspondence between the channel number used by the Mode S subnetwork and the number used by the DTE/DCE interface shall be maintained while the channel is active.
  
- (ix) *Address, mobile (AM)*. This address shall be the mobile DTE sub-address in the range of 0 to 15. The address shall be extracted from the two least significant digits of the calling DTE address contained in the ISO 8208 packet and converted to binary representation.
  
- (x) *Address, ground (AG)*. This address shall be the ground DTE address in the range of 0 to 255. The address shall be extracted from the called DTE address contained in the ISO 8208 packet and converted to binary representation.
  
- (xi) *Fill field*. The fill field shall be used to align subsequent data fields on byte boundaries. When indicated as "FILL:n", the fill field shall be set to a length of "n" bits. When indicated as "FILL1: 0 or 6", the fill field shall be set to a length of 6 bits for a non-multiplexed packet in a downlink SLM frame and 0 bit for all other cases. When indicated as "FILL2: 0 or 2", the fill field shall be set to a length of 0 bit for a non-multiplexed packet in a downlink SLM frame or for a multiplexing header and 2 bits for all other cases.
  
- (xii) *S field (S)*. A value of 1 shall indicate that the packet is part of an S-bit sequence with more packets in the sequence to follow. A value of 0 shall indicate that the sequence ends with this packet. This field shall be set as specified in paragraph 17(2).
  
- (xiii) *FS field (FS)*. A value of 0 shall indicate that the packet does not contain fast select data. A value of 2 or 3 shall indicate that the packet contains fast select

data. A value of 2 shall indicate normal fast select operation. A value of 3 shall indicate fast select with restricted response. An FS value of 1 shall be undefined.

- (xiv) *First packet flag (F)*. This field shall be set to 0 in the first packet of an S-bit sequence and in a packet that is not part of an S-bit sequence. Otherwise it shall be set to 1.
- (xv) *User data length (LV)*. This field shall indicate the number of full bytes used in the last SLM or ELM segment.
- (xvi) *User data field (UD)*. This field shall only be present if optional CALL REQUEST user data (maximum 16 bytes) or fast select user data (maximum 128 bytes) is contained in the ISO 8208 packet. The user data field shall be transferred from ISO 8208 packet unchanged using S-bit processing as specified in paragraph 17(2).

(b) *Translation into ISO 8208 packets*

- (i) *Translation*. Reception by the GDLP reformatting process of a Mode S CALL REQUEST by ADLP packet (or an S-bit sequence of packets) from the GDCE shall result in the generation of a corresponding ISO 8208 CALL REQUEST packet to the local DCE. The translation from the Mode S packet to the ISO 8208 packet shall be the inverse of the processing defined in paragraph 17(1)(a).
- (ii) *Called DTE, calling DTE address and length fields*. The calling DTE address shall be composed of the aircraft address and the value contained in the AM field of the Mode S packet, converted to BCD. The called DTE address shall be the ground DTE address contained in the AG field of the Mode S packet, converted to BCD. The length field shall be as defined in ISO 8208.

(2) *CALL REQUEST BY GDLP*

(a) *Translation into Mode S packets*

- (i) *General*. Reception by the GDLP reformatting process of an ISO 8208 CALL REQUEST packet from the local DCE shall result in the generation of corresponding Mode S CALL REQUEST by GDLP packets (as determined by S-bit processing in paragraph 17(2)) as follows-

DP:1	MP:1	SP:2	ST:2	FILL:2	P:1	FILL:1	SN:6	FILL:2	TC:2	AM:4	AG:8	S:1	FS:2	F:1	LV:4	UD:v
------	------	------	------	--------	-----	--------	------	--------	------	------	------	-----	------	-----	------	------

Fields shown in the packet format and not specified in the following paragraphs shall be set as specified in subparagraph (1).

- (ii) Data packet type (DP). This field shall be set to 0.
- (iii) MSP packet type (MP). This field shall be set to 1.
- (iv) Supervisory packet (SP). This field shall be set to 1.
- (v) Supervisory type (ST). This field shall be set to 0.

(vi) *Temporary channel number field (TC)*. This field shall be used to distinguish multiple call requests from a GDLP. The ADLP reformatting process, upon receipt of a temporary channel number, shall assign a channel number from those presently in the READY State, p1.

(vii) *Address, ground (AG)*. This address shall be the ground DTE address (in the range of 0 to 255. The address shall be extracted from the calling DTE address contained in the ISO 8208 packet and converted to binary representation.

(viii) *Address, mobile (AM)*. This address shall be the mobile DTE sub-address in the range of 0 to 15. The address shall be extracted from the two least significant digits of the called DTE address contained in the ISO 8208 packet and converted to binary representation.

(b) *Translation into ISO 8208 packets*

(i) *Translation*. Reception by the ADLP reformatting process of a Mode S CALL REQUEST by GDLP packet (or an S-bit sequence of packets) from the ADCE shall result in the generation of a corresponding ISO 8208 CALL REQUEST packet to the local DCE. The translation from the Mode S packet to the ISO 8208 packet shall be the inverse of the processing defined in subparagraph (2)(a) with the exceptions as specified in subparagraph (2)(b)(ii).

(ii) *Called DTE, calling DTE address and length fields*. The called DTE address shall be composed of the aircraft address and the value contained in the AM field of the Mode S packet, converted to BCD. The calling DTE address shall be the ground DTE address contained in the AG field of the Mode S packet, converted to BCD. The length field shall be as defined in ISO 8208.

(3) *CALL ACCEPT BY ADLP*

(a) *Translation into Mode S packets*

(i) *Translated packet format*. Reception by the ADLP reformatting process of an ISO 8208 CALL ACCEPT packet from the local DCE shall result in the generation of corresponding Mode S CALL ACCEPT by ADLP packet(s) (as determined by S-bit processing in paragraph 17(2) as follows-

DP:1	MP:1	SP:2	ST:2	FILL:2:0 or 2	TC:2	SN:6	CH:4	AM:4	AG:8	S:1	FILL: 2	F:1	LV:4	UD:v
------	------	------	------	---------------	------	------	------	------	------	-----	------------	-----	------	------

Fields shown in the packet format and not specified in the following paragraphs shall be set as specified in subparagraph (1).

(ii) Data packet type (DP). This field shall be set to 0.

(iii) MSP packet type (MP). This field shall be set to 1.

(iv) Supervisory packet (SP). This field shall be set to 1.

(v) Supervisory type (ST). This field shall be set to 1.

(vi) The temporary channel number value in the originating Mode S CALL REQUEST by GDLP packet shall be returned to the GDLP along with the channel number (CH) assigned by the ADLP.

(vii) The field shall be set equal to the channel number assigned by the ADLP as determined during the CALL REQUEST procedures for the Mode S connection.

(viii) *Address, mobile and address, ground.* The AM and AG values in the originating Mode S CALL REQUEST by GDLP packet shall be returned in these fields. When present, DTE addresses in the ISO 8208 CALL ACCEPT packet shall be ignored.

(b) *Translation into ISO 8208 packets*

(i) *Translation.* Reception by the GDLP reformatting process of a Mode S CALL ACCEPT by ADLP packet (or an S-bit sequence of packets) from the GDCE shall result in the generation of a corresponding ISO 8208 CALL ACCEPT packet to the local DCE. The translation from the Mode S packet to the ISO 8208 packet shall be the inverse of the processing defined in paragraph 20(1) with the exceptions as specified in subparagraph (3) (b)(ii).

(ii) *Called DTE, calling DTE address and length fields.* Where present, the called DTE address shall be composed of the aircraft address and the value contained in the AM field of the Mode S packet, converted to BCD. Where present, the calling DTE address shall be the ground DTE address contained in the AG field of the Mode S packet, converted to BCD. The length field shall be as defined in ISO 8208.

(4) *CALL ACCEPT BY GDLP*

(a) *Translation into Mode S packets*

(i) *Translated packet format.* Reception by the GDLP reformatting process of an ISO 8208 CALL ACCEPT packet from the local DCE shall result in the generation of corresponding Mode S CALL ACCEPT by GDLP packet(s) (as determined by S-bit processing paragraph 17(2) as follows-

DP:1	MP:1	SP:2	ST:2	FILL:2	FILL:2	SN:6	CH:4	AM:4	AG:8	S:1	FILL:2	F:1	LV:4	UD:v
------	------	------	------	--------	--------	------	------	------	------	-----	--------	-----	------	------

Fields shown in the packet format and not specified in the following paragraphs shall be set as specified in subparagraph (1).

(ii) *Data packet type (DP).* This field shall be set to 0.

(iii) *MSP packet type (MP).* This field shall be set to 1.

(iv) *Supervisory packet (SP).* This field shall be set to 1.

(v) *Supervisory type (ST).* This field shall be set to 1.

(vi) *Address, mobile and address, ground.* The AM and AG values in the originating Mode S CALL REQUEST by ADLP packet shall be returned in these fields. When present, DTE addresses in the ISO 8208 CALL ACCEPT packet shall be ignored.

(b) *Translation into ISO 8208 packets*

(i) *Translation.* Reception by the ADLP reformatting process of a Mode S CALL ACCEPT by GDLP packet (or an S-bit sequence of packets) from the ADCE shall result in the generation of a corresponding ISO 8208 CALL ACCEPT packet to the local DCE. The translation from the Mode S packet to the ISO 8208 packet shall be the inverse of the processing defined in subparagraph (4)(a) with the exceptions as specified in subparagraph (4)(a)(ii).

(ii) *Called DTE, calling DTE address and length fields.* Where present, the calling DTE address shall be composed of the aircraft address and the value contained in the AM field of the Mode S packet, converted to BCD. Where present, the called DTE address shall be the ground DTE address contained in the AG field of the Mode S packet, converted to BCD. The length field shall be as defined in ISO 8208.

(5) *CLEAR REQUEST BY ADLP*

(a) *Translation into Mode S packets*

(i) *Translated packet format.* Reception by the ADLP reformatting process of an ISO 8208 CLEAR REQUEST packet from the local DCE shall result in the generation of a corresponding Mode S CLEAR REQUEST by ADLP packet(s) (as determined by S-bit processing paragraph 17(2) as follows-

DP: 1	MP:1	SP:2	ST:2	FILL2:0 or 2	TC:2	SN:6	CH: 4	AM: 4	AG:8	CC:8	DC:8	S:1	FILL:2	F:1	LV:4	UD:v
----------	------	------	------	-----------------	------	------	----------	----------	------	------	------	-----	--------	-----	------	------

Fields shown in the packet format and not specified in the following paragraphs shall be set as specified in subparagraph (1) and (2).

(ii) Data packet type (DP). This field shall be set to 0.

(iii) MSP packet type (MP). This field shall be set to 1.

(iv) Supervisory packet (SP). This field shall be set to 1.

(v) Channel number (CH): If a channel number has been allocated during the call acceptance phase, then CH shall be set to that value, otherwise it shall be set to zero.

(vi) Temporary channel (TC): If a channel number has been allocated during the call acceptance phase, then TC shall be set to zero, otherwise it shall be set to the value used in the CALL REQUEST by GDLP.

(vii) Supervisory type (ST). This field shall be set to 2.

(viii) Address, ground or address, mobile. The AG and AM values in the originating Mode S CALL REQUEST by ADLP or CALL REQUEST by GDLP packets shall be returned in these fields. When present, DTE addresses in the ISO 8208 CLEAR REQUEST packet shall be ignored.

(ix) Clearing cause (CC) and diagnostic code (DC) fields. These fields shall be transferred without modification from the ISO 8208 packet to the Mode S packet when the DTE has initiated the clear procedure. If the XDLP has initiated the clear procedure, the clearing cause field and diagnostic field shall be as defined in the State tables for the DCE and XDCE -The coding *and definition* of these fields shall be as specified in ISO 8208.

(b) Translation into ISO 8208 packets

(i) Translation. Reception by the GDLP reformatting process of a Mode S CLEAR REQUEST by ADLP packet (or an S-bit sequence of packets) from the local GDCE shall result in the generation of a corresponding ISO 8208 CLEAR REQUEST packet to the local DCE. The translation from the Mode S packet to the ISO 8208 packet shall be the inverse of the processing defined in subparagraph (5)(a) with the exceptions specified in subparagraph (5)(b)(ii) and (5)(b)(iii).

(ii) *Called DTE, calling DTE and length fields.* These fields shall be omitted in the ISO 8208 CLEAR REQUEST packet.

(iii) *Clearing cause field.* This field shall be set taking account paragraph 23(3).

**(6) Clear request by GDLP**

(a) *Translation into Mode S packets*

(i) Translated *packet format.* Reception by the GDLP reformatting process of an ISO 8208 CLEAR REQUEST packet from the local DCE shall result in the generation of corresponding Mode S CLEAR REQUEST by GDLP packet(s) (as determined by S-bit processing paragraph 17(2) as follows-

DP:1	MP:1	SP:2	ST:2	FILL: 2	TC:2	SN:6	CH: 4	AM: 4	AG:8	CC:8	DC:8	S:1	FILL:2	F:1	LV:4	UD:v
------	------	------	------	------------	------	------	----------	----------	------	------	------	-----	--------	-----	------	------

Fields shown in the packet format and not specified in the following paragraphs shall be set as specified in subparagraph (1), (2) and (5).

(ii) Data packet type (DP). This field shall be set to 0.

(iii) MSP packet type (MP). This field shall be set to 1.

(iv) Supervisory packet (SP). This field shall be set to 1.

(v) Channel number (CH): If a channel number has been allocated during the call acceptance phase, then CH shall be set to that value, otherwise it shall be set to zero.

(vi) Temporary channel (TC): If a channel number has been allocated during the call acceptance phase, then TC shall be set to zero, otherwise it shall be set to the value used in the CALL REQUEST by GDLP.

(vii) Supervisory *type (ST)*. This field shall be set to 2.

(b) *Translation into ISO 8208 packets*

(i) Translation. Reception by the ADLP reformatting process of a Mode S CLEAR REQUEST by GDLP packet (or an S-bit sequence of packets) from the local ADCE shall result in the generation of a corresponding ISO 8208 CLEAR REQUEST packet to the local DCE. The translation from the Mode S packet to the ISO 8208 packet shall be the inverse of the processing defined in subparagraph (6)(a).

(ii) *Called DTE, calling DTE and length fields*. These fields shall be omitted in the ISO 8208 CLEAR REQUEST packet.

(7) Data

(a) *Translation into Mode S packets*

(i) *Translated packet format*. Reception by the XDLP reformatting process of ISO 8208 DATA packet(s) from the local DCE shall result in the generation of corresponding Mode S DATA packet(s) as determined by M-bit processing in paragraph 17(1)(b), as follows-

DP:1	M:1	SN:6	FILL1:0 or 6	PS:4	PR:4	CH:4	LV:4	UD:v
------	-----	------	--------------	------	------	------	------	------

(ii) Data packet type (DP). This field shall be set to 1.

(iii) M field (M). A value of 1 shall indicate that the packet is part of an M-bit sequence with more packets in the sequence to follow. A value of 0 shall indicate that the sequence ends with this packet. The appropriate value shall be placed in the M-bit field of the Mode S packet.

(iv) Sequence number (SN). The sequence number field shall be set as specified in subparagraph (1)(a)(vii).

(v) Packet send sequence number (PS). The packet send sequence number field shall be set as specified in paragraph 24 (4).

(vi) Packet receive sequence number (PR). The packet receive sequence number field shall be set as specified in paragraph 24(4).

(vii) The channel number field shall contain the Mode S channel number that corresponds to the incoming ISO 8208 DATA packet channel number.

(viii) The user data length shall indicate the number of full bytes used in the last SLM or ELM segment as defined in paragraph 4(1).

(ix) Fill (FILL1) field shall be set as specified in subparagraph (1)(a)(xi).

(x) The user data shall be transferred from the ISO 8208 packet to the Mode S packet utilizing the M-bit packet assembly processing as required.

(b) *Translation into ISO 8208 packets.* Reception by the XDLP reformatting process of Mode S DATA packet(s) from the local XDCE shall result in the generation of corresponding ISO 8208 DATA packet(s) to the local DCE. The translation from Mode S packet(s) to the ISO 8208 packet(s) shall be the inverse of the processing defined in subparagraph (7)(a).

## (8) Interrupt

(a) *Translation into Mode S packets*

(i) Translated *packet format.* Reception by the XDLP reformatting process of an ISO 8208 INTERRUPT packet from the local DCE shall result in the generation of corresponding Mode S INTERRUPT packet(s) (as determined by S-bit processing in paragraph 17(1) as follows-

DP:1	MP:1	SP:2	ST:2	FILL2:0 or 2	S:1	F:1	SN:6	CH:4	LV:4	UD:v
------	------	------	------	--------------	-----	-----	------	------	------	------

Fields shown in the packet format and not specified in the following paragraphs shall be set as specified in subparagraph (1).

(ii) Data packet type (DP). This field shall be set to 0.

(iii) MSP packet type (MP). This field shall be set to 1.

(iv) Supervisory packet (SP). This field shall be set to 3.

(v) Supervisory type (ST). This field shall be set to 1.

(vi) User data length (LV). This field shall be set as specified in paragraph 4(1).

(vii) User data (UD). The user data shall be transferred from the ISO 8208 packet to the Mode S packet using the S-bit packet reassembly processing as required. The maximum size of the user data field for an INTERRUPT packet shall be 32 bytes.

(b) *Translation into ISO 8208 packets.* Reception by the XDLP reformatting process of Mode S INTERRUPT packet(s) from the local XDCE shall result in the generation of a corresponding ISO 8208 INTERRUPT packet to the local DCE. The translation from the Mode S packet(s) to the ISO 8208 packet shall be the inverse of the processing defined in paragraph (8)(a).

## (9) INTERRUPT CONFIRMATION

(a) *Translation into Mode S packets*

(i) Translated *packet format.* Reception by the XDLP reformatting process of an ISO 8208 INTERRUPT CONFIRMATION packet from the local DCE shall result in the generation of a corresponding Mode S INTERRUPT CONFIRMATION packet as follows-

DP:1	MP:1	SP:2	ST:2	SS:2	FILL2:0 or 2	SN:6	CH:4	FILL:4
------	------	------	------	------	--------------	------	------	--------

Fields shown in the packet format and not specified in the following paragraphs shall be set as specified in subparagraph (1).

- (ii) Data packet type (DP). This field shall be set to 0.
- (iii) MSP packet type (MP). This field shall be set to 1.
- (iv) Supervisory packet (SP). This field shall be set to 3.
- (v) Supervisory type (ST). This field shall be set to 3.
- (vi) Supervisory *subset* (SS). This field shall be set to 0.

(b) *Translation into ISO 8208 packets.* Reception by the XDLP reformatting process of a Mode S INTERRUPT CONFIRMATION packet from the local XDCE shall result in the generation of a corresponding ISO 8208 INTERRUPT CONFIRMATION packet to the local DCE. The translation from the Mode S packet to the ISO 8208 packet shall be the inverse of the processing defined in subparagraph (9)(a).

(10) *Reset request*

(a) *Translation into Mode S packets*

(i) *Translated packet format.* Reception by the XDLP reformatting process of an ISO 8208 RESET REQUEST packet from the local DCE shall result in the generation of a corresponding Mode S RESET REQUEST packet as follows-

DP:1	MP:1	SP:2	ST:2	FILL2:0 or 2	FILL:2	SN:6	CH:4	FILL:4	RC:8	DC:8
------	------	------	------	--------------	--------	------	------	--------	------	------

Fields shown in the packet format and not specified in the following paragraphs shall be set as specified in subparagraph (1).

- (ii) Data packet type (DP). This field shall be set to 0.
- (iii) MSP packet type (MP). This field shall be set to 1.
- (iv) Supervisory packet (SP). This field shall be set to 2.
- (v) Supervisory type (ST). This field shall be set to 2.
- (vi) Reset cause code (RC) and diagnostic code (DC). The reset cause and diagnostic codes used in the Mode S RESET REQUEST packet shall be as specified in the ISO 8208 packet when the reset procedure is initiated by the DTE. If the reset procedure originates with the DCE, the DCE State tables shall specify the diagnostic fields coding. In this case, bit 8 of the reset cause field shall be set to 0.

(b) *Translation into ISO 8208 packets.* Reception by the XDLP reformatting process of a Mode S RESET packet from the local XDCE shall result in the generation of a corresponding ISO 8208 RESET packet to the local DCE. The translation from the Mode S packet to the ISO 8208 packet shall be the inverse of the processing defined in subparagraph (10)(a).

(11) *ISO 8208 RESTART REQUEST to Mode S CLEAR REQUEST.* The receipt of an ISO 8208 RESTART REQUEST from the local DCE shall result in the reformatting process generating a Mode S CLEAR REQUEST by ADLP or Mode S CLEAR REQUEST by GDLP for all SVCs associated with the requesting DTE. The fields of the Mode S CLEAR REQUEST packets shall be set as specified in subparagraph (5) and (6).

## 20. Packets local to the mode S sub network

### (1) Mode S receive ready

- (a) *Packet format.* The Mode S RECEIVE READY packet arriving from an XDLP is not related to the control of the DTE/DCE interface and shall not cause the generation of an ISO 8208 packet. The format of the packet shall be as follows-

DP:1	MP:1	SP:2	ST:2	FILL2:0 or 2	FILL:2	SN:6	CH:4	PR:4
------	------	------	------	--------------	--------	------	------	------

- (b) *Data packet type (DP).* This field shall be set to 0.  
 (c) *MSP packet type (MP).* This field shall be set to 1.  
 (d) *Supervisory packet (SP).* This field shall be set to 2.  
 (e) *Supervisory type (ST).* This field shall be set to 0.  
 (f) *Packet receive sequence number (PR).* This field shall be set as specified in paragraph 24(4).

### (2) Mode S Receive Not Ready

- (a) *Packet format.* The Mode S RECEIVE NOT READY packet arriving from an XDLP is not related to the control of the DTE/DCE interface and shall not cause the generation of an ISO 8208 packet. The format of the packet shall be as follows:

DP:1	MP:1	SP:2	ST:2	FILL2:0 or 2	FILL:2	SN:6	CH:4	PR:4
------	------	------	------	--------------	--------	------	------	------

Fields shown in the packet format and not specified in the following paragraphs shall be set as specified in paragraph 19(1). The packet shall be processed as specified in paragraph 26.

- (b) *Data packet type (DP).* This field shall be set to 0.  
 (c) *MSP packet type (MP).* This field shall be set to 1.  
 (d) *Supervisory packet (SP).* This field shall be set to 2.  
 (e) *Supervisory type (ST).* This field shall be set to 1.  
 (f) *Packet receive sequence number (PR).* This field shall be set as specified in paragraph 24(4).

### (3) Mode S route

- (a) *Packet format.* The format for the packet shall be as follows-

DP:1	MP:1	SP:2	ST:2	OF:1	IN:1	RTL:8	RT:v	ODL:0 or 8	OD:v
------	------	------	------	------	------	-------	------	------------	------

Fields shown in the packet format and not specified in the following paragraphs shall be set as specified in paragraph 19(1). The packet shall only be generated by the GDLP. It shall be processed by the ADLP as specified in paragraph 36(2) and shall have a maximum size as specified in paragraph 24(1) (a).

- (b) *Data packet type (DP).* This field shall be set to 0.

- (c) MSP packet type (MP). This field shall be set to 1.
- (d) Supervisory packet (SP). This field shall be set to 3.
- (e) Supervisory type (ST). This field shall be set to 0.
- (f) Option flag (OF). This field shall indicate the presence of the optional data length (ODL) and optional data (OD) fields. OF shall be set to 1 if ODL and OD are present. Otherwise it shall be set to 0.
- (g) Initialization bit (IN). This field shall indicate the requirement for subnetwork initialization. It shall be set by the GDLP as specified in paragraph 36(2).
- (h) Route table length (RTL). This field shall indicate the size of the route table, expressed in bytes.
- (i) Route table (RT)
  - (i) *Contents*. This table shall consist of a variable number of entries each containing information specifying the addition or deletion of entries in the II code-DTE cross-reference table.
  - (ii) *Entries*. Each entry in the route table shall consist of the II code, a list of up to 8 ground DTE addresses, and a flag indicating whether the resulting II code-DTE pairs shall be added or deleted from the II code-DTE cross-reference table. A route table entry shall be coded as follows-

II:4	AD:1	ND:3	DAL:v
------	------	------	-------

- (iii) *Interrogator identifier (II)*. This field shall contain the 4-bit II code.
- (iv) *Add/delete flag (AD)*. This field shall indicate whether the II code-DTE pairs shall be added (AD = 1) or deleted (AD = 0) from the II code-DTE cross-reference table.
- (v) *Number of DTE addresses (ND)*. This field shall be expressed in binary in the range from 0 to 7 and shall indicate the number of DTE addresses present in DAL minus 1 (in order to allow from 1 to 8 DTE addresses).
- (vi) *DTE address list (DAL)*. This list shall consist of up to 8 DTE addresses, expressed in 8-bit binary representation.
- (j) *Optional data length (ODL)*. This field shall contain the length in bytes of the following OD field.
- (k) *Optional data (OD)*. This variable length field shall contain optional data.

(4) Mode S clear confirmation by ADLP

- (a) *Packet format*. The format for this packet shall be as follows-

DP:1	MP:1	SP:2	ST:2	FILL2:0 or 2	TC:2	SN:6	CH:4	AM:4	AG:8
------	------	------	------	--------------	------	------	------	------	------

Fields shown in the packet format and not specified in the following paragraphs shall be set as specified in subparagraph (1) and paragraph 19(5). This packet shall be processed as specified in paragraph 23.

- (b) Data packet type (DP). This field shall be set to 0.
- (c) MSP packet type (MP). This field shall be set to 1.
- (d) Supervisory packet (SP). This field shall be set to 1.
- (e) Channel number (CH): If a channel number has been allocated during the call acceptance phase, then CH shall be set to that value, otherwise it shall be set to zero.
- (f) Temporary channel (TC): If a channel number has been allocated during the call acceptance phase, then TC shall be set to zero, otherwise it shall be set to the value used in the CALL REQUEST by GDLP.
- (g) Supervisory type (ST). This field shall be set to 3.

(5) Mode S clear confirmation by GDLP

(a) *Packet format.* The format for this packet shall be as follows-

DP:1	MP:1	SP:2	ST:2	FILL:2	TC:2	SN:6	CH:4	AM:4	AG:8
------	------	------	------	--------	------	------	------	------	------

Fields shown in the packet format and not specified in the following paragraphs shall be set as specified in subparagraph (1) and paragraph 19(6). This packet shall be processed as specified in paragraph 23.

- (b) Data packet type (DP). This field shall be set to 0.
- (c) MSP packet type (MP). This field shall be set to 1.
- (d) Supervisory packet (SP). This field shall be set to 1.
- (e) Channel number (CH): If a channel number has been allocated during the call acceptance phase, then CH shall be set to that value, otherwise it shall be set to zero.
- (f) Temporary channel (TC): If a channel number has been allocated during the call acceptance phase, then TC shall be set to zero, otherwise it shall be set to the value used in the CALL REQUEST by GDLP.
- (g) Supervisory type (ST). This field shall be set to 3.

(6) *Mode S reset confirmation*

(a) *Packet format.* The format for this packet shall be as follows-

DP:1	MP:1	SP:2	ST:2	FILL2:0 or 2	FILL:2	SN:6	CH:4	FILL:4
------	------	------	------	--------------	--------	------	------	--------

Fields shown in the packet format and not specified in the following paragraphs shall be set as specified in paragraph 19(1). This packet shall be processed as specified in Table 3 -7 of Schedule 3.

- (b) *Data packet type (DP).* This field shall be set to 0.
- (c) *MSP packet type (MP).* This field shall be set to 1.
- (d) *Supervisory packet (SP).* This field shall be set to 2.
- (e) *Supervisory type (ST).* This field shall be set to 3.

(7) *Mode S reject*

(a) *Packet format.* The format for this packet shall be as follows-

DP:1	MP:1	SP:2	ST:2	SS:2	FILL2:0 or 2	SN:6	CH:4	PR:4
------	------	------	------	------	--------------	------	------	------

Fields shown in the packet format and not specified in the following paragraphs shall be set as specified in 1.5.1.1. This packet shall be processed as specified in 1.6.8.

- (b) *Data packet type (DP). This field shall be set to 0.*
- (c) *MSP packet type (MP). This field shall be set to 1.*
- (d) *Supervisory packet (SP). This field shall be set to 3.*
- (e) *Supervisory type (ST). This field shall be set to 3.*
- (f) *Supervisory subset (SS). This field shall be set to 1.*
- (g) *Packet receive sequence number (PR). This field shall be set as specified in paragraph 24(4).*

#### *XDCE operation*

### **21. State transitions of an XDCE operation.**

*Note.— The ADCE process within the ADLP acts as a peer process to the GDCE process in the GDLP*

The XDCE shall operate as a State machine. Upon entering a State, the XDCE shall perform the actions specified in Table 3-7 of Schedule 3. State transition and additional actions shall be as specified in Table 3 -8 through Table 3 -18 of Schedule 3.

*Note 1.— The next State transition (if any) that occurs when the XDCE receives a packet from the peer XDCE is specified by Table 3-8 through Table 3 -19 of Schedule 3. The same transitions are defined in Table 3-16 through Table 3-18 of Schedule 3 when the XDCE receives a packet from the DCE (via the reformatting process).*

*Note 2.— The XDCE State hierarchy is the same as for the DCE as presented in Figure 3- 2 of Schedule 3, except that States r2, r3 and p5 are omitted.*

### **22. Disposition of packets of an XDCE operation**

- (1) Upon receipt of a packet from the peer XDCE, the packet shall be forwarded or not forwarded to the DCE (via the reformatting process) according to the parenthetical instructions contained in Tables 3 -11 to 3 -15 of Schedule 3. If no parenthetical instruction is listed or if the parenthetical instruction indicates “do not forward” the packet shall be discarded.
- (2) Upon receipt of a packet from the DCE (via the reformatting process), the packet shall be forwarded or not forwarded to the peer XDCE according to the parenthetical instructions contained in Tables 3-16 to 3-18 of Schedule 3. If no parenthetical instruction is listed or if the parenthetical instruction indicates “do not forward” the packet shall be discarded.

### **23. SVC call setup and clear procedure of an XDCE operation**

- (1) *Setup procedures.* Upon receipt of a CALL REQUEST from the DCE or peer XDCE, the XDLP shall determine if sufficient resources exist to operate the SVC. This shall include:

sufficient buffer space and an available *p1* State SVC. Upon acceptance of the CALL REQUEST from the DCE (via the reformatting process), the Mode S CALL REQUEST packet shall be forwarded to frame processing. Upon acceptance of a Mode S CALL REQUEST from the peer XDCE (via frame processing), the Mode S CALL REQUEST shall be sent to the *reformatting process*.

(2) *Aborting a call request. If the DTE and/or the peer XDCE abort a call before they have received a CALL ACCEPT packet, they shall indicate this condition by issuing a CLEAR REQUEST packet. Procedures for handling these cases shall be as specified in Table 3-12 and Table 3 -16 of Schedule 3.*

(3) *Virtual call clearing*

(a) If the XDCE receives a Mode S CALL REQUEST from the reformatting process that it cannot support, it shall initiate a Mode S CLEAR REQUEST packet that is sent to the DCE (via the reformatting process) for transfer to the DTE (the DCE thus enters the DCE CLEAR REQUEST to DTE State, *p7*).

(b) If the XDCE receives a Mode S CALL REQUEST packet from the peer XDCE (via frame processing) which it cannot support, it shall enter the State *p7*.

(c) A means shall be provided to advise the DTE whether an SVC has been cleared due to the action of the peer DTE or due to a problem within the sub network itself.

(d) The requirement of subparagraph (c) shall be satisfied by setting bit 8 of the cause field to 1 to indicate that the problem originated in the Mode S sub network and not in the DTE. The diagnostic and cause codes shall be set as follows-

(i) no channel number available, DC = 71, CC = 133;

(ii) buffer space not available, DC = 71, CC = 133;

(iii) DTE not operational, DC = 162, CC = 141; and

(iv) link failure, DC = 225, CC = 137.

(e) If the ADLP receives a Mode S ROUTE packet with the IN bit set to ONE, the ADLP shall perform local initialization by clearing Mode S SVCs associated with the DTE addresses contained in the ROUTE packet. If the GDLP receives a search request (Table 3-23 of Schedule 3) from an ADLP, the GDLP shall perform local initialization by clearing Mode S SVCs associated with that ADLP. Local initialization shall be accomplished by-

(i) releasing all allocated resources associated with these SVCs (including the resequencing buffers);

(ii) returning these SVCs to the ADCE ready State (*p1*); and

(iii) Sending Mode S CLEAR REQUEST packets for these SVCs to the DCE (via the reformatting process) for transfer to the DTE.

(4) *Clear confirmation. When the XDCE receives a Mode S CLEAR CONFIRMATION packet, the remaining allocated resources to manage the SVC shall be released (including the resequencing buffers) and the SVC shall be returned to the *p1* State. Mode S CLEAR CONFIRMATION packets shall not be transferred to the reformatting process.*

- (5) *Clear collision.* A clear collision occurs at the XDCE when it receives a Mode S CLEAR REQUEST packet from the DCE (via the reformatting process) and then receives a Mode S CLEAR REQUEST packet from the peer XDCE (or vice versa). In this event, the XDCE does not expect to receive a Mode S CLEAR CONFIRMATION packet for this SVC and shall consider the clearing complete.
- (6) *Packet processing.* The XDCE shall treat an S-bit sequence of Mode S CALL REQUEST, CALL ACCEPT and CLEAR REQUEST packets as a single entity.

## **24. Data transfer and interrupt procedures of an XDCE operation.**

### *(1) General*

- (a) Data transfer and interrupt procedures shall apply independently to each SVC. The contents of the user data field shall be passed transparently to the DCE or to the peer XDCE. Data shall be transferred in the order dictated by the sequence numbers assigned to the data packets.
- (b) To transfer DATA packets, the SVC shall be in a FLOW CONTROL READY State (*d1*).

### *(2) Mode S packet size*

- (a) The maximum size of Mode S packets shall be 152 bytes in the uplink direction and 160 bytes in the downlink direction for installations that have full uplink and downlink ELM capability. The maximum downlink packet size for level four transponders with less than 16 segment downlink ELM capability shall be 10 bytes times the maximum number of downlink ELM segments that the transponder specifies in its data link capability report. If there is no ELM capability, the maximum Mode S packet size shall be 28 bytes.
- (b) The Mode S sub network shall allow packets of less than the maximum size to be transferred.

### *(3) Flow control window size*

The flow control window size of the Mode S sub network shall be independent of that used on the DTE/DCE interface. The Mode S sub network window size shall be 15 packets in the uplink and downlink directions.

### *(4) SVC flow control*

- (a) Flow control shall be managed by means of a sequence number for received packets (PR) and one for packets that have been sent (PS). A sequence number (PS) shall be assigned for each Mode S DATA packet generated by the XDLP for each SVC. The first Mode S DATA packet transferred by the XDCE to frame processing when the SVC has just entered the flow control ready State shall be numbered zero. The first Mode S packet received from the peer XDCE after an SVC has just entered the flow control ready State shall be numbered zero. Subsequent packets shall be numbered consecutively.

- (b) A source of Mode S DATA packets (the ADCE or GDCE) shall not send (without permission from the receiver) more Mode S DATA packets than would fill the flow control window. The receiver shall give explicit permission to send more packets.
- (c) The permission information shall be in the form of the next expected packet sequence number and shall be denoted PR. If a receiver wishes to update the window and it has data to transmit to the sender, a Mode S DATA packet shall be used for information transfer. If the window must be updated and no data are to be sent, a Mode S RECEIVE READY (RR) or Mode S RECEIVE NOT READY (RNR) packet shall be sent. At this point, the “sliding window” shall be moved to begin at the new PR value. The XDCE shall now be authorized to transfer more packets without acknowledgement up to the window limit.
- (d) When the sequence number (PS) of the next Mode S DATA packet to be sent is in the range  $PR \leq PS \leq PR + 14$  (modulo 16), the sequence number shall be defined to be “in the window” and the XDCE shall be authorized to transmit the packet. Otherwise, the sequence number (PS) of the packet shall be defined to be “outside the window” and the XDCE shall not transmit the packet to the peer XDCE.
- (e) When the sequence number (PS) of the packet received is next in sequence and within the window, the XDCE shall accept this packet. Receipt of a packet with a PS:
  - (i) outside the window; or
  - (ii) out of sequence; or
  - (iii) not equal to 0 for the first data packet after entering FLOW CONTROL READY State (d1); shall be considered an error (see paragraph 28).
- (f) The receipt of a Mode S DATA packet with a valid PS number (i.e. the next PS in sequence) shall cause the lower window PR to be changed to that PS value plus 1. The packet receive sequence number (PR) shall be conveyed to the originating XDLP by a Mode S DATA, RECEIVE READY, RECEIVE NOT READY, or REJECT packet. A valid PR value shall be transmitted by the XDCE to the peer XDCE after the receipt of 8 packets provided that sufficient buffer space exists to store 15 packets. Incrementing the PR and PS fields shall be performed using modulo 16 arithmetic.
- (g) A copy of a packet shall be retained until the user data has been successfully transferred. Following successful transfer, the PS value shall be updated.
- (h) The PR value for user data shall be updated as soon as the required buffer space for the window (as determined by flow control management) is available within the DCE.
- (i) Flow control management shall be provided between the DCE and XDCE.

(5) *Interrupt procedures for switched virtual circuits*

- (a) If user data is to be sent via the Mode S subnetwork without following the flow control procedures, the interrupt procedures shall be used. The interrupt procedure shall have no effect on the normal data packet and flow control procedures. An interrupt packet shall be delivered to the DTE (or the transponder or interrogator interface) at or before the point in the stream of data at which the interrupt was generated. The processing of a Mode S INTERRUPT packet shall occur as soon as it is received by the XDCE.
- (b) The XDCE shall treat an S-bit sequence of Mode S INTERRUPT packets as a single entity.
- (c) Interrupt processing shall have precedence over any other processing for the SVC occurring at the time of the interrupt.
- (d) The reception of a Mode S INTERRUPT packet before the previous interrupt of the SVC has been confirmed (by the receipt of a Mode S INTERRUPT CONFIRMATION packet) shall be defined as an error. The error results in a reset (see Table 3-14 of Schedule 3).

#### **25. Receive ready procedure of an XDCE operation**

- (1) The Mode S RECEIVE READY packet shall be sent if no Mode S DATA packets (that normally contain the updated PR value) are available for transmittal and it is necessary to transfer the latest PR value. It also shall be sent to terminate a receiver not ready condition.
- (2) Receipt of the Mode S RECEIVE READY packet by the XDCE shall cause the XDCE to update its value of PR for the outgoing SVC. It shall not be taken as a demand for retransmission of packets that have already been transmitted and are still in the window.
- (3) Upon receipt of the Mode S RECEIVE READY packet, the XDCE shall go into the ADLP (GDLP) RECEIVE READY State (g1).

#### **26. Receive not ready procedure of an XDCE operation**

- (1) The Mode S RECEIVE NOT READY packet shall be used to indicate a temporary inability to accept additional DATA packets for the given SVC. The Mode S RNR condition shall be cleared by the receipt of a Mode S RR packet or a Mode S REJECT packet.
- (2) When the XDCE receives a Mode S RECEIVE NOT READY packet from the peer XDCE, it shall update its value of PR for the SVC and stop transmitting Mode S DATA packets on the SVC to the XDLP. The XDCE shall go into the ADLP (GDLP) RECEIVE NOT READY State (g2).
- (3) The XDCE shall transmit a Mode S RECEIVE NOT READY packet to the peer XDCE if it is unable to receive from the peer XDCE any more Mode S DATA packets on the indicated SVC. Under these conditions, the XDCE shall go into the ADCE (GDCE) RECEIVE NOT READY State (f2).

## 27. Reset procedure of an XDCE operation

- (1) When the XDCE receives a Mode S RESET REQUEST packet from either the peer XDCE or the DCE (via the reformatting process) or due to an error condition performs its own reset, the following actions shall be taken-
  - (a) those Mode S DATA packets that have been transmitted to the peer XDCE shall be removed from the window;
  - (b) those Mode S DATA packets that are not transmitted to the peer XDCE but are contained in an M-bit sequence for which some packets have been transmitted shall be deleted from the queue of DATA packets awaiting transmission;
  - (c) those Mode S DATA packets received from the peer XDCE that are part of an incomplete M-bit sequence shall be discarded;
  - (d) the lower window edge shall be set to 0 and the next packet sent shall have a sequence number (PS) of 0;
  - (e) any outstanding Mode S INTERRUPT packets to or from the peer XDCE shall be left unconfirmed;
  - (f) any Mode S INTERRUPT packet awaiting transfer shall be discarded;
  - (g) data packets awaiting transfer shall not be discarded (unless they are part of a partially transferred M-bit sequence); and
  - (h) the transition to d1 shall also include a transition to i1, j1, f1 and g1.
- (2) The reset procedure shall apply to the DATA TRANSFER State (*p4*). The error procedure in Table 3-12 of Schedule 3 shall be followed. In any other State the reset procedure shall be abandoned.

## 28. Reject procedure of an XDCE operation

- (1) When the XDCE receives a Mode S DATA packet from the peer XDCE with incorrect format or whose packet sequence number (PS) is not within the defined window (Table 3-1 of Schedule 3) or is out of sequence, it shall discard the received packet and send a Mode S REJECT packet to the peer XDCE via frame processing. The Mode S REJECT packet shall indicate a value of PR for which retransmission of the Mode S DATA packets is to begin. The XDCE shall discard subsequent out-of-sequence Mode S DATA packets whose receipt occurs while the Mode S REJECT packet response is still outstanding.
- (2) When the XDCE receives a Mode S REJECT packet from the peer XDCE, it shall update its lower window value with the new value of PR and begin to (re)transmit packets with a sequence number of PR.
- (3) Reject indications shall not be transferred to the DCE. If the ISO 8208 interface supports the reject procedures, the reject indications occurring on the ISO 8208 interface shall not be transferred between the DCE and the XDCE.

## 29. Packet re-sequencing and duplicate suppression of an XDCE operation

- (1) Resequencing shall be performed independently for the uplink and downlink transfers of each Mode S SVC. The following variables and parameters shall be used-
  - (a) SNR A 6-bit variable indicating the sequence number of a received packet on a specific SVC. It is contained in the SN field of the packet (see paragraph 19(1)(a)(vii)).

- (b) NESN The next expected sequence number following a series of consecutive sequence numbers. HSNR The highest value of SNR in the resequencing window.
- (c) Tq Resequencing timers (see Tables 3-1 and 3-13 of Schedule 3) associated with a specific SVC.

All operations involving the sequence number (SN) shall be performed modulo 64.

- (2) The range of SNR values between  $NESN - 32$  and  $NESN - 1$  inclusive shall be denoted the duplication window.
- (3) The range of SNR values between  $NESN + 1$  and  $NESN + 31$  inclusive shall be denoted the resequencing window. Received packets with a sequence number value in this range shall be stored in the resequencing window in sequence number order.
- (4) Transmission functions
  - (a) For each SVC, the first packet sent to establish a connection (the first Mode S CALL REQUEST or first Mode S CALL ACCEPT packet) shall cause the value of the SN field to be initialized to zero. The value of the SN field shall be incremented after the transmission (or retransmission) of each packet.
  - (b) The maximum number of unacknowledged sequence numbers shall be 32 consecutive SN numbers. Should this condition be reached, then it shall be treated as an error and the channel cleared.
- (5) Receive functions
  - (a) The resequencing algorithm shall maintain the variables HSNR and NESN for each SVC. NESN shall be initialized to 0 for all SVCs and shall be reset to 0 when the SVC re-enters the channel number pool.
  - (b) Processing of packets within the duplication window. If a packet is received with a sequence number value within the duplication window, the packet shall be discarded.
  - (c) Processing of packets within the resequencing window. If a packet is received with a sequence number within the resequencing window, it shall be discarded as a duplicate if a packet with the same sequence number has already been received and stored in the resequencing window. Otherwise, the packet shall be stored in the resequencing window. Then, if no Tq timers are running, HSNR shall be set to the value of SNR for this packet and a Tq timer shall be started with its initial value (Tables 3-1 and 3-13 of Schedule 3). If at least one Tq timer is running, and SNR is not in the window between NESN and  $HSNR + 1$  inclusive, a new Tq timer shall be started and the value of HSNR shall be updated. If at least one Tq timer is running, and SNR for this packet is equal to  $HSNR + 1$ , the value of HSNR shall be updated.
  - (d) Release of packets to the XDCE. If a packet is received with a sequence number equal to NESN, the following procedure shall be applied-
    - (i) a) the packet and any packets already stored in the resequencing window up to the next missing sequence number shall be passed to the XDCE;
    - (ii) b) NESN shall be set to 1 + the value of the sequence number of the last packet passed to the XDCE; and
    - (iii) c) the Tq timer associated with any of the released packets shall be stopped.

- (e) Tq timer expiration. If a Tq timer expires, the following procedure shall be applied-
- (i) NESN shall be incremented until the next missing sequence number is detected after that of the packet associated with the Tq timer that has expired
  - (ii) any stored packets with sequence numbers that are no longer in the resequencing window shall be forwarded or not forwarded to the XDCE except that an incomplete S-bit sequence shall be discarded; and
  - (iii) the Tq timer associated with any released packets shall be stopped.

### **30. Mode S specific services processing**

- (1) Mode S specific services shall be processed in the XDLP by Mode S specific services entity (SSE) and transponder registers shall be used to convey the information specified in Table 3-24 of Schedule 3.
- (2) The data structuring of the registers in Table 3-24 of Schedule 3 shall be implemented in a way that ensures interoperability.

*Note 1.— The data formats and protocols for messages transferred via Mode S specific services are specified in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

*Note 2.— Uniform implementation of the data formats and protocols for messages transferred via Mode S specific services will ensure interoperability.*

*Note 3.— This section describes the processing of control and message data received from the Mode S specific services interface.*

*Note 4.— Control data consists of information permitting the determination of, for example, message length, BDS code used to access the data format for a particular register, and aircraft address.*

### **31. Mode S ADLP processing**

#### **(1) Downlink processing**

- (a) Specific services capability. The ADLP shall be capable of receiving control and message data from the Mode S specific services interface and sending delivery notices to this interface. The control data shall be processed to determine the protocol type and the length of the message data. When the message or control data provided at this interface are erroneous (i.e. incomplete, invalid or inconsistent), the ADLP shall discard the message and deliver an error report at the interface.

*Note. — The diagnostic content and error reporting mechanism are a local issue.*

- (b) Broadcast processing. The control and message data shall be used to format the Comm-B broadcast message as specified in 33 and transferred to the transponder.

- (c) GICB processing. The 8-bit BDS code shall be determined from the control data. The 7-byte register content shall be extracted from the received message data. The register content shall be transferred to the transponder, along with an indication of the specified register number. A request to address one of the air-initiated Comm-B registers or the airborne collision avoidance system (ACAS) active resolution

advisories register shall be discarded. The assignment of registers shall be as specified in Table 3-24 of Schedule 3.

(d) MSP processing

- (i) The MSP message length, channel number (M/CH) (paragraph 33(1)(c)) and optionally the interrogator identifier (II) code shall be determined from the control data. The MSP message content shall be extracted from the received message data. If the message length is 26 bytes or less, the SSE shall format an air-initiated Comm-B message (paragraph 31(1) (d)(ii)) for transfer to the transponder using the short form MSP packet (paragraph 33(1)). If the message length is 27 to 159 bytes and the transponder has adequate downlink ELM capability, the SSE shall format an ELM message for transfer using the short form MSP packet. If the message length is 27 to 159 bytes and the transponder has a limited downlink ELM capability, the SSE shall format multiple long form MSP packets (paragraph 33(2)) using ELM messages, as required utilizing the L-bit and M/SN fields for association of the packets. If the message length is 27 to 159 bytes and the transponder does not have downlink ELM capability, the SSE shall format multiple long form MSP packets (paragraph 33(2)) using air initiated Comm-B messages, as required utilizing the L-bit and M/SN fields for association of the packets. Different frame types shall never be used in the delivery of an MSP message. Messages longer than 159 bytes shall be discarded. The assignment of downlink MSP channel numbers shall be as specified in Table 3-25 of Schedule 3.
- (ii) For an MSP, a request to send a packet shall cause the packet to be multisite-directed to the interrogator which II code is specified in control data. If no II code is specified, the packet shall be downlinked using the air-initiated protocol. A message delivery notice for this packet shall be provided to the Mode S specific interface when the corresponding close-out(s) have been received from the transponder. If a close-out has not been received from the transponder in Tz seconds, as specified in Table 3-1 of Schedule 3, the MSP packet shall be discarded. This shall include the cancellation in the transponder of any frames associated with this packet. A delivery failure notice for this message shall be provided to the Mode S specific services interface.

(2) Uplink processing

*Note.— This section describes the processing of Mode S specific services messages received from the transponder.*

- (a) *Specific services capability.* The ADLP shall be capable of receiving Mode S specific services messages from the transponder via frame processing. The ADLP shall be capable of delivering the messages and the associated control data at the specific services interface. When the resources allocated at this interface are insufficient to accommodate the output data, the ADLP shall discard the message and deliver an error report at this interface.
- (b) *Broadcast processing.* If the received message is a broadcast Comm-A, as indicated by control data received over the transponder/ADLP interface, the broadcast ID and user

data (paragraph 35) shall be forwarded to the Mode S specific services interface along with the control data that identifies this as a broadcast message. The assignment of uplink broadcast identifier numbers shall be as specified in Table 3-23 of Schedule 3.

- (c) MSP processing. If the received message is an MSP, as indicated by the packet format header (paragraph 33), the user data field of the received MSP packet shall be forwarded to the Mode S specific services interface (paragraph 9(1)) together with the MSP channel number (M/CH), the IIS subfield (paragraph 4(1)(a)) together with control data that identifies this as an MSP message. L-bit processing shall be performed as specified in paragraph 34. The assignment of uplink MSP channel numbers shall be as specified in Table 3-25 of Schedule 3.

### **32. Mode S GDLP processing**

#### **(1) Uplink processing**

- (a) The GDLP shall be capable of receiving control and message data from the Mode S specific services interfaces (paragraph 8(2)) and sending delivery notices to the interface(s). The control data shall be processed to determine the protocol type and the length of the message data.
- (b) The GDLP shall determine the interrogator(s), broadcast azimuths and scan times from the control data and format the broadcast message for transfer to the interrogator(s) as specified in paragraph 34.
- (c) The GDLP shall determine the register number and the aircraft address from the control data. The aircraft address and BDS code shall be passed to the interrogator as a request for a ground-initiated Comm-B.
- (d) The GDLP shall extract from the control data the message length, the MSP channel number (M/CH) and the aircraft address, and obtain the message content from the message data. If the message length is 27 bytes or less, the SSE shall format a Comm-A message for transfer to the interrogator using the short form MSP packet (paragraph 33(1)). If the message length is 28 to 151 bytes and the transponder has uplink ELM capability, the SSE shall format an ELM message for transfer to the interrogator using the short form MSP packet. If the message length is 28 to 151 bytes and the transponder does not have uplink ELM capability, the SSE shall format multiple long form MSP packets (paragraph 33(2)) utilizing the L-bit and the M/SN fields for association of the packets. Messages longer than 151 bytes shall be discarded. The interrogator shall provide a delivery notice to the Mode S specific services interface(s) indicating successful or unsuccessful delivery, for each uplinked packet.

#### **(2) Downlink processing**

- (a) The GDLP shall be capable of receiving Mode S specific services messages from the interrogator via frame processing.
- (b) If the received message is a broadcast Comm-B, as indicated by the interrogator/GDLP interface, the GDLP shall-
  - (i) generate control data indicating the presence of a broadcast message and the 24-bit address of the aircraft from which the message was received;

- (ii) append the 7-byte MB field of the broadcast Comm-B; and
- (iii) forward this data to the Mode S specific services interfaces (paragraph 9(2)).

- (c) If the received message is a GICB, as indicated by the interrogator/GDLP interface, the GDLP shall:
    - (i) generate control data indicating the presence of a GICB message, the register number and the 24-bit address of the aircraft from which the message was received;
    - (ii) append the 7-byte MB field of the GICB; and
    - (iii) forward this data to the Mode S specific services interfaces (paragraph 9(2)).
  - (d) If the received message is an MSP as indicated by the packet format header (paragraph 33), the GDLP shall-
    - (i) generate control data indicating the transfer of an MSP, the length of the message, the MSP channel number (M/CH) and the 24-bit address of the aircraft from which the message was received;
    - (ii) append the user data field of the received MSP packet; and
    - (iii) forward this data to the Mode S specific services interface(s) (paragraph 9(2)).
- L-bit processing shall be performed as specified in paragraph 34.

**33. MSP packet formats of Mode S services processing**

(1) Short form MSP packet. The format for this packet shall be as follows-

DP:1	MP:1	M/CH:6	FILL1:0 or 6	UD:v
------	------	--------	--------------	------

- (a) Data packet type (DP). This field shall be set to 0.
- (b) MSP packet type (MP). This field shall be set to 0.
- (c) MSP channel number (M/CH). The field shall be set to the channel number derived from the SSE control data.
- (d) Fill field (FILL1:0 or 6). The fill length shall be 6 bits for a downlink SLM frame. Otherwise the fill length shall be 0.
- (e) User data (UD). The user data field shall contain message data received from the Mode S specific services interface (paragraph 7(2)).

(2) The format for the long form MSP packet shall be as follows-

DP:1	MP:1	SP:2	L:1	M/SN:3	FILL2:0 or 2	M/CH:6	UD:v
------	------	------	-----	--------	--------------	--------	------

Fields shown in the packet format and not specified in the following paragraphs shall be set as specified in paragraph 19(1) and paragraph 33(1).

- (3) *The data packet type (DP)* field shall be set to 0.
  - (a) MSP packet type (MP). This field shall be set to 1.
  - (b) Supervisory packet (SP). This field shall be set to 0.
  - (c) L field (L). A value of 1 shall indicate that the packet is part of an L-bit sequence with more packets in the sequence to follow. A value of 0 shall indicate that the sequence ends with this packet.

- (d) *The MSP sequence number field (M/SN)* shall be used to detect duplication in the delivery of L-bit sequences. The first packet in an L-bit sequence shall be assigned a sequence number of 0. Subsequent packets shall be numbered sequentially. A packet received with the same sequence number as the previously received packet shall be discarded.

#### **34. L-bit processing of Mode S services.**

L-bit processing shall be performed only on the long form MSP packet and shall be performed as specified for M-bit processing (paragraph 17(1)) except as specified in the following paragraphs-

- (1) Upon receipt of a long form MSP packet, the XDLP shall construct the user data field by-
  - (a) verifying that the packet order is correct using the M/SN field (paragraph 33(2));
  - (b) assuming that the user data field in the MSP packet is the largest number of integral bytes that is contained within the frame;
  - (c) associating each user data field in an MSP packet received with a previous user data field in an MSP packet that has an L-bit value of 1; and  
Note.— Truncation of the user data field is not permitted as this is treated as an error condition.
  - (d) if an error is detected in the processing of an MSP packet, the packet shall be discarded.
- (2) In the processing of an L-bit sequence, the XDLP shall discard any MSP packets that have duplicate M/SN values. The XDLP shall discard the entire L-bit sequence if a long form MSP packet is determined to be missing by use of the M/SN field.
- (3) The packets associated with any L-bit sequence whose reassembly is not completed in  $T_m$  seconds (Tables 3-1 and 3-13 of Schedule 3) shall be discarded.

#### **35. Broadcast format of Mode S specific services processing**

- (1) In the case of uplink broadcast, the format of the broadcast Comm-A shall be as follows- The 83-bit uplink broadcast shall be inserted in an uplink Comm-A frame. The MA field of the Comm-A frame shall contain the broadcast identifier specified in Table 3-23 of Schedule 3 in the first 8 bits, followed by the first 48 user data bits of the broadcast message. The last 27 user data bits of the broadcast message shall be placed in the 27 bits immediately following the UF field of the Comm-A frame.
- (2) In the case of downlink broadcast, the format of broadcast Comm-B shall be as follows- The 56-bit downlink broadcast message shall be inserted in the MB field of the broadcast Comm-B. The MB field shall contain the broadcast identifier specified in Table 3-23 of Schedule 3 in the first 8 bits, followed by the 48 user data bits.

#### *Mode S Sub Network Management*

#### **36. Interrogator link determination function in Mode S sub network Management**

*Note.— The ADLP interrogator link determination function selects the II code of the Mode S interrogator through which a Mode S subnetwork packet may be routed to the desired destination ground DTE.*

- (1) The ADLP shall construct and manage a Mode S interrogator-data terminal equipment (DTE) cross-reference table whose entries are Mode S interrogator identifier (II) codes and ground DTE addresses associated with the ground ATN routers or other ground DTEs. Each entry of the II code-DTE cross-reference table shall consist of the 4-bit Mode S II code and the 8-bit binary representation of the ground DTE.

*Note 1.— Due to the requirement for non-ambiguous addresses, a DTE address also uniquely identifies a GDLP.*

*Note 2.— An ATN router may have more than one ground DTE address.*

- (2) The following procedures shall be used-
  - (a) when the GDLP initially detects the presence of an aircraft, or detects contact with a currently acquired aircraft through an interrogator with a new II code, the appropriate fields of the DATA LINK CAPABILITY report shall be examined to determine if, and to what level, the aircraft has the capability to participate in a data exchange. After positive determination of data link capability, the GDLP shall uplink one or more Mode S ROUTE packets as specified in paragraph 20(3). This information shall relate the Mode S II code with the ground DTE addresses accessible through that interrogator. The ADLP shall update the II code-DTE cross-reference table and then discard the Mode S ROUTE packet(s);
  - (b) a II code-DTE cross-reference table entry shall be deleted when commanded by a Mode S ROUTE packet or when the ADLP recognizes that the transponder has not been selectively interrogated by a Mode S interrogator with a given II code for  $T_s$  seconds by monitoring the IIS subfield in Mode S surveillance or Comm-A interrogations (Table 3-1 of Schedule 3);
  - (c) when the GDLP determines that modification is required to the Mode S interrogator assignment, it shall transfer one or more Mode S ROUTE packets to the ADLP. The update information contained in the Mode S ROUTE packet shall be used by the ADLP to modify its cross-reference table. Additions shall be processed before deletions;
  - (d) when the GDLP sends the initial ROUTE packet after acquisition of a Mode S data link-equipped aircraft, the IN bit shall be set to ONE. This value shall cause the ADLP to perform the procedures as specified in paragraph 23(3)(c). Otherwise, the IN bit shall be set to ZERO;
  - (e) when the ADLP is initialized (e.g. after a power-up procedure), the ADLP shall issue a search request by sending a broadcast Comm-B message with broadcast identifier equal to 255 (FF16, as specified in Table 3-23 of Schedule 3) and the remaining 6 bytes unused. On receipt of a search request, a GDLP shall respond with one or more Mode S ROUTE packets, clear all SVCs associated with the ADLP, as specified in paragraph 23(3), and discard the search request. This shall cause the ADLP to initialize the II code-DTE cross-reference table; and

- (f) on receipt of an update request (Table 3-23 of Schedule 3), a GDLP shall respond with one or more Mode S ROUTE packets and discard the update request. This shall cause the ADLP to update the II code-DTE cross-reference table.

*Note.— The update request may be used by the ADLP under exceptional circumstances (e.g. changeover to standby unit) to verify the contents of its II code DTE crossreference table.*

(3) Procedures for downlinking Mode S packets

- (a) When the ADLP has a packet to downlink, the following procedures shall apply-

- (i) If the packet to be transferred is a Mode S CALL REQUEST, the ground DTE address field shall be examined and shall be associated with a connected Mode S interrogator using the II code-DTE crossreference table. The packet shall be downlinked using the multisite-directed protocol. A request to transfer a packet to a DTE address not in the cross-reference table shall result in the action specified in paragraph 23(3)(a).

- (ii) For an SVC, a request to send a packet to a ground DTE shall cause the packet to be multisite directed to the last Mode S interrogator used to successfully transfer (uplink or downlink) a packet to that DTE, provided that this Mode S interrogator is currently in the II code-DTE cross-reference table. Otherwise, an SVC packet shall be downlinked using the multisite-directed protocol to any other Mode S interrogator associated with the specified ground DTE address. Level 5 transponders shall be permitted to use additional interrogators for downlink transfer as indicated in the II code-DTE cross-reference table.

- (b) A downlink frame transfer shall be defined to be successful if its Comm-B or ELM close-out is received from the transponder within  $T_z$  seconds as specified in Table 3-1 of Schedule 3. If the attempt is not successful and an SVC packet is to be sent, the II code-DTE cross-reference table shall be examined for another entry with the same called ground DTE address and a different Mode S II code. The procedure shall be retried using the multisite-directed protocol with the new Mode S interrogator. If there are no entries for the required called DTE, or all entries result in a failed attempt, a link failure shall be declared (paragraph 38(1)).

**37. Support for the DTE(S) in Mode S sub network management**

- (1) The GDLP shall notify the ground DTE(s) of the availability of a Mode S data link-equipped aircraft (“join event”). The GDLP shall also inform the ground DTEs when such an aircraft is no longer in contact via that GDLP (“leave event”). The GDLP shall provide for notification (on request) of all Mode S data link equipped aircraft currently in contact with that GDLP. The notifications shall provide the ground ATN router with the subnetwork point of attachment (SNPA) address of the mobile ATN router, with the position of the aircraft and quality of service as optional parameters. The SNPA of the mobile ATN router shall be the DTE address formed by the aircraft address and a sub-address of 0 (paragraph 8(3)(b)).

- (2) The ADLP shall notify all aircraft DTEs whenever the last remaining entry for a ground DTE is deleted from the II code-DTE cross-reference table (paragraph 36(1)). This notification shall include the address of this DTE.

- (3) The mechanism for communication of changes in sub network connectivity shall be a confirmed service, such as the join/leave events that allow notification of the connectivity status.

### **38. Error procedures in mode S sub network management**

- (1) The failure to deliver a packet to the referenced XDLP after an attempt has been made to deliver this packet via all available interrogators shall be declared to be a link level failure. For an SVC, the XDCE shall enter the State p1 and release all resources associated with that channel. This shall include the cancellation in the transponder of any frames associated with this SVC. A Mode S CLEAR REQUEST packet shall be sent to the DCE via the reformatting process and shall be forwarded by the DCE as an ISO 8208 packet to the local DTE as described in paragraph 23(3). On the aircraft side, the channel shall not be returned to the ADCE channel pool, i.e. does not return to the State p1, until Tr seconds after the link failure has been declared (Table 3-1 of Schedule 3).
- (2) Active channel determination
  - (a) *Procedure for d1 State.* The XDLP shall monitor the activity of all SVCs, not in a READY State (p1). If an SVC is in the (XDCE) FLOW CONTROL READY State (d1) for more than Tx seconds (the active channel timer, Tables 3-1 and 3-13 of Schedule 3) without sending a Mode S RR, RNR, DATA, or REJECT packet, then-
    - (i) if the last packet sent was a Mode S REJECT packet to which a response has not been received, then the XDLP shall resend that packet; or
    - (ii) the XDLP shall send a Mode S RR or RNR packet as appropriate to the peer XDLP.
  - (b) *Procedure for other States.* If an XDCE SVC is in the p2, p3, p6, p7, d2 or d3 State for more than Tx seconds, the link failure procedure in paragraph 36(1)) shall be performed.
  - (c) Link failure shall be declared if either a failure to deliver, or a failure to receive, keep-alive packets has occurred. In which case the channel shall be cleared.

### **39. The data link capability report**

The data link capability report shall be as specified in the Civil Aviation (Surveillance and Collision Avoidance System) Regulations 2019.

### **40. System timers**

- (1) The values for timers shall conform to the values given in Tables 3-1 and 3-13 of Schedule 3.
- (2) Tolerance for all timers shall be plus or minus one per cent.
- (3) Resolution for all timers shall be one second.

### **41. System requirements**

- (1) The maximum bit error rates for data presented at the ADLP/transponder interface or the GDLP/interrogator interface measured at the local DTE/XDLP interface (and vice versa) shall not exceed  $10^{-9}$  for undetected errors and  $10^{-7}$  for detected errors.

*Note.— The maximum error rate includes all errors resulting from data transfers across the interfaces and from XDLP internal operation.*

(2) Timing

- (a) ADLP operations shall not take longer than 0.25 seconds for regular traffic and 0.125 seconds for interrupt traffic. This interval shall be defined as follows-
- (i) for transponders with downlink ELM capability: the time that the final bit of a 128-byte data packet is presented to the DCE for downlink transfer to the time that the final bit of the first encapsulating frame is available for delivery to the transponder;
  - (ii) for transponders with Comm-B capability: the time that the final bit of a user data field of 24 bytes is presented to the DCE for downlink transfer to the time that the final bit of the last of the four Comm-B segments that forms the frame encapsulating the user data is available for delivery to the transponder;
  - (iii) for transponders with uplink ELM capability: the time that the final bit of the last segment of an ELM of 14 Comm-C segments that contains a user data field of 128 bytes is received by the ADLP to the time that the final bit of the corresponding packet is available for delivery to the DTE;
  - (iv) for transponders with Comm-A capability: the time that the final bit of the last segment of four linked Comm-A segments that contains a user data field of 25 bytes is received by the ADLP to the time that the final bit of the corresponding packet is available for delivery to the DTE;
- (b) The total time delay across the GDLP, exclusive of transmission delay, shall not be greater than 0.125 seconds.
- (c) The physical interface between the ADLP and the transponder shall have a minimum bit rate of 100 kilobits per second.

## SCHEDULE 3

Regulation 46(b)

### DCE AND XDCE STATE TABLES AND OTHER TABLES

#### 1. State table requirements.

The DCE and XDCE shall function as specified in Tables 3-1 to 3-18. State tables 3-11 through 3-18 shall be applied to-

- (a) ADLP state transitions when the XDCE or XDLP terms in parenthesis are omitted; and
- (b) GDLP state transitions when the terms in parenthesis are used and the XDCE or XDLP preceding them are omitted.

2. *Diagnostic and cause codes.* The table entries for certain conditions indicate a diagnostic code that shall be included in the packet generated when entering Uganda. The term, “*D = ,*” shall define the diagnostic code. When “*A = DIAG*”, the action taken shall be to generate an ISO 8208 DIAGNOSTIC packet and transfer it to the DTE; the diagnostic code indicated shall define the entry in the diagnostic field of the packet. The cause field shall be set as specified in paragraph 21(3) of Schedule 2. The reset cause field shall be set as specified in ISO 8208.

*Note 1.— The tables provided below specify the Authority’s requirements in the following order-*

*3-1 DCE special cases*

*3-2 DTE effect on DCE restart States*

*3-3 DTE effect on DCE call setup and clearing States*

*3-4 DTE effect on DCE reset States*

*3-5 DTE effect on DCE interrupt transfer States*

*3-6 DTE effect on DCE flow control transfer States*

*3-7 XDCE effect on DCE restart States*

*3-8 XDCE effect on DCE call setup and clearing States*

*3-9 XDCE effect on DCE reset States*

*3-10 XDCE effect on DCE interrupt transfer States*

*3-11 GDLP (ADLP) effect on ADCE (GDCE) packet layer ready States*

*3-12 GDLP (ADLP) effect on ADCE (GDCE) call setup and clearing States*

*3-13 GDLP (ADLP) effect on ADCE (GDCE) reset States*

*3-14 GDLP (ADLP) effect on ADCE (GDCE) interrupt transfer States*

*3-15 GDLP (ADLP) effect on ADCE (GDCE) flow control transfer States*

*3-16 DCE effect on ADCE (GDCE) call setup and clearing States*

*3-17 DCE effect on ADCE (GDCE) reset States*

*3-18 DCE effect on ADCE (GDCE) interrupt transfer States*

*Note 2.— All tables specify both ADLP and GDLP actions.*

*Note 3. — Within the Mode S subnetwork, States p6 and d2 are transient States.*

*Note 4. — References to “notes” in the State tables refer to table-specific notes that follow each State table.*

*Note 5. — All diagnostic and cause codes are interpreted as decimal numbers.*

Note 6. — An SVC between an ADCE and a GDCE may be identified by a temporary or permanent channel number, as defined in Schedule 2.

## TABLES

**Table 3-1. ADLP Mode S subnetwork timers**

<i>Timer name</i>	<i>Timer label</i>	<i>Nominal value</i>	<i>Reference</i>
Channel retirement	<i>Tr</i>	600 s	38(1)
Active channel-ADLP	<i>Tx</i>	420 s	38(2)
Interrogator interrogation	<i>Ts</i>	60 s	36(2)
Interrogator link	<i>Tz</i>	30 s	31(1)(d)(ii), (36) (3)(b)
Link frame cancellation	<i>Tc</i>	60 s	(2)(3)(b)(v)
L-bit delivery-ADLP	<i>Tm</i>	120 s	34(3)
Packet resequencing and S-bit delivery	<i>Tq</i>	60 s	29(5)(e)

**Table 3-2 DCE Actions at state transition**

<i>DCE state</i>	<i>State definition</i>	<i>Action that shall be taken when entering the state</i>
<i>r1</i>	PACKET LEVEL READY	Return all SVCs to the <i>p1</i> state (see <i>p1</i> state explanation).
<i>r2</i>	DTE RESTART REQUEST	Return each SVC to the <i>p1</i> state (see <i>p1</i> state explanation). Issue a RESTART CONFIRMATION to the DTE.
<i>r3</i>	DCE RESTART REQUEST	Issue a RESTART REQUEST to the DTE. Unless entered via the <i>r2</i> state, send a RESTART REQUEST to the reformatting process.
<i>p1</i>	READY	Release all resources assigned to SVC. Break the correspondence between the DTE/DCE SVC and the ADCE/GDCE SVC (the ADCE/GDCE SVC may not yet be in the <i>p1</i> state).
<i>p2</i>	DTE CALL REQUEST	Determine if sufficient resources exist to support request; if so, allocate resources and forward CALL REQUEST packet to reformatting process; if not, enter DCE CLEAR REQUEST to DTE state ( <i>p7</i> ). Determination of resources and allocation is as defined in ISO 8208.
<i>p3</i>	DCE CALL REQUEST	Determine if sufficient resources exist to support request; if so allocate resources and forward CALL REQUEST packet to DTE; if not, send a CLEAR REQUEST packet to the reformatting process. Determination of resources and allocation is as defined in ISO 8208.
<i>p4</i>	DATA TRANSFER	No action.
<i>p5</i>	CALL COLLISION	Reassign outgoing call to another SVC (the DTE in its call collision state ignores the incoming call) and enter the DCE CALL REQUEST state ( <i>p3</i> ) for that new SVC. Enter the <i>p2</i> state to process the CALL REQUEST from the DTE.
<i>p6</i>	DTE CLEAR REQUEST	Release all resources assigned to SVC, send a CLEAR CONFIRMATION packet to the DTE and enter <i>p1</i> state.
<i>p7</i>	DCE CLEAR REQUEST to DTE	Forward CLEAR REQUEST packet to DTE.
<i>d1</i>	FLOW CONTROL READY	No action.
<i>d2</i>	DTE RESET REQUEST	Remove DATA packets transmitted to DTE from window; discard any DATA packets that represent partially transmitted M-bit sequences and discard any INTERRUPT packet awaiting transfer to the DTE; reset all window counters to 0; set any timers and retransmission parameters relating to DATA and INTERRUPT transfer to their initial value. Send RESET CONFIRMATION packet to DTE. Return SVC to <i>d1</i> state.
<i>d3</i>	DCE RESET REQUEST to DTE	Remove DATA packets transmitted to DTE from window; discard any DATA packets that represent partially transmitted M-bit sequences and discard any INTERRUPT packet awaiting Transfer to the DTE; reset all window counters to 0; set any timers and retransmission parameters

		relating to DATA and INTERRUPT transfer to their initial value. Forward RESET REQUEST PACKET DTE.
i1	DTE INTERRUPT READY	No action.
i2	DTE INTERRUPT SENT	Forward INTERRUPT packet received from DTE to reformatting process.
j1	DCE INTERRUPT READY	No action
j2	DCE INTERRUPT SENT	Forward INTERRUPT packet received from reformatting process to DTE.
f1	DCE RECEIVE READY	No action.
f2	DCE RECEIVE NOT READY	No action.
g1	DTE RECEIVE READY	No action
g2	DTE RECEIVE NOT READY	No action

**Table 3-3. DCE special cases**

<i>Received from DTE</i>	<i>DCE special cases Any state</i>
Any packet less than 2 bytes in length (including a valid data link level frame containing no packet)	A=DIAG D=38
Any packet with an invalid general format identifier	A=DIAG D=40
Any packet with a valid general format identifier and an assigned logical channel identifier (includes a logical channel identifier of 0)	See Table 3-4

**Table 3-4 DTE effect on DCE restart states**

Packet received from DTE	DCE restart states (see Note 5)		
	PACKET LEVEL READY (see Note 1) <i>r1</i>	DTE RESTART REQUEST <i>r2</i>	DCE RESTART REQUEST <i>r3</i>
Packets having a packet type identifier shorter than 1 byte and logical channel identifier not equal to 0	See Table 3-5	<i>A=ERROR</i>  <i>S=r3</i> <i>D=38</i> (see Note 4)	<i>A=DISCARD</i>
Any packet, except RESTART, REGISTRATION (if supported) with a logical channel identifier of 0	<i>A=DIAG</i> <i>D=36</i>	<i>A=DIAG</i> <i>D=36</i>	<i>A=DIAG</i> <i>D=36</i>
Packet with a packet type identifier which is undefined or not supported by DCE	See Table 3-5	<i>A=ERROR</i> <i>S=r3</i> <i>D=33</i> (see Note 4)	<i>A=DISCARD</i>
RESTART REQUEST, RESTART CONFIRMATION, or REGISTRATION (if supported) packet with a logical channel identifier unequal to 0	See Table 3-5	<i>A=ERROR</i> <i>S=r3</i> <i>D=41</i> (see Note 4)	<i>A=DISCARD</i>
RESTART REQUEST	<i>A=NORMAL</i> (forward) <i>S=r2</i>	<i>A=DISCARD</i>	<i>A=NORMAL</i> <i>S=p1</i> or <i>d1</i> (see Note 2)
RESTART CONFIRMATION	<i>A=ERROR</i> <i>S=r3</i> <i>D=17</i> (see Note 6)	<i>A=ERROR</i> <i>S=r3</i> <i>D=18</i> (see Note 4)	<i>A=NORMAL</i> <i>S=p1</i> or <i>d1</i> (see Note 2)

RESTART REQUEST OR RESTART CONFIRMATION packet with a format error	<i>A=DIAG</i> <i>D=38, 39, 81 or 82</i>	<i>A=DISCARD</i>	<i>A=ERROR</i> <i>D=38, 39, 81 or 82</i>
REGISTRATION REQUEST or REGISTRATION CONFIRMATION packets (see Note 3)	<i>A=NORMAL</i>	<i>A=NORMAL</i>	<i>A=NORMAL</i>
REGISTRATION REQUEST or REGISTRATION CONFIRMATION packet with a format error (see Note 3)	<i>A=DIAG</i> <i>D=38, 39, 81 or 82</i>	<i>A=ERROR</i> <i>S=r3</i> <i>D=38, 39, 81 or 82</i> (see Note 4)	<i>A=ERROR</i> <i>D=38, 39, 81 or 82</i>
Call setup, call clearing, DATA, interrupt, flow control, or reset packet	See Table 3-5	<i>A=ERROR</i> <i>S=r3</i> <i>D=18</i>	<i>A=DISCARD</i>

**NOTES:**

1. The Mode S subnetwork has no restart states. Receipt of a RESTART REQUEST causes the DCE to respond with a RESTART CONFIRMATION. The RESTART REQUEST packet is forwarded to the reformatting process, which issues clear requests for all SVCs associated with the DTE. The DCE enters the r3 state only as a result of an error detected on the DTE/DCE interface.
2. The SVC channels are returned to state p1, the permanent virtual circuits (PVC) channels are returned to state d1.
3. The use of the registration facility is optional on the DTE/DCE interface
4. No action is taken within the Mode S subnetwork
5. Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared for the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur
6. The error procedure consists of entering the r3 state, and sending a RESTART REQUEST to the reformatting process.

**Table 3-5. DTE effect on DCE call setup and clearing states**

DCE call setup and clearing states (see Note 5)							
	Packet received from	DTE CALL REQUEST	DCE CALL REQUEST	DATA TRANSFER	CALL COLLISION	DTE CLEAR REQUEST	DCE CLEAR REQUEST to DTE
	<i>p1</i>	<i>p2</i>	<i>p3</i>	<i>p4</i>	<i>p5</i> (see Notes 1 and 4)	<i>p6</i>	<i>p7</i>
<i>A=ERROR</i>  <i>S=p7</i> <i>D=38</i>	Packets having a packet type identifier shorter than 1 byte	<i>A=ERROR</i>  <i>S=p7</i> <i>D=38</i> (see Note 2)	<i>A=ERROR</i>  <i>S=p7</i> <i>D=38</i> (see Note 2)	See Table 3-6	<i>A=ERROR</i>  <i>S=p7</i> <i>D=38</i> (see Note 2)	<i>A=ERROR</i>  <i>S=p7</i> <i>D=38</i> (see Note 2)	<i>A=DISCARD</i>
<i>A=ERROR</i>  <i>S=p7</i> <i>D=33</i>	Packets having a packet type identifier which is undefined or not supported by DCE	<i>A=ERROR</i>  <i>S=p7</i> <i>D=33</i> (see Note 2)	<i>A=ERROR</i>  <i>S=p7</i> <i>D=33</i> (see Note 2)	See Table 3-6	<i>A=ERROR</i>  <i>S=p7</i> <i>D=33</i> (see Note 2)	<i>A=ERROR</i>  <i>S=p7</i> <i>D=33</i> (see Note 2)	<i>A=DISCARD</i>
<i>A=ERROR</i>  <i>S=p7</i>  <i>D=41</i>	RESTART REQUEST, RESTART CONFIRMATION or REGISTRATION packet with logical channel identifier unequal to 0	<i>A=ERROR</i>  <i>S=p7</i> <i>D=41</i> (see Note 2)	<i>A=ERROR</i>  <i>S=p7</i> <i>D=41</i> (see Note 2)	See Table 3-6	<i>A=ERROR</i>  <i>S=p7</i> <i>D=41</i> (see Note 2)	<i>A=ERROR</i>  <i>S=p7</i> <i>D=41</i> (see Note 2)	<i>A=DISCARD</i>
<i>A=NORMAL</i>  <i>S=p2</i> (forward)	CALL REQUEST	<i>A=ERROR</i>  <i>S=p7</i> <i>D=21</i> (see Note 2)	<i>A=NORMAL</i>  <i>S=p5</i>	<i>A=ERROR</i>  <i>S=p7</i> <i>D=23</i> (see Note 2)	<i>A=ERROR</i>  <i>S=p7</i> <i>D=24</i> (see Note 2)	<i>A=ERROR</i>  <i>S=p7</i> <i>D=25</i> (see Note 2)	<i>A=DISCARD</i>
<i>A=ERROR</i>  <i>S=p7</i> <i>D=20</i>	CALL ACCEPT	<i>A=ERROR</i>  <i>S=p7</i> <i>D=21</i> (see Note 2)	<i>A=NORMAL</i>  <i>S=p4</i> (Forward) or <i>A=ERROR</i> <i>S=p7</i> <i>D=42</i> (see Notes 2)	<i>A=ERROR</i>  <i>S=p7</i> <i>D=23</i> (see Note 2)	<i>A=ERROR</i>  <i>S=p7</i> <i>D=24</i> (see Notes 2 and 4)	<i>A=ERROR</i>  <i>S=p7</i> <i>D=25</i> (see Note 2)	<i>A=DISCARD</i>

and 3)							
<i>A=NORMAL</i> <i>S=p6</i>	CLEAR REQUEST	<i>A=NORMAL</i> <i>S=p6</i>	<i>A=NORMAL</i> <i>S=p6</i>	<i>A=NORMAL</i> <i>S=p6</i>	<i>A=NORMAL</i> <i>S=p6</i>	<i>A=DISCARD</i>	<i>A=NORMAL</i> <i>S=p1</i> (do not forward)
		(forward)	(forward)	(forward)	(forward)		
<i>A=ERROR</i> <i>S=p7</i>	CLEAR CONFIRMATION	<i>A=ERROR</i> <i>S=p7</i>	<i>A=ERROR</i> <i>S=p7</i>	<i>A=ERROR</i> <i>S=p7</i>	<i>A=ERROR</i> <i>S=p7</i>	<i>A=ERROR</i> <i>S=p7</i>	<i>A=NORMAL</i> <i>S=p1</i> (do not forward)
<i>D=20</i>		<i>D=21</i> (see Note 2)	<i>D=22</i> (see Note 2)	<i>D=23</i> (see Note 2)	<i>D=24</i> (see Note 2)	<i>D=25</i> (see Note 2)	
<i>A=ERROR</i> <i>S=p7</i> <i>D=20</i>	DATA, interrupt, flow control or reset packets	<i>A=ERROR</i> <i>S=p7</i> <i>D=21</i> (see Note 2)	<i>A=ERROR</i> <i>S=p7</i> <i>D=22</i> (see Note 2)	See Table 3-6	<i>A=ERROR</i> <i>S=p7</i> <i>D=24</i> (see Note 2)	<i>A=ERROR</i> <i>S=p7</i> <i>D=25</i> (see Note 2)	<i>A=DISCARD</i>

DCE call setup and clearing states (see Note 5)							
Packet received from DTE	READY <i>p1</i>	DTE CALL REQUEST <i>p2</i>	DCE CALL REQUEST <i>p3</i>	DATA TRANSFER <i>p4</i>	CALL COLLISION <i>p5</i> (see Notes 1 and 4)	DTE CLEAR REQUEST <i>p6</i>	DCE CLEAR REQUEST to DTE <i>p7</i>
<b>NOTES:</b>							
1. On entering the <i>p5</i> state, the DCE reassigns the outgoing call to the DTE to another channel (no CLEAR REQUEST is issued) and responds to incoming DTE call as appropriate with a CLEAR REQUEST or CALL ACCEPT packet.							
2. The error procedure consists of performing the actions specified when entering the <i>p7</i> state (including sending a CLEAR REQUEST packet to the DTE) and additionally sending a CLEAR REQUEST packet to the XDCE (via the reformatting process).							
3. The use of the fast select facility with a restriction on the response prohibits the DTE from sending a CALL ACCEPT packet							
4. The DTE in the event of a call collision must discard the CALL REQUEST packet received from the DC.							
5. Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared from the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur.							

**Table 3-6 DTE effect on DCE reset states**

DCE reset states (see Note 2)			
Packet received from DTE	FLOW CONTROL READY <i>d1</i>	RESET REQUEST by DTE <i>d2</i>	DCE RESET REQUEST to DTE <i>d3</i>
Packet with a packet type identifier shorter than 1 byte	<i>A=ERROR</i> <i>S=d3</i> <i>D=38</i>	<i>A=ERROR</i> <i>S=d3</i> <i>D=38</i>	<i>A=DISCARD</i>
Packet with a packet type identifier which is undefined or not supported by DCE	<i>A=ERROR</i> <i>S=d3</i> <i>D=33</i>	<i>A=ERROR</i> <i>S=d3</i> <i>D=33</i>	<i>A=DISCARD</i>
RESTART REQUEST, RESTART CONFIRMATION, or REGISTRATION (if supported) packet with logical channel identifier unequal to 0	<i>A=ERROR</i> <i>S=d3</i> <i>D=41</i>	<i>A=ERROR</i> <i>S=d3</i> <i>D=41</i>	<i>A=DISCARD</i>
RESET REQUEST	<i>A=NORMAL</i> <i>S=d2</i> (forward)	<i>A=DISCARD</i>	<i>A=NORMAL</i> <i>S=d1</i> (do not forward)

RESET CONFIRMATION	<i>A=ERROR</i> <i>S=d3</i> <i>D=27</i>	<i>A=ERROR</i> <i>S=d3</i> <i>D=28</i>	<i>A=NORMAL</i> <i>S=d1</i> (do not forward)
INTERRUPT packet	See Table 3-7	<i>A=ERROR</i> <i>S=d3</i> <i>D=28</i>	<i>A=DISCARD</i>
INTERRUPT CONFIRMATION packet	See Table 3-7	<i>A=ERROR</i> <i>S=d3</i> <i>D=28</i>	<i>A=DISCARD</i>
DATA or flow control packet	See Table 3-8	<i>A=ERROR</i> <i>S=d3</i> <i>D=28</i>	<i>A=DISCARD</i>
REJECT supported but not subscribed to	<i>A=ERROR</i> <i>S=d3</i> <i>D=37</i>	<i>A=ERROR</i> <i>S=d3</i> <i>D=37</i>	<i>A=DISCARD</i>
<p><b>NOTES:</b>  <i>The error procedure consists of performing the specified actions when entering the d3 state (which includes forwarding a RESET REQUEST packet to the DTE) and sending a RESET REQUEST packet to the XDCE (via the formatting function).</i>  <i>Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared for the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur</i></p>			

**Table 3-7 DTE effect on DCE interrupt transfer states**

Packet received from DTE	DTE/DCE interrupt transfer states (see Note 2)	
	DTE INTERRUPT READY <i>i1</i>	DTE INTERRUPT SENT <i>i2</i>
INTERRUPT (see Note 1)	<i>A=NORMAL</i> <i>S=i2</i> (forward)	<i>A=ERROR</i> <i>S=d3</i> <i>D=44</i> (see Note 3)
Packet received from DTE	DTE/DCE interrupt transfer states (see Note 2)	
	DCE INTERRUPT READY <i>j1</i>	DCE INTERRUPT SENT <i>j2</i>
INTERRUPT CONFIRMATION (see Note 1)	<i>A=ERROR</i> <i>S=d3</i> <i>D=43</i> (see Note 3)	<i>A=NORMAL</i> <i>S=j1</i> (forward)
<p><b>NOTES:</b></p> <ol style="list-style-type: none"> <li><i>If the packet has a format error, then the error procedure applies (see Note 3). Interrupt packets with user data greater than 32 bytes should be treated as a format error.</i></li> <li><i>Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared from the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur.</i></li> <li><i>The error procedure consists of performing the specified actions when entering the d3 state (which includes forwarding a RESET REQUEST packet to the DTE) and sending a RESET REQUEST packet to the XDCE (via the reformatting process).</i></li> </ol>		

**Table 3-8 DTE effect on DCE flow control transfer states**

Packet received from DTE	DCE flow control transfer states (see Notes 2 and 3)	
	DCE RECEIVE READY <i>f1</i>	DCE RECEIVE NOT READY <i>f2</i>
DATA packet with less than 4 bytes when using modulo 128 numbering	<i>A=ERROR</i> <i>S=d3</i> <i>D=38</i> (see Note 4)	<i>A=DISCARD</i>
DATA packet with invalid PR	<i>A=ERROR</i> <i>S=d3</i> <i>D=2</i> (see Note 4)	<i>A=ERROR</i> <i>S=d3</i> <i>D=2</i> (see Note 4)
DATA packet with valid PR but invalid PS or user data field with improper format	<i>A=ERROR</i> <i>S=d3</i> <i>D=1</i> (invalid PS) <i>D=39</i> (UD > max negotiated length) <i>D=82</i> (UD unaligned) (see Note 4)	<i>A=DISCARD</i> (process PR data)
DATA packet with valid PR with M-bit set to 1 when the user data field is partially full	<i>A=ERROR</i> <i>S=d3</i> <i>D=165</i> (see Note 4)	<i>A=DISCARD</i> (process PR data)
DATA packet with valid PR, PS and user data field format	<i>A=NORMAL</i> (forward)	<i>A=DISCARD</i> (process PR data)
Packet received from DTE	DCE flow control transfer states (see Notes 2 and 3)	
	DTE RECEIVE READY <i>g1</i>	DTE RECEIVE NOT READY <i>g2</i>
RR, RNR, or REJECT packet with less than 3 bytes when using modulo 128 numbering (see Note 1)	<i>A=DISCARD</i>	<i>A=DISCARD</i>
RR, RNR, or REJECT packet with an invalid PR	<i>A=ERROR</i> <i>S=d3</i> <i>D=2</i> (see Note 4)	<i>A=ERROR</i> <i>S=d3</i> <i>D=2</i> (see Note 4)
RR packet with a valid PR	<i>A=NORMAL</i>	<i>A=NORMAL</i> <i>S=g1</i>
RNR packet with a valid PR	<i>A=NORMAL</i> <i>S=g2</i>	<i>A=NORMAL</i>
REJECT packet with a valid PR	<i>A=NORMAL</i>	<i>A=NORMAL</i> <i>S=g1</i>
<b>NOTES:</b>		
1. The reject procedures are not required.		
2. The RR, RNR and REJECT procedures are a local DTE/DCE matter and the corresponding packets are not forwarded to the XDCE.		
3. Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared from the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur.		
4. The error procedure consists of performing the specified actions when entering the d3 state (which includes forwarding a RESET REQUEST packet to the DTE) and sending a RESET REQUEST packet to the XDCE (via the reformatting process).		

**Table 3-9. XDCE effect on DCE restart states**

Packet received from XDCE	DCE restart states (see Note)		
	PACKET LEVEL READY <i>r1</i>	DTE RESTART REQUEST <i>r2</i>	DCE RESTART REQUEST <i>r3</i>
CALL REQUEST	See Table 3-10	Send CLEAR REQUEST to reformatting process with <i>D=244</i>	Send CLEAR REQUEST to reformatting process with <i>D=244</i>
CALL ACCEPT, CLEAR REQUEST, DATA, INTERRUPT, INTERRUPT CONFIRMATION, RESET REQUEST	See Table 3-10	<i>A=DISCARD</i>	<i>A=DISCARD</i>

Note.— Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared from the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur.

**Table 3-10. XDCE effect on DCE call setup and clearing states**

Packet received from XDCE	DCE call setup and clearing states (see Note)						
	READY <i>p1</i>	DTE CALL REQUEST <i>p2</i>	DCE CALL REQUEST <i>p3</i>	DATA TRANSFER <i>p4</i>	CALL COLLISION <i>p5</i>	DTE CLEAR REQUEST <i>p6</i>	DCE CLEAR REQUEST to DTE <i>p7</i>
CALL REQUEST	<i>A=NORMAL</i> <i>S=p3</i> (forward)	INVALID	INVALID	INVALID	INVALID	INVALID	INVALID
CALL ACCEPT	<i>A=DISCARD</i>	<i>A=NORMAL</i> <i>S=p4</i> (forward)	INVALID	INVALID	INVALID	<i>A=DISCARD</i>	<i>A=DISCARD</i>
CLEAR REQUEST	<i>A=DISCARD</i>	<i>A=NORMAL</i> <i>S=p7</i> (forward)	<i>A=NORMAL</i> <i>S=p7</i> (forward)	<i>A=NORMAL</i> <i>S=p7</i> (forward)	INVALID	<i>A=DISCARD</i>	<i>A=DISCARD</i>
DATA, INTERRUPT, INTERRUPT CONFIRMATION, or RESET REQUEST	<i>A=DISCARD</i>	INVALID	INVALID	See Table 3-11	INVALID	<i>A=DISCARD</i>	<i>A=DISCARD</i>

Note.— Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared from the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur.

**Table 3-11 XDCE effect on DCE**

Packet received from XDCE	DCE reset states (see Note)		
	FLOW CONTROL READY <i>d1</i>	DTE RESET REQUEST <i>d2</i>	DCE RESET REQUEST to DTE <i>d3</i>
RESET REQUEST	<i>A=NORMAL</i> <i>S=d3</i> (forward)	<i>A=NORMAL</i> <i>S=d1</i> (forward)	<i>A=DISCARD</i>
INTERRUPT	See Table 3-12	<i>A=DISCARD</i>	<i>A=DISCARD</i>
INTERRUPT CONFIRMATION	See Table 3-12	<i>A=DISCARD</i>	INVALID
DATA	<i>A=NORMAL</i> (forward)	<i>A=DISCARD</i>	<i>A=DISCARD</i>

*Note.— Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared from the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur.*

**Table 3-12 XDCE effect on DCE interrupt transfer states**

Packet received from XDCE	DCE interrupt transfer states (see Note)	
	DTE INTERRUPT READY <i>i1</i>	DTE INTERRUPT SENT <i>i2</i>
INTERRUPT CONFIRMATION	INVALID	<i>A=NORMAL</i> <i>S=i1</i> (forward)

Packet received from XDCE	DCE interrupt transfer states (see Note)	
	DCE INTERRUPT READY <i>j1</i>	DCE INTERRUPT SENT <i>j2</i>
INTERRUPT	<i>A=NORMAL</i> <i>S=j2</i> (forward)	INVALID

*Note.— Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared from the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur.*

**Table 3-13. GDLP Mode S subnetwork timers**

<i>Timer name</i>	<i>Timer label</i>	<i>Nominal value</i>	<i>Reference</i>
Active channel-GDLP	<i>T<sub>x</sub></i>	300 s	Paragraph 38(3)
L-bit delivery-GDLP	<i>T<sub>m</sub></i>	120 s	Paragraph 34(3)
Packet resequencing and S-bit delivery	<i>T<sub>q</sub></i>	60 s	Paragraph 29(5)(e)

**Table 3-14. XDCE actions at state transition**

<i>XDCE state</i>	<i>State definition</i>	<i>Action that shall be taken when entering the state</i>
<i>r1</i>	PACKET LEVEL READY	Return all SVCs to the <i>p1</i> state.
<i>p1</i>	READY	Release all resources assigned to the SVC. Break the correspondence between the ADCE/GDCE SVC and the DTE/DCE SVC (the DTE/DCE SVC may not yet be in a <i>p1</i> state).
<i>p2</i>	GDLP(ADLP) CALL REQUEST	Determine if sufficient resources exist to support request; if so allocate resources and forward Mode S CALL REQUEST packet to reformatting process; if not, enter ADCE(GDCE) CLEAR REQUEST to GDLP(ADLP) state ( <i>p7</i> ).
<i>p3</i>	ADCE(GDCE) CALL REQUEST	Determine if sufficient resources exist to support request; if so, allocate resources and forward Mode S CALL REQUEST packet to frame processing; if not, send Mode S CLEAR REQUEST to reformatting process and go to state <i>p1</i> . Do not forward the Mode S CALL REQUEST to the peer XDCE.
<i>p4</i>	DATA TRANSFER	No action.
<i>p6</i>	GDLP(ADLP) CLEAR REQUEST	Release all resources, send a Mode S CLEAR CONFIRMATION packet to the peer XDCE and enter the <i>p1</i> state.
<i>p7</i>	ADCE(GDCE) CLEAR REQUEST to GDLP(ADLP)	Forward Mode S CLEAR REQUEST packet to the peer XDCE via frame processing.
<i>d1</i>	FLOW CONTROL READY	No action.
<i>d2</i>	GDLP(ADLP) RESET REQUEST	Remove Mode S DATA packets transmitted to peer XDCE from window; discard any DATA packets that represent partially transmitted M-bit sequences and discard any Mode S INTERRUPT packets awaiting transfer to the peer XDCE; reset all flow control window counters to 0. Send Mode S RESET CONFIRMATION packet to the peer XDCE. Return SVC to <i>d1</i> state. Forward Mode S RESET REQUEST packet to reformatting process.
<i>d3</i>	ADCE(GDCE) RESET REQUEST to GDLP(ADLP)	Remove Mode S DATA packets transmitted to peer XDCE from window; discard any DATA packets that represent partially transmitted M-bit sequences and discard any Mode S INTERRUPT packets awaiting transfer to the peer XDCE; reset all flow control window counters to 0. Forward Mode S RESET REQUEST packet to peer XDCE via frame processing.
<i>i1</i>	GDLP(ADLP) INTERRUPT READY	No action.
<i>i2</i>	GDLP(ADLP) INTERRUPT SENT	Forward Mode S INTERRUPT packet received from peer XDCE to the reformatting process.
<i>j1</i>	ADCE(GDCE) INTERRUPT READY	No action.
<i>j2</i>	ADCE(GDCE) INTERRUPT SENT	Forward Mode S INTERRUPT packet received from the reformatting process.
<i>/1</i>	ADCE(GDCE) RECEIVE READY	No action.
<i>/2</i>	ADCE(GDCE) RECEIVE NOT READY	No action.
<i>g1</i>	GDLP(ADLP) RECEIVE READY	No action.
<i>g2</i>	GDLP(ADLP) RECEIVE NOT READY	No action.

**Table 3-15. GDLP (ADLP) effect on ADCE (GDCE) packet layer ready states**

	ADCE (GDCE) states (see Notes 1 and 3)
Packet received from GDLP (ADLP) (see Note 2)	PACKET LEVEL READY r1
CH=0 with no TC present (see Note 4) or CH=0 in a CALL ACCEPT by ADLP packet	A=DISCARD
Unassigned packet header	A=DISCARD
Call setup, call clearing, DATA, interrupt, flow control, or reset	
<p>NOTES:</p> <ol style="list-style-type: none"> <li>1. The XDCE state is not necessarily the same state as the DTE/DCE interface.</li> <li>2. All packets from the peer XDLP have been checked for duplication before evaluation as represented by this table.</li> <li>3. Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared from the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur.</li> <li>4. Where CH=0 and a valid TC is present in a CLEAR REQUEST by ADLP or GDLP packet or a CLEAR CONFIRMATION by ADLP or GDLP packet, it is handled as described in paragraph 15(2) and Table 3-16.</li> </ol>	

**Table 3-16 GDLP (ADLP) effect on ADCE (GDCE) call setup and clearing states**

Packet received from GDLP (ADLP) (see Note 2)	ADCE (GDCE) call setup and clearing States (See Notes 1, 7 and 8)					
	GDLP (ADLP) READY p1	GDLP (ADLP) CALL REQUEST p2	ADCE (GDCE) CALL REQUEST p3	DATA TRANSFER p4	GDLP (ADLP) CLEAR REQUEST p6	ADCE (GDCE) CLEAR REQUEST to GDLP (ADLP) p7
Format error (see Note 3)	A=ERROR (see Note 10) S=p7 D=33 (see Note 9)	A=ERROR S=p7 D=33 (see Note 6)	A=ERROR S=p7 D=33 (see Notes 6 and 9)	See Table 5-17	A=ERROR S=p7 D=25 (see Note 6)	A=DISCARD
CALL REQUEST	A=NORMAL (paragraph 23(1) S=p2 (forward request to DCE)	A=ERROR S=p7 D=21 (see Note 6)	Not applicable (see Note 4)	Not applicable (see Note 4)	A=ERROR S=p7 D=25 (see Note 6)	A=DISCARD
CALL ACCEPT	A=ERROR S=p7 D=20 (see Note 10)	A=ERROR S=p7 D=21 (see Note 6)	A=NORMAL (paragraph 23(1) S=p4 (forward to DCE), or A=ERROR	A=ERROR S=p7 D=23 (see Note 6)	A=ERROR S=p7 D=25 (see Note 6)	A=DISCARD
<p>S=p7 D=42 (see Note 6)</p>						

Packet received from GDLP (ADLP) (see Note 2)	ADCE (GDCE) call setup and clearing States (See Notes 1, 7 and 8)					
	READY p1	GDLP (ADLP) CALL REQUEST p2	ADCE (GDCE) CALL REQUEST p3	DATA TRANSFER p4	GDLP (ADLP) CLEAR REQUEST p6	ADCE (GDCE) CLEAR REQUEST to GDLP (ADLP) p7
CLEAR REQUEST	<i>A=NORMAL</i> ( paragraph 23(1) <i>S=p6</i> (do not forward)	<i>A=NORMAL</i> ( paragraph 23(1) <i>S=p6</i> (forward to DCE)	<i>A=NORMAL</i> ( paragraph 23(1) <i>S=p6</i> (forward to DCE)	<i>A=NORMAL</i> ( paragraph 23(1) <i>S=p6</i> (forward to DCE)	<i>A=DISCARD</i>	<i>A=NORMAL</i> ( paragraph 23(1) <i>S=p1</i> (do not forward)
CLEAR CONFIRMATION	<i>A=ERROR</i> <i>S=p7</i> <i>D=20</i> (see Note 10)	<i>A=ERROR</i> <i>S=p7</i> <i>D=21</i> (see Note 6)	<i>A=ERROR</i> <i>S=p7</i> <i>D=22</i> (see Note 6)	<i>A=ERROR</i> <i>S=p7</i> <i>D=23</i> (see Note 6)	<i>A=ERROR</i> <i>S=p7</i> <i>D=25</i> (see Note 6)	<i>A=NORMAL</i> <i>S=p1</i> (do not forward)
DATA, interrupt, flow control or reset packets	<i>A=ERROR</i> <i>S=p7</i> <i>D=20</i> (see Note 10)	<i>A=ERROR</i> <i>S=p7</i> <i>D=21</i> (see Notes 6 and 9)	<i>A=ERROR</i> <i>S=p7</i> <i>D=22</i> (see Notes 5 and 6)	See Table 5-17	<i>A=ERROR</i> <i>S=p7</i> <i>D=25</i> (see Note 6)	<i>A=DISCARD</i>

NOTES:

1. The XDCE is not necessarily in the same state as the DTE/DCE interface.
2. All packets from the peer XDLP have been checked for duplication before evaluation as represented by this table.
3. A format error may result from an S-bit sequence having a first or intermediate packet shorter than the maximum length, or else from an invalid LV field in a CALL REQUEST, CALL ACCEPT, CLEAR REQUEST or INTERRUPT packet. There are no other detectable Mode S format errors.
4. The ADCE assigns all channel numbers used between the ADLP and GDLP, hence call collisions are not possible. When a CALL REQUEST by GDLP packet is received bearing a temporary channel number associated with an SVC in the p4 state, the association of the temporary to permanent channel number is broken.
5. Not applicable to the GDLP.
6. The error procedure consists of performing the actions specified when entering the p7 state (including sending a CLEAR REQUEST packet to the peer XDLP) and additionally sending a CLEAR REQUEST packet to the DCE (via the reformatting process).
7. Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared from the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur.
8. The number in parentheses below an "A = NORMAL" table entry is the paragraph number in this document that defines the actions to be taken to perform normal processing on the received packet. If no paragraph number is referenced, the normal processing is defined in the table entry.
9. An error condition is declared and transfer to the p7 state is possible only if the ground DTE address is known unambiguously. Otherwise the action is to discard the packet.
10. The error procedure consists of performing the action when entering the p7 state (including sending a CLEAR REQUEST packet to the XDLP) but without sending a CLEAR REQUEST packet to the local DCE.

**Table 3-17 GDLP (ADLP) effect on DCE (GDCE) reset states**

Packet received from GDLP (ADLP) (see Note 2)	ADCE (GDCE) reset states (see Notes 1, 4 and 5)		
	FLOW CONTROL READY <i>d1</i>	GDLP (ADLP) RESET REQUEST <i>d2</i>	ADCE (GDCE) RESET REQUEST to GDLP (ADLP) <i>d3</i>
RESET REQUEST	<i>A=NORMAL</i> (paragraph 27(2)) <i>S=d2</i> (forward to DCE)	<i>A=DISCARD</i>	<i>A=NORMAL</i> (paragraph 27(2)) <i>S=d1</i> (do not forward)
RESET CONFIRMATION	<i>A=ERROR</i> <i>S=d3</i> <i>D=27</i> (see Note 3)	<i>A=ERROR</i> <i>S=d3</i> <i>D=28</i> (see Note 3)	<i>A=NORMAL</i> <i>S=d1</i> (do not forward)
INTERRUPT	See Table 3-18	<i>A=ERROR</i> <i>S=d3</i> <i>D=28</i> (see Note 3)	<i>A=DISCARD</i>
INTERRUPT CONFIRMATION	See Table 3-18	<i>A=ERROR</i> <i>S=d3</i> <i>D=28</i> (see Note 3)	<i>A=DISCARD</i>
DATA or flow control packet	See Table 3-19	<i>A=ERROR</i> <i>S=d3</i> <i>D=28</i> (see Note 3)	<i>A=DISCARD</i>
Format error (see Note 6)	<i>A=ERROR</i> <i>S=d3</i> <i>D=33</i> (see Note 3)	<i>A=ERROR</i> <i>S=d3</i> <i>D=33</i> (see Note 3)	<i>A=DISCARD</i>
NOTES:			
<ol style="list-style-type: none"> <li>1. The XDCE is not necessarily in the same state as the DTE/DCE interface.</li> <li>2. All packets from the peer XDLP have been checked for duplication before evaluation as represented by this table.</li> <li>3. The error procedure consists of performing the specified actions when entering the <i>d3</i> state (which includes forwarding a RESET REQUEST packet to the peer XDLP) and sending a RESET REQUEST packet to the DCE (via the formatting function).</li> <li>4. Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared for the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur.</li> <li>5. The number in parentheses below an "A = NORMAL" table entry is the paragraph number in this document that defines the actions to be taken to perform normal processing on the received packet. If no paragraph number is referenced, the normal processing is defined in the table entry.</li> <li>6. A format error may result from an S-bit sequence having a first or intermediate packet shorter than the maximum length, or else from an invalid LV field in a CALL REQUEST, CALL ACCEPT, CLEAR REQUEST, or INTERRUPT packet. There are no other detectable Mode S format errors.</li> </ol>			

**Table 3-18. GDLP (ADLP) effect on ADCE (GDCE) interrupt transfer states**

	ADCE/GDCE interrupt transfer states (see Notes 1, 3 and 4)	
Packet received from GDLP (ADLP) (see Note 2)	GDLP (ADLP) INTERRUPT READY <i>i1</i>	GDLP (ADLP) INTERRUPT SENT <i>i2</i>
INTERRUPT (see Note 6)	<i>A=NORMAL</i>  <i>S=i2</i> (forward to DCE)	<i>A=ERROR</i> <i>S=d3</i> <i>D=44</i> (see Note 5)
	ADCE (GDCE) interrupt transfer states (see Notes 1, 3 and 4)	
Packet received from GDLP (ADLP) (see Note 2)	ADCE (GDCE) INTERRUPT READY <i>j1</i>	ADCE (GDCE) INTERRUPT SENT <i>j2</i>
INTERRUPT CONFIRMATION	<i>A=ERROR</i> <i>S=d3</i> <i>D=43</i> (see Note 5)	<i>A=NORMAL</i>  <i>S=j1</i> (forward confirmation to DCE)
<p>NOTES:</p> <ol style="list-style-type: none"> <li>1. The XDCE is not necessarily in the same state as the DTE/DCE interface.</li> <li>2. All packets from the peer XDLP have been checked for duplication before evaluation as represented by this table.</li> <li>3. Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared for the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur.</li> <li>4. The number in parentheses below an "A = NORMAL" table entry is the paragraph number in this document that defines the actions to be taken to perform normal processing on the received packet. If no paragraph number is referenced, the normal processing is defined in the table entry.</li> <li>5. The error procedure consists of performing the specified actions when entering the d3 state (which includes forwarding a RESET REQUEST packet to the peer XDLP) and sending a RESET REQUEST packet to the DCE (via the reformatting process).</li> <li>6. User data length for INTERRUPT packets greater than 32 bytes, or an out of sequence INTERRUPT packet, are considered as errors.</li> </ol>		

**Table 3-19 GDLP (ADLP) effect on ADCE (GDCE) flow control transfer states**

	ADCE (GDCE) flow control transfer states (see Notes 1, 6 and 7)	
Packet received from GDLP (ADLP) (see Note 2)	ADCE (GDCE) RECEIVE READY <i>f1</i>	ADCE (GDCE) RECEIVE NOT READY <i>f2</i>
DATA packet with invalid PR (see Note 3)	<i>A=ERROR</i> <i>S=d3</i> <i>D=2</i> (see Note 8)	<i>A=ERROR</i> <i>S=d3</i> <i>D=2</i> (see Note 8)
DATA packet with valid PR, invalid PS or LV subfield (see Notes 4 and 5)	<i>A=DISCARD</i> , but process the PR value and send REJECT packet containing the expected PS value (see Note 5)	<i>A=DISCARD</i> , but process the PR value and send REJECT packet containing the expected PS value when busy condition ends

DATA packet with valid PR, PS and LV subfield	<i>A=NORMAL</i>  (forward)	<i>A=PROCESS</i> , if possible; or <i>A=DISCARD</i> , but process the PR value and send REJECT containing the expected PS value when busy condition ends
	ADCE (GDCE) flow control transfer states (see Notes 1, 6 and 7)	
Packet received from GDLP (ADLP) (see Note 2)	GDLP (ADLP) RECEIVE READY g1	GDLP (ADLP) RECEIVE NOT READY g2
RR, RNR, REJECT packet with invalid PR (see Note 3)	<i>A=ERROR</i> <i>S=d3</i> <i>D=2</i> (see Note 8)	<i>A=ERROR</i> <i>S=d3</i> <i>D=2</i> (see Note 8)
RR with valid PR field (see Note 9)	<i>A=NORMAL</i>	<i>A=NORMAL</i>  <i>S=g1</i>
RNR with valid PR value (see Note 9)	<i>A=NORMAL</i>  <i>S=g2</i>	<i>A=NORMAL</i>
REJECT with valid PR (see Note 9)	<i>A=NORMAL</i>	<i>A=NORMAL</i>  <i>S=g1</i>
<b>NOTES:</b>		
<ol style="list-style-type: none"> <li>1. The XDCE is not necessarily in the same state as the DTE/DCE interface.</li> <li>2. All packets from the peer XDLP have been checked for duplication before evaluation as represented by this table.</li> <li>3. An invalid PR value is one which is less than the PR value (modulo 16) of the last packet sent by the peer XDLP, or greater than the PS value of the next data packet to be transmitted by the XDLP.</li> <li>4. An invalid PS value is one which is different from the next expected value for PS.</li> <li>5. An invalid LV subfield is one which represents a value that is too large for the size of the segment received. In the event of an LV field error which gives rise to a loss of confidence in the correctness of the other fields in the packet, the packet is discarded without any further action.</li> <li>6. Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared from the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur.</li> <li>7. The number in parentheses below an "A = NORMAL" table entry is the paragraph number in this document that defines the actions to be taken to perform normal processing on the received packet. If no paragraph number is referenced, the normal processing is defined in the table entry.</li> <li>8. The error procedure consists of performing the specified actions when entering the d3 state (which includes forwarding a RESET REQUEST packet to the peer XDLP) and sending a RESET REQUEST packet to the DCE (via the reformatting process).</li> <li>9. RR, RNR, and REJECT packets have no end-to-end significance and are not forwarded to the DCE.</li> <li>10. The receipt of a packet smaller than the maximum packet size with M-bit = 1 will cause a reset to be generated and the remainder of the sequence will be discarded.</li> </ol>		

**Table 3-20. DCE effect on ADCE (GDCE) call setup and clearing states**

Packet received from DCE (see Notes 2 and 4)	ADCE (GDCE) call setup and clearing states (see Notes 1, 7 and 8)					
	READY p1	GDLP (ADLP) CALL REQUEST p2	ADCE (GDCE) CALL REQUEST p3	DATA TRANSFER p4	GDLP (ADLP) CLEAR REQUEST p6	ADCE (GDCE) to GDLP (ADLP) CLEAR REQUEST p7
CALL REQUEST (see Note 6)	A=NORMAL  S=p3 (forward)	INVALID (see Note 5)	INVALID (see Note 3)	INVALID (see Note 3)	INVALID (see Note 3)	INVALID (see Note 3)
CALL ACCEPT (see Note 4)	A=DISCARD	A=NORMAL S=P4 (forward)	INVALID (see Note 3)	INVALID (see Note 3)	A=DISCARD	A=DISCARD
CLEAR REQUEST (see Note 4)	A=DISCARD	A=NORMAL Paragraph 23(3) S=p7 (forward)	A=NORMAL Paragraph 23(3) S=p7 (forward)	A=NORMAL Paragraph 23(3) S=p7 (forward)	A=DISCARD	A=DISCARD
DATA, INTERRUPT or RESET packets (see Note 4)	A=DISCARD	INVALID (see Note 3)	INVALID (see Note 3)	See Table 3-21	A=DISCARD	A=DISCARD

NOTES:

1. The XDCE is not necessarily in the same state as the DTE/DCE interface.
2. This is the DTE packet received via the DCE after all DTE/DCE processing has occurred. Procedures local to the DTE/DCE interface (such as RR, RNR, and REJECT if in effect), do not affect the XDCE directly. All error procedures as documented in ISO 8208 have been performed. Hence certain packets are rejected by the interface and are not represented in this table.
3. The DCE in its protocol operation with the DTE will detect this error condition, hence the erroneous packet can be said never to "reach" the XDCE; see also Note 2.
4. The channel number for the DTE/DCE need not be the same channel number used for the ADCE/GDCE; a packet from the DTE which contains a channel number is associated with an air/ground channel by means of a previously established cross-reference table. If none exists then the DTE/DCE channel by definition references an air/ground channel in the p1 state.
5. The ADCE assigns all channel numbers used between the ADLP and GDLP; hence call collisions (denoted p5 ISO 8208) are not possible; see also Note 4.
6. A CALL REQUEST from the DTE can never be associated with an XDCE channel number which is not in the p1 state.
7. Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared from the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur.
8. The number in parentheses below an "A = NORMAL" table entry is the paragraph number in this document that defines the actions to be taken to perform normal processing on the received packet. If no paragraph number is referenced, the normal processing is defined in the table entry.

**Table 3-21 DCE effect on ADCE (GDCE) Reset States**

Packet received from DCE	ADCE (GDCE) reset states (see Notes 1, 4 and 5)		
	FLOW CONTROL READY <i>d1</i>	GDLP (ADLP) RESET REQUEST <i>d2</i>	ADCE (GDCE) RESET REQUEST to GDLP (ADLP) <i>d3</i>
RESET REQUEST	<i>A=NORMAL</i>  <i>S=d3</i> (forward)	<i>A=NORMAL</i>  <i>S=d1</i> (forward)	<i>A=DISCARD</i>
RESET CONFIRMATION	INVALID (see Note 3)	INVALID (see Note 3)	INVALID (see Note 3)
INTERRUPT	See Table 3-22	<i>A=DISCARD</i>	Hold interrupt until Mode S reset complete
INTERRUPT CONFIRMATION	See Table 3-22	<i>A=DISCARD</i>	INVALID (see Note 3)
DATA (see Note 2)	<i>A=NORMAL</i> Paragraph 24(1)(a) (forward)	<i>A=DISCARD</i>	Hold data until Mode S reset complete

NOTES:

1. The XDCE is not necessarily in the same state as the DTE/DCE interface.
2. This is the DTE packet received via the DCE after all DTE/DCE processing has occurred. Procedures local to the DTE/DCE interface (such as RR, RNR, and REJECT if in effect), do not affect the XDCE directly. All error procedures as documented in ISO 8208 have been performed. Hence certain packets are rejected by the interface and are not represented in this table.
3. The DCE in its protocol operation with the DTE will detect this error condition, hence the erroneous packet can be said never to "reach" the XDCE; see also Note 2.
4. Table entries are defined as follows: A = action to be taken, S = the state to be entered, D = the diagnostic code to be used in packets generated as a result of this action, DISCARD indicates that the received packet is to be cleared from the XDLP buffers, and INVALID indicates that the packet/state combination cannot occur.
5. The number in parentheses below an "A = NORMAL" table entry is the paragraph number in this document that defines the actions to be taken to perform normal processing on the received packet. If no paragraph number is referenced, the normal processing is defined in the table entry.



**Table 3-23 Broadcast identifier number assignments**

<i>Uplink broadcast identifier</i>		<i>Assignment</i>
00 <sub>16</sub>		Not valid
01 <sub>16</sub>		Reserved (differential GNSS correction)
30 <sub>16</sub>		Not valid
31 <sub>16</sub>		Reserved for ACAS (RA broadcast)
32 <sub>16</sub>		Reserved for ACAS (ACAS broadcast)
Others		Unassigned
<i>Downlink broadcast identifier</i>		<i>Assignment</i>
00 <sub>16</sub>		Not valid
02 <sub>16</sub>		Reserved (traffic information service)
10 <sub>16</sub>		Data link capability report
20 <sub>16</sub>		Aircraft identification
FE <sub>16</sub>		Update request
FF <sub>16</sub>		Search request
Others		Unassigned

**Table 3-24. Register number assignments**

<i>Transponder register No.</i>	<i>Assignment</i>
00 <sub>16</sub>	Not valid
01 <sub>16</sub>	Unassigned
02 <sub>16</sub>	Linked Comm-B, segment 2
03 <sub>16</sub>	Linked Comm-B, segment 3
04 <sub>16</sub>	Linked Comm-B, segment 4
05 <sub>16</sub>	Extended squitter airborne position
06 <sub>16</sub>	Extended squitter surface position
07 <sub>16</sub>	Extended squitter status
08 <sub>16</sub>	Extended squitter identification and type
09 <sub>16</sub>	Extended squitter airborne velocity
0A <sub>16</sub>	Extended squitter event-driven information
0B <sub>16</sub>	Air/air information 1 (aircraft state)
0C <sub>16</sub>	Air/air information 2 (aircraft intent)
0D <sub>16</sub> -0E <sub>16</sub>	Reserved for air/air state information
0F <sub>16</sub>	Reserved for ACAS
10 <sub>16</sub>	Data link capability report
11 <sub>16</sub> -16 <sub>16</sub>	Reserved for extension to data link capability reports
17 <sub>16</sub>	Common usage GICB capability report
18 <sub>16</sub> -1F <sub>16</sub>	Mode S specific services capability reports
20 <sub>16</sub>	Aircraft identification
21 <sub>16</sub>	Aircraft and airline registration markings
22 <sub>16</sub>	Antenna positions
23 <sub>16</sub>	Reserved for antenna position
24 <sub>16</sub>	Reserved for aircraft parameters
25 <sub>16</sub>	Aircraft type
26 <sub>16</sub> -2F <sub>16</sub>	Unassigned
30 <sub>16</sub>	ACAS active resolution advisory
31 <sub>16</sub> -3F <sub>16</sub>	Unassigned
40 <sub>16</sub>	Selected vertical intention
41 <sub>16</sub>	Next waypoint identifier
42 <sub>16</sub>	Next waypoint position
43 <sub>16</sub>	Next waypoint information
44 <sub>16</sub>	Meteorological routine air report

**Table 3-25 MSP channel number assignments**

<i>Transponder register No.</i>	<i>Assignment</i>
45 <sub>16</sub>	Meteorological hazard report
46 <sub>16</sub>	Reserved for flight management system Mode 1
47 <sub>16</sub>	Reserved for flight management system Mode 2
48 <sub>16</sub>	VHF channel report
49 <sub>16</sub> -4F <sub>16</sub>	Unassigned
50 <sub>16</sub>	Track and turn report
51 <sub>16</sub>	Position report coarse
52 <sub>16</sub>	Position report fine
53 <sub>16</sub>	Air-referenced state vector
54 <sub>16</sub>	Waypoint 1
55 <sub>16</sub>	Waypoint 2
56 <sub>16</sub>	Waypoint 3
57 <sub>16</sub> -5E <sub>16</sub>	Unassigned
5F <sub>16</sub>	Quasi-static parameter monitoring
60 <sub>16</sub>	Heading and speed report
61 <sub>16</sub>	Extended squitter emergency/priority status
62 <sub>16</sub>	Reserved for target state and status information
63 <sub>16</sub>	Reserved for extended squitter
64 <sub>16</sub>	Reserved for extended squitter
65 <sub>16</sub>	Aircraft operational status
66 <sub>16</sub> -6F <sub>16</sub>	Reserved for extended squitter
70 <sub>16</sub> -75 <sub>16</sub>	Reserved for future aircraft downlink parameters
76 <sub>16</sub> -E0 <sub>16</sub>	Unassigned
E1 <sub>16</sub> -E2 <sub>16</sub>	Reserved for Mode S BITE
E3 <sub>16</sub>	Transponder type/part number
E4 <sub>16</sub>	Transponder software revision number
E5 <sub>16</sub>	ACAS unit part number
E6 <sub>16</sub>	ACAS unit software revision number
E7 <sub>16</sub> -F0 <sub>16</sub>	Unassigned
F1 <sub>16</sub>	Military applications
F2 <sub>16</sub>	Military applications
F3 <sub>16</sub> -FF <sub>16</sub>	Unassigned

*Note.* — In the context of Table 3-24, the term “aircraft” can be understood as “transponder carrying aircraft”, “pseudo-aircraft (e.g. an obstacle)” or “vehicle”.

**Table 3-26. MSP channel number assignments**

<i>Uplink channel</i>	
<i>number</i>	<i>Assignment</i>
0	Not valid
1	Reserved (specific services management)
2	Reserved (traffic information service)
3	Reserved (ground-to-air alert)
4	Reserved (ground derived position)
5	ACAS sensitivity level control
6	Reserved (ground-to-air service request)
7	Reserved (air-to-ground service response)
8–63	Unassigned
<i>Downlink channel</i>	
<i>number</i>	<i>Assignment</i>
0	Not valid
1	Reserved (specific services management)
2	Unassigned
3	Reserved (data flash)
4	Reserved (position request)
5	Unassigned
6	Reserved (ground-to-air service response)
7	Reserved (air-to-ground service request)
8–63	Unassigned

DRAFT

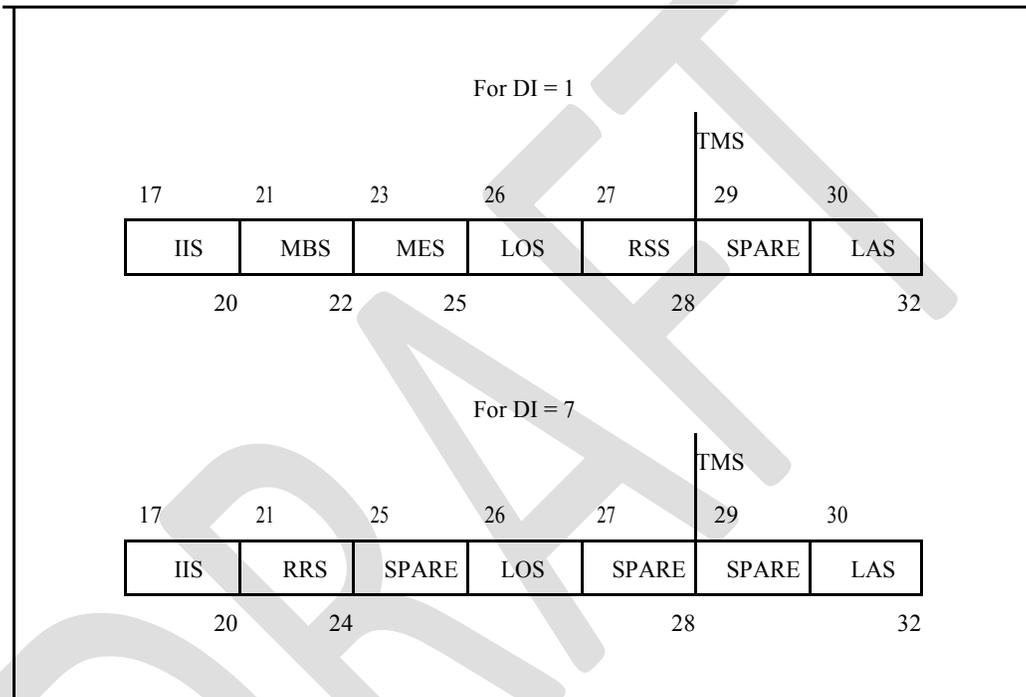
## SCHEDULE 4

Regulation 46 (c)

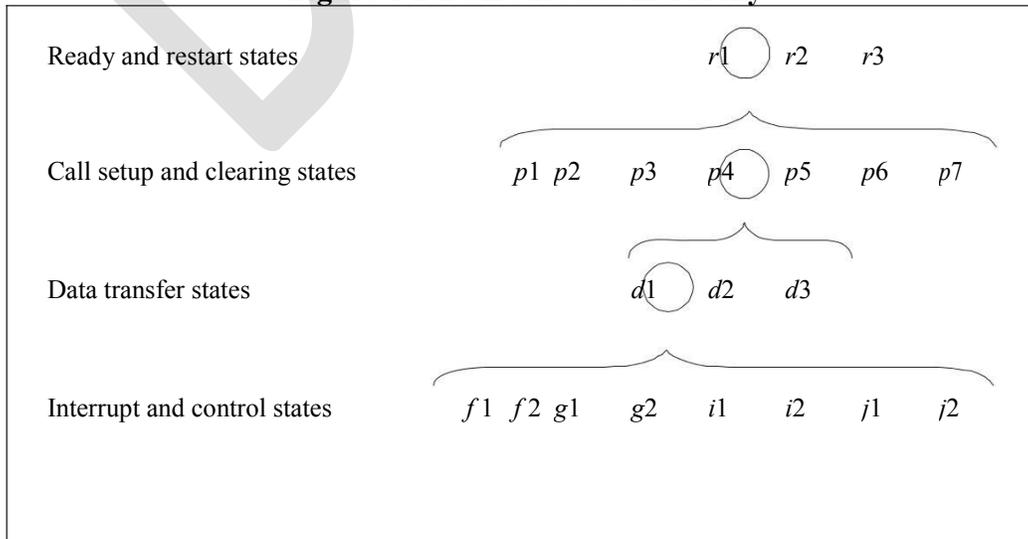
### MODE S PACKET FORMATS

1. The Mode S packet formats shall be as specified in Figures 4-1 to 4-22 of this Schedule.
2. *Significance of control fields.* The structure of the format control fields used in Mode S packets shall be as specified in Figure 4-23. The significance of all control fields used in these packet formats shall be as follows-

**Figure 4-1 The SD field structure**



**Figure 4-2 DCE substate hierarchy**



Note.— States r1, p4 and d1 (shown circled) are states that provide access to the lower levels of the DCE substate hierarchy.

**Figure 4-3 Call Request by ADLP packet**

1	2	3	4	5	6	7	8
DP=0	MP=1	SP=1	ST=0	FILL2			
P	FILL	SN					
CH					LAM		
AG							
S	FS		F	LV			
UD							

**Figure 4-4 Call Request by GDLP packet**

1	2	3	4	5	6	7	8
DP=0	MP=1	SP=1	ST=0	FILL			
P	FILL	SN					
FILL			TC	AM			
AG							
S	FS		F	LV			
UD							

**Figure 4-5 Call Accept by ADLP packet**

1	2	3	4	5	6	7	8
DP=0	MP=1	SP=1	ST=1	FILL2			
TC			SN				
CH					AM		
AG							
S	FILL		F	LV			
UD							

**Figure 4-6. CALL ACCEPT by GDLP packet**

1	2	3	4	5	6	7	8
DP=0	MP=1	SP=1	ST=1		FILL		
FILL			SN				
CH				AM			
AG							
S	FILL		F	LV			
UD							

**Figure 4-7 CLEAR REQUEST by ADLP packet**

1	2	3	4	5	6	7	8
DP=0	MP=1	SP=1	ST=2		FILL2		
TC			SN				
CH				AM			
AG							
CC							
DC							
S	FILL		F	LV			
UD							

**Figure 4-8 Clear request by GDLP packet**

1	2	3	4	5	6	7	8
DP=0	MP=1	SP=1	ST=2	FILL			
TC			SN				
CH				AM			
AG							
CC							
DC							
S	FILL		F	LV			
UD							

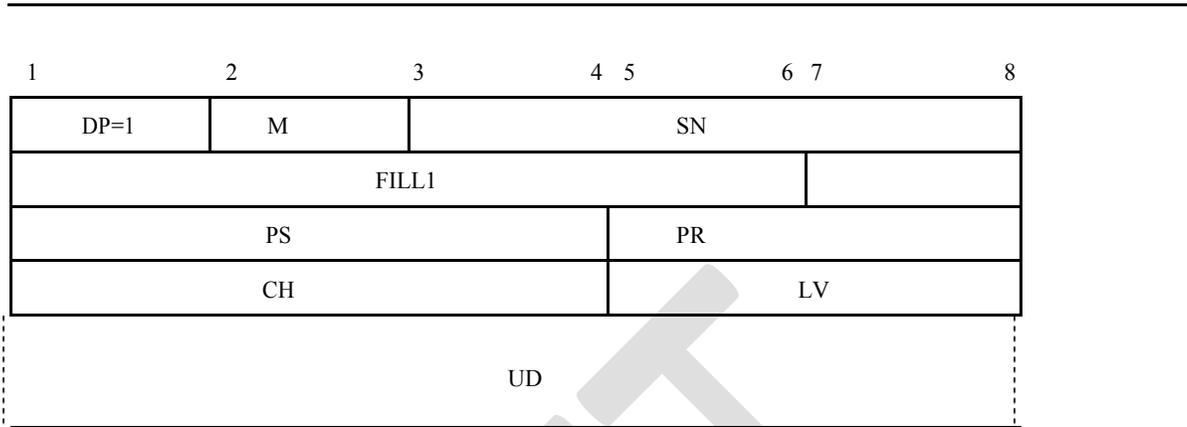
**Figure 4-9 Clear Confirmation by ADLP packet**

1	2	3	4	5	6	7	8
DP=0	MP=1	SP=1	ST=3	FILL2			
TC			SN				
CH				AM			
AG							

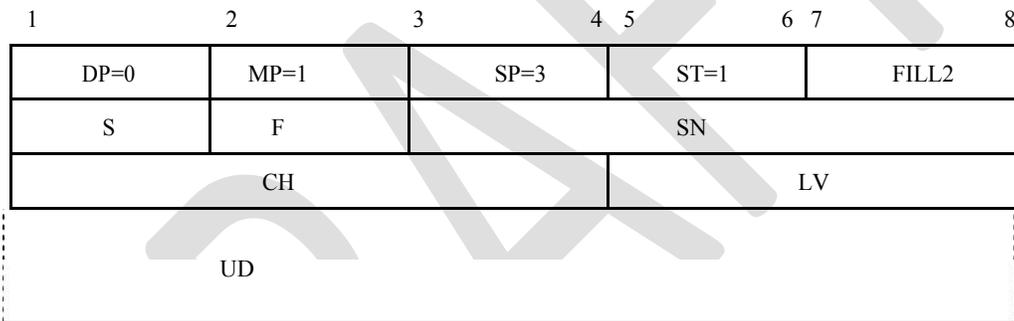
**Figure 4-10 clear confirmation by GDLP packet**

1	2	3	4	5	6	7	8
DP=0	MP=1	SP=1	ST=3	FILL			
TC			SN				
CH				AM			
AG							

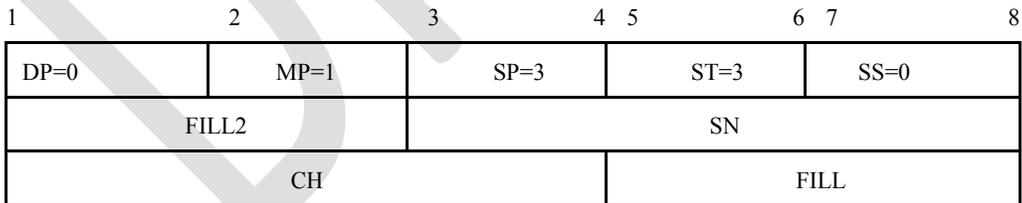
**Figure 4-11 Data packet**



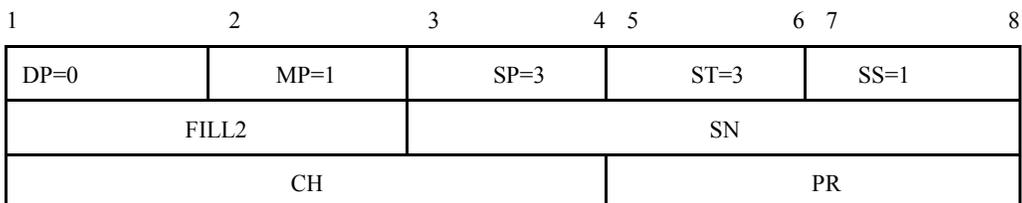
**Figure 4-12 Interrupt packet**



**Figure 4-13 interrupt Confirmation packet**



**Figure 4-14 Reject packet**



**Figure 4-15 Receive Ready packet**

1	2	3	4 5	6 7	8
DP=0	MP=1	SP=2	ST=0	FILL2	
FILL		SN			
CH			PR		

**Figure 4-16 Receive not ready packet**

1	2	3	4 5	6 7	8
DP=0	MP=1	SP=2	ST=1	FILL2	
FILL		SN			
CH			PR		

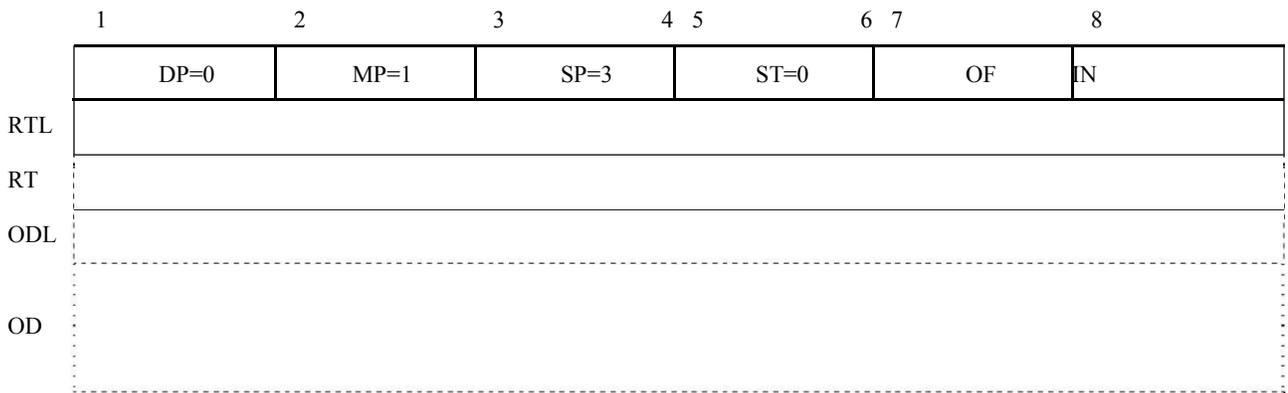
**Figure 4-17 Reset Request packet**

1	2	3	4 5	6 7	8
DP=0	MP=1	SP=2	ST=2	FILL2	
FILL		SN			
CH			FILL		
RC					
DC					

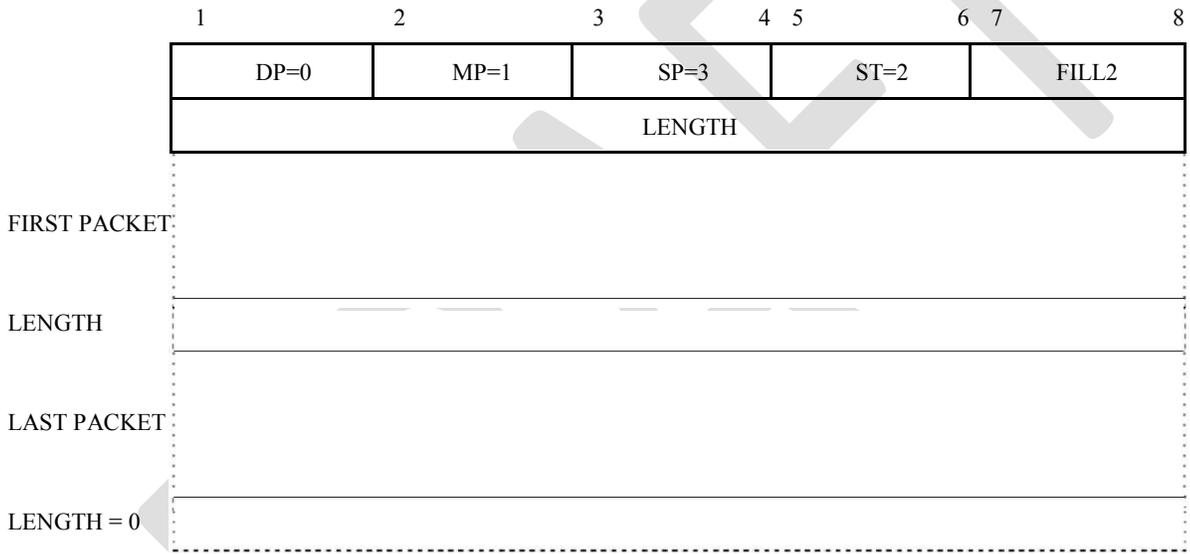
**Figure 4-18 Reset Confirmation packet**

1	2	3	4 5	6 7	8
DP=0	MP=1	SP=2	ST=3	FILL2	
FILL		SN			
CH			FILL		

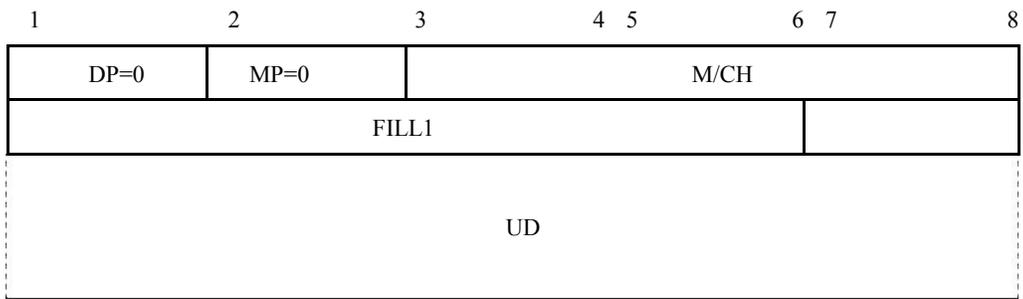
**Figure 4-19 Route Packet**



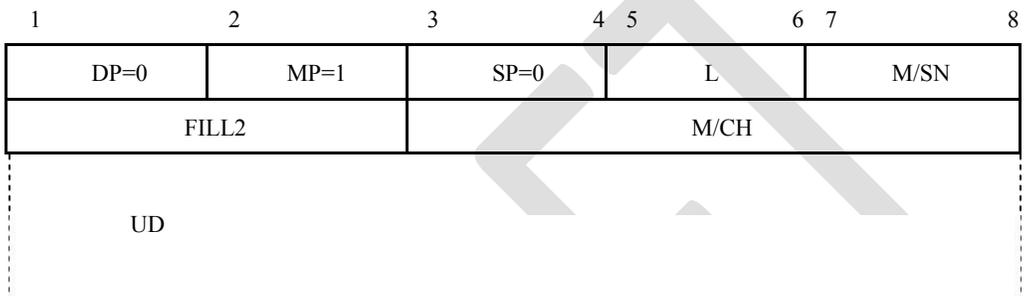
**Figure 4-20 Multiplex Packet**



**Figure 4-21 Short Form MSP packet**

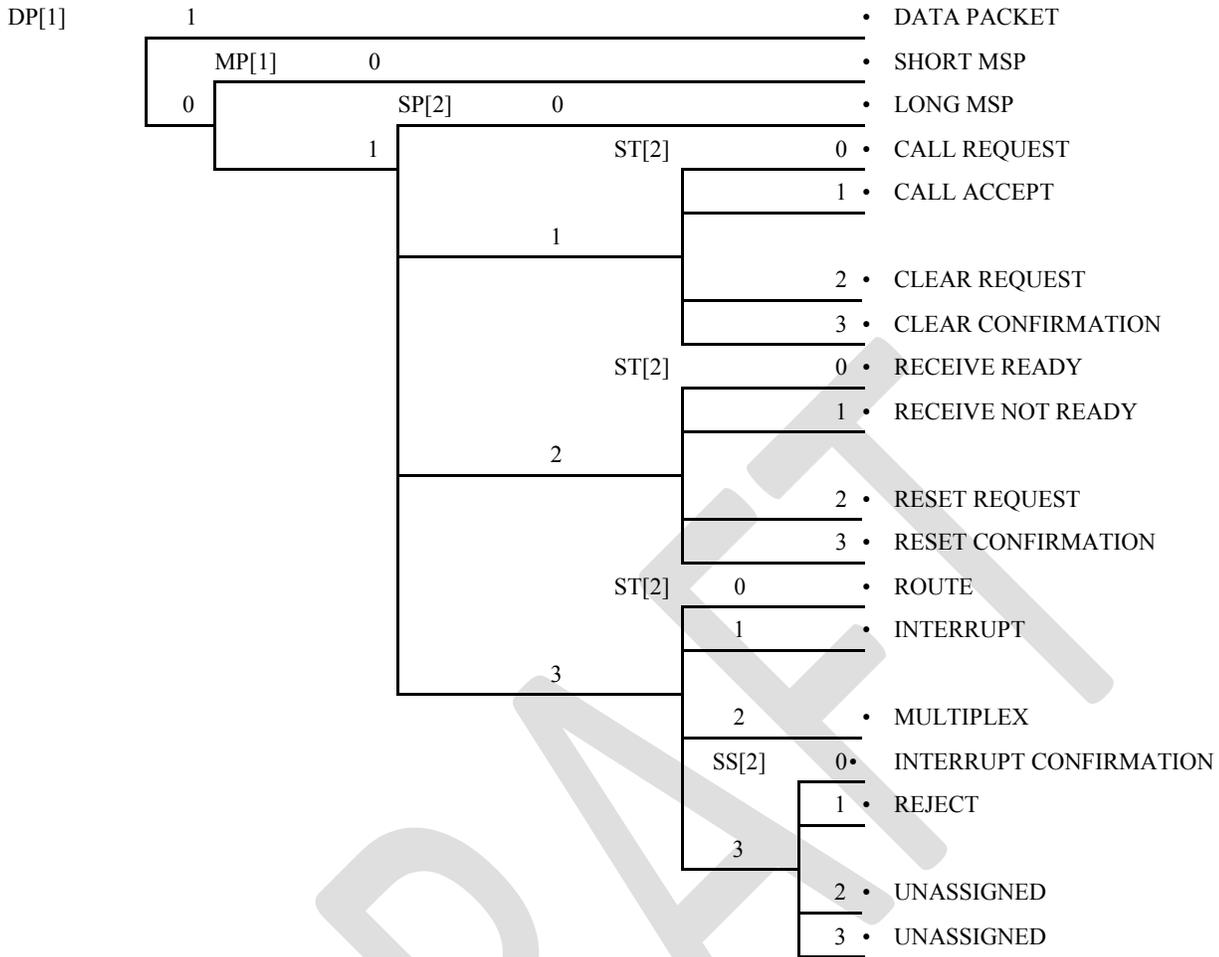


**Figure 4-22 Long Form MSP Packet**



DRAFT

**Figure 4-23 Control fields used in Mode S packets**



LEGEND:

DP = DATA packet type

MP = MSP packet type

SP = SUPERVISORY packet

ST = SUPERVISORY type

SS = SUPERVISORY subset

## SCHEDULE 5

### VHF AIR GROUND DIGITAL LINK (VDL)

Regulation 50

*System characteristics of the ground installation for VHF air-ground digital link*

#### **Ground station transmitting function**

1. **Frequency stability.**

The radio frequency of VDL ground station equipment operation shall not vary more than plus or minus 0.0002 per cent (2 parts per million) from the assigned frequency.

2. **Power.**

The effective radiated power shall be such as to provide a field strength of at least 75 microvolts per metre (minus 109 dBW/m<sup>2</sup>) within the defined operational coverage of the facility, on the basis of free-space propagation.

3. **Spurious emissions.**

Spurious emissions shall be kept at the lowest value which the State of the technique and the nature of the service permit.

*Note.— Appendix S3 to the Radio Regulations specifies the levels of spurious emissions to which transmitters must conform.*

4. **Adjacent channel emissions**

(1) The amount of power from a VDL ground transmitter under all operating conditions when measured over the 25 kHz channel bandwidth of the first adjacent channel shall not exceed 0 dBm.

(2) The amount of power from all new installations of a VDL ground transmitter under all operating conditions when measured over the 25 kHz channel bandwidth of the first adjacent channel shall not exceed 2 dBm.

(3) The amount of power from a VDL ground transmitter under all operating conditions when measured over the 25 kHz channel bandwidth of the second adjacent channel shall be less than minus 25 dBm and from thereon it shall monotonically decrease at the minimum rate of 5 dB per octave to a maximum value of minus 52 dBm.

(4) The amount of power from all new installations of a VDL ground transmitter under all operating conditions when measured over the 25 kHz channel bandwidth of the second adjacent channel shall be less than minus 28 dBm.

(5) The amount of power from all new installations of a VDL ground transmitter under all operating conditions when measured over the 25 kHz channel bandwidth of the fourth adjacent channel shall be less than minus 38 dBm, and from thereon it shall monotonically decrease at the minimum rate of 5 dB per octave to a maximum value of minus 53 dBm.

- (6) The amount of power from a VDL ground transmitter under all operating conditions when measured over a 16 kHz channel bandwidth centred on the first adjacent channel shall not exceed minus 20 dBm.
- (7) The amount of power from all new installations of a VDL ground transmitter under all operating conditions when measured over a 16 kHz channel bandwidth centred on the first adjacent channel shall not exceed minus 18 dBm.
- (8) All VDL ground transmitters shall meet the provisions of subparagraph (2), (4),(5) and (7), subject to the conditions of subparagraph (9).
- (9) Requirements of mandatory compliance of the provisions of subparagraph (8) shall be made on the basis of regional air navigation agreements which specify the airspace of operation and the implementation timescales. The agreements shall provide at least two years' notice of mandatory compliance of ground systems.

DRAFT

## SCHEDULE 6

### VHF AIR GROUND DIGITAL LINK (VDL)

Regulation 51

#### System characteristics of the aircraft installation for VHF Air-Ground digital link

**1. Frequency stability.**

The radio frequency of VDL aircraft equipment shall not vary more than plus or minus 0.0005 per cent (5 parts per million) from the assigned frequency.

**2. Power.**

The effective radiated power shall be such as to provide a field strength of at least 20 microvolts per metre (minus 120 dBW/m<sup>2</sup>) on the basis of free-space propagation, at ranges and altitudes appropriate to the operational conditions pertaining to the areas over which the aircraft is operated.

**3. Spurious emissions**

Spurious emissions shall be kept at the lowest value which the State of the technique and the nature of the service permit.

*Note.— Appendix S3 to the Radio Regulations specifies the levels of spurious emissions to which transmitters must conform.*

**4. Adjacent channel emissions**

- (1) The amount of power from a VDL aircraft transmitter under all operating conditions when measured over the 25 kHz channel bandwidth of the first adjacent channel shall not exceed 0 dBm.
- (2) The amount of power from all new installations of a VDL aircraft transmitter under all operating conditions when measured over the 25 kHz channel bandwidth of the first adjacent channel shall not exceed 2 dBm.
- (3) The amount of power from a VDL aircraft transmitter under all operating conditions when measured over the 25 kHz channel bandwidth of the second adjacent channel shall be less than minus 25 dBm and from thereon it shall monotonically decrease at the minimum rate of 5 dB per octave to a maximum value of minus 52 dBm.
- (4) The amount of power from all new installations of a VDL aircraft transmitter under all operating conditions when measured over the 25 kHz channel bandwidth of the second adjacent channel shall be less than minus 28 dBm.
- (5) The amount of power from all new installations of a VDL aircraft transmitter under all operating conditions when measured over the 25 kHz channel bandwidth of the fourth adjacent channel shall be less than minus 38 dBm, and from thereon it shall monotonically decrease at the minimum rate of 5 dB per octave to a maximum value of minus 53 dBm.

- (6) The amount of power from a VDL aircraft transmitter under all operating conditions when measured over a 16 kHz channel bandwidth centred on the first adjacent channel shall not exceed minus 20 dBm.
- (7) The amount of power from all new installations of a VDL aircraft transmitter under all operating conditions when measured over a 16 kHz channel bandwidth centred on the first adjacent channel shall not exceed minus 18 dBm.
- (8) All VDL aircraft transmitters shall meet the provisions of subparagraph (2), (4), (5) and (7), subject to the conditions of subparagraph (9).
- (9) Requirements of mandatory compliance of the provisions of subparagraph (8) shall be made on the basis of regional air navigation agreements which specify the airspace of operation and the implementation timescales. The agreements shall provide at least two years' notice of mandatory compliance of aircraft systems.

## 5. Receiving function

- (1) Specified error rate. The specified error rate for Mode 2 operation shall be the maximum corrected Bit Error Rate (BER) of 1 in 104. The specified error rate for Mode 3 operation shall be the maximum uncorrected BER of 1 in 103. The specified error rate for Mode 4 operation shall be the maximum uncorrected BER of 1 in 10<sup>4</sup>.

*Note.* — The above physical layer BER requirements are derived from the BER requirement imposed by ATN at the subnetwork interface.

- (2) Sensitivity. The receiving function shall satisfy the specified error rate with a desired signal strength of not more than 20 microvolts per metre (minus 120 dBW/m<sup>2</sup>).

*Note.*— The required signal strength at the edge of the service volume takes into account the requirements of the system and signal losses within the system, and considers environmental noise sources.

- (3) Out-of-band immunity performance. The receiving function shall satisfy the specified error rate with a desired signal field strength of not more than 40 microvolts per metre (minus 114 dBW/m<sup>2</sup>) and with an undesired DSB-AM D8PSK or GFSK signal on the adjacent or any other assignable channel being at least 40 dB higher than the desired signal.
- (4) The receiving function of all new installations of VDL shall satisfy the specified error rate with a desired signal field strength of not more than 40 microvolts per metre (minus 114 dBW/m<sup>2</sup>) and with an undesired VHF DSB-AM, D8PSK or GFSK signal at least 60 dB higher than the desired signal on any assignable channel 100 kHz or more away from the assigned channel of the desired signal.

*Note.*— This level of interference immunity performance provides a receiver performance consistent with the influence of the VDL RF spectrum mask as specified in 6.3.4 with an effective isolation transmitter/receiver isolation of 69 dB. Better transmitter and receiver performance could result in less isolation required. Guidance material on the measurement technique is included in the ICAO Handbook on Radio Frequency Spectrum Requirements for Civil Aviation including statement of Approved ICAO Policies (Doc 9718).

- (5) The receiving function of all installations of VDL shall meet the provisions of subparagraph (4), subject to the conditions of subparagraph (3).
- (6) Requirements of mandatory compliance of the provisions of subparagraph (2), shall be made on the basis of regional air navigation agreements which specify the airspace of operation and the implementation timescales. The agreement shall provide for at least two years' notice of mandatory compliance of aircraft systems.

## **6. Interference immunity performance**

- (1) The receiving function shall satisfy the specified error rate with a desired field strength of not more than 40 microvolts per metre, and with one or more out-of-band signals, except for VHF FM broadcast signals, having a total level at the receiver input of minus 33 dBm.

*Note.— In areas where adjacent higher band signal interference exceeds this specification, a higher immunity requirement will apply.*

- (2) The receiving function shall satisfy the specified error rate with a desired field strength of not more than 40 microvolts per metre, and with one or more VHF FM broadcast signals having a total level at the receiver input of minus 5 dBm.

## SCHEDULE 7

### VHF AIR GROUND DIGITAL LINK SYSTEMS

Regulation 52 (a)

#### 1. Physical layer protocols and services

The aircraft and ground stations shall access the physical medium operating in simplex mode.

- (1) The physical layer shall provide the following functions-
  - (a) transmitter and receiver frequency control;
  - (b) digital reception by the receiver;
  - (c) digital transmission by the transmitter; and
  - (d) notification services.
- (2) Transmitter/receiver frequency control. The VDL physical layer shall set the transmitter or receiver frequency as commanded by the link management entity (LME).
- (3) Digital reception by the receiver. The receiver shall decode input signals and forward them to the higher layers for processing.
- (4) Digital transmission. The VDL physical layer shall appropriately encode and transmit information received from higher layers over the RF channel.

#### 2. Modes 2 and 3 common physical layer

- (1) Modulation scheme. Modes 2 and 3 shall use differentially encoded 8 phase shift keying (D8PSK), using a raised cosine filter with  $\alpha = 0.6$  (nominal value). The information to be transmitted shall be differentially encoded with 3 bits per symbol (baud) transmitted as changes in phase rather than absolute phase. The data stream to be transmitted shall be divided into groups of 3 consecutive data bits, least significant bit first. Zeros shall be padded to the end of the transmissions if needed for the final channel symbol.
- (2) Data encoding. A binary data stream entering a differential data encoder shall be converted into three separate binary streams X, Y, and Z so that bits  $3n$  form X, bits  $3n + 1$  form Y, and bits  $3n + 2$  form Z. The triplet at time  $k$  ( $X_k, Y_k, Z_k$ ) shall be converted to a change in phase as shown in Table 7-1, and the absolute phase  $\phi_k$  is the accumulated series of  $\Delta\phi_k$ , that is-  $\phi_k = \phi_{k-1} + \Delta\phi_k$

**Table 7-1. Modes 2 and 3 data encoding**

$X_k$	$Y_k$	$Z_k$	$k$
0	0	0	$0\pi/4$
0	0	1	$1\pi/4$
0	1	1	$2\pi/4$
0	1	0	$3\pi/4$
1	1	0	$4\pi/4$
1	1	1	$5\pi/4$
1	0	1	$6\pi/4$
1	0	0	$7\pi/4$

- (3) Transmitted signal form. The phase-modulated baseband signal as defined in subparagraph (2) shall excite the pulse shape filter.

$$s(t) = \sum_{k=-\infty}^{+\infty} h(\phi_k, t - kT_s)$$

where:

$h$  is the complex impulse response of the pulse shape filter;

$k$  is defined in paragraph 2(2);

$\phi$  is defined by the equation in paragraph 2(2);

$t$  is time;

$T_s$  is time duration of each symbol.

The output (function of time) of the pulse shape filter ( $s(t)$ ) shall modulate the carrier frequency. The pulse shape filter shall have a nominal complex frequency response of a raised-cosine filter with  $\alpha = 0.6$ .

- (4) Modulation rate. The symbol rate shall be 10 500 symbols/second, resulting in a nominal bit rate of 31 500 bits/s. The modulation stability requirements for Modes 2 and 3 are provided in Table 7-2.

**Table 7-2. Modes 2 and 3 modulation stability**

VDL Mode	Aircraft Modulation Stability	Ground Modulation Stability
Mode 2	± 0.0050 per cent	± 0.0050 per cent
Mode 3	± 0.0005 per cent	± 0.0002 per cent

### 3. Mode 2 specific physical layer

*Note.— The Mode 2 specific physical layer specification includes a description of the Mode 2 training sequence, forward error correction (FEC), interleaving, bit scrambling, channel sensing, and physical layer system parameters.*

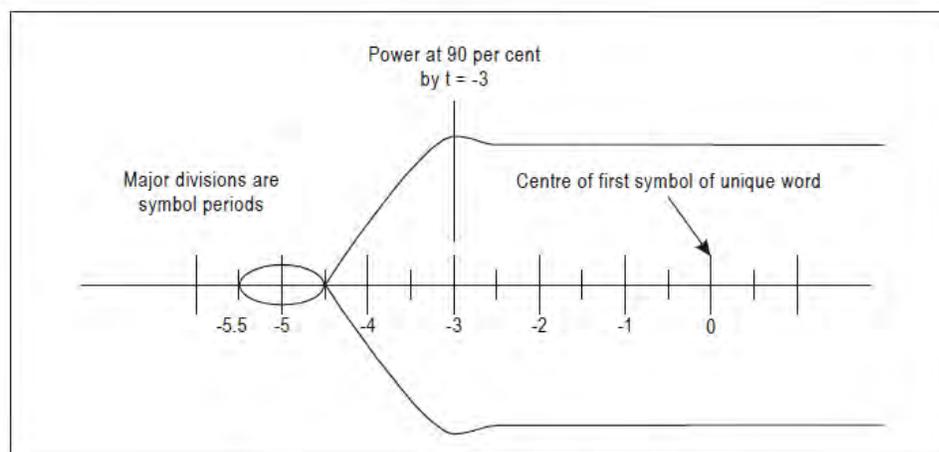
- (1) To transmit a sequence of frames, a station shall insert the bit numbers and flags (per the data link service description for Mode 2 as contained in the Manual on VDL Mode 2 Technical Specifications), compute the FEC, interleave, prepend the training sequence, carry out bit scrambling and finally encode and modulate the RF signal.
- (2) Training sequence. Data transmission shall begin with a demodulator training sequence consisting of five segments-
- (a) transmitter ramp-up and power stabilization;
  - (b) synchronization and ambiguity resolution;

- (c) reserved symbol;
- (d) transmission length; and
- (e) header FEC.

*Note.*— Immediately after these segments follows an AVLC frame with the format as contained in the data link service description in the Manual on VDL Mode 2 Technical Specifications.

- (3) Transmitter ramp-up and power stabilization. The purpose of the first segment of the training sequence, called the ramp-up, is to provide for transmitter power stabilization and receiver AGC settling, and it shall immediately precede the first symbol of the unique word. The duration of the ramp-up shall be five symbol periods. The time reference point (t), for the following specification is the centre of the first unique word symbol, a point that occurs half a symbol period after the end of the ramp-up. Conversely Stated, the beginning of the ramp-up starts at  $t = -5.5$  symbol periods. The transmitted power shall be less than  $-40$  dBc prior to time  $t = -5.5$  symbol periods. The ramp-up shall provide that at time  $t = -3.0$  symbol periods the transmitted power is 90 per cent of the manufacturer’s Stated output power or greater (see Figure 7-1). Regardless of the method used to implement (or truncate) the raised cosine filter, the output of the transmitter between times  $t = -3.0$  and  $t = -0.5$  will appear as if ‘000’ symbols were transmitted during the ramp-up period.

**Figure 7-1 Transmitter Power Stabilization**



*Note. 1.*— For Mode 3, the timing reference point is the same as the “power reference point”.

*Note 2.*— It is desirable to maximize the time allowed for the AGC settling time. Efforts shall be made to have power above 90 per cent of nominal output power at  $t - 3.5$  symbol periods.

- (4) Synchronization and ambiguity resolution. The second segment of the training sequence shall consist of the unique word:

000 010 011 110 000 001 101 110 001 100 011 111 101 111 100 010

and shall be transmitted from left to right.

- (5) Reserved symbol. The third segment of the training sequence shall consist of the single symbol representing 000.

- (6) Transmission length. To allow the receiver to determine the length of the final Reed-Solomon block, the transmitter shall send a 17-bit word, from least significant bit (lsb) to most significant bit (msb), indicating the total number of data bits that follow the header FEC

*Note.— The length does not include those bits transmitted for: the Reed Solomon FEC, extra bits padded to ensure that the interleaver generates an integral number of 8-bit words, or the extra bits padded to ensure that the data encoder generates an integral number of 3-bit symbols.*

- (7) Header FEC. To correct bit errors in the header, a (25, 20) block code shall be computed over the reserved symbol and the transmission length segments. The block code shall be transmitted as the fifth segment. The encoder shall accept the header in the bit sequence that is being transmitted. The five parity bits to be transmitted shall be generated using the following equation-

$$[P_1, \dots, P_5] = [R_1, \dots, R_3, TL_1, \dots, TL_{17}] H^T$$

where-

P is the parity symbol (P1 shall be transmitted first);

R is the reserved symbol;

TL is the transmission Length symbol;

T is the matrix transpose function; and

H is the parity matrix defined below:

$$H = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 0 & 1 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 1 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \end{bmatrix}$$

- (8) Bit transmission order. The five parity bits of the resultant vector product shall be transmitted from the left bit first.
- (9) Forward error correction. In order to improve the effective channel throughput by reducing the number of required retransmissions, FEC shall be applied after the training sequence, regardless of frame boundaries.
- (10) FEC calculation. The FEC coding shall be accomplished by means of a systematic fixed-length Reed- Solomon (RS)(255,249) 2<sup>8</sup>-ary code.

*Note 1.— This code is capable of correcting up to three octets for data blocks of 249 octets (1992 bits). Longer transmissions must be divided up into 1992 bit transmissions and shorter transmissions must be extended by virtual fill with trailing zeros. Six RS-check octets are appended for a total block of 255 octets.*

The field defining the primitive polynomial of the code shall be as follows-

$$p(x) = (x^8 + x^7 + x^2 + x + 1)$$

The generator polynomial shall be as follows-

$$\prod_{i=120}^{125} (x - \alpha^i)$$

where:

$\alpha$  is a primitive element of GF(256);  
GF(256) is a Galois field (GF) of size 256.

*Note 2.— The Reed-Solomon codes are described in the recommendation for Space Data System Standards Telemetry Channel Coding, by the Consultative Committee for Space Data Systems.*

- (11) Block lengths. The six RS-check octets shall be calculated on blocks of 249 octets. Longer transmissions shall be split into blocks of 249 octets. Blocks of shorter length shall be extended to 249 octets by a virtual fill of trailing zeros. The virtual fill shall not be transmitted. Blocks shall be coded according to subparagraph (12) through subparagraph (15).
- (12) No error correction. For blocks with 2 or fewer non-fill octets, no error correction shall be used.
- (13) *Single-byte error correction.* For blocks with 3 to 30 non-fill octets, all six RS-check octets shall be generated, but only the first two shall be transmitted. The last four RS-check octets shall be treated as erasures at the decoder.
- (14) *Two-byte error correction.* For blocks with 31 to 67 non-fill octets, all six RS-check octets shall be generated, but only the first four shall be transmitted. The last two RS-check octets shall be treated as erasures at the decoder.
- (15) *Three-byte error correction.* For blocks with 68 or more non-fill octets, all six RS-check octets shall be generated and transmitted.
- (16) *Interleaving.* To improve the performance of the FEC, an octet-based table-driven interleaver shall be used. The interleaver shall create a table having 255 octets per row and  $c$  rows, where

$$c = \frac{\text{transmission length (bits)}}{1992 \text{ (bits)}}$$

Where-

- (a) the transmission length is as defined in paragraph 3(7) and
- (b)  $c$  = the smallest integer greater than or equal to the value of the fraction.

After extending the data to an even multiple of 1992 bits, the interleaver shall write the transmission stream into the first 249 octets of each row by taking each consecutive group of eight bits and storing them from the first column to the 249th. The first bit in each group of eight bits shall be stored in the eighth bit position; the first group of 1992 bits shall be stored in the first row, the second group of 1992 bits in the second row, etc. After the FEC is computed on each row, the FEC data (or erasures) shall be stored in columns 250 through 255. The interleaver shall then pass the data to the scrambler by reading out column by column, skipping any octet which contains erasures or all fill bits. All of the bits in an octet shall be transmitted from bit 8 to bit 1.

On reception, the de-interleaver shall calculate the number of rows and size of the last (potentially partial) row from the length field in the header. It shall only pass valid data bytes to the higher layer.

- (17) *Bit scrambling*. To aid clock recovery and to stabilize the shape of the transmitted spectrum, bit scrambling shall be applied. The pseudo noise (PN) sequence shall be a 15-stage generator with the characteristic polynomial:

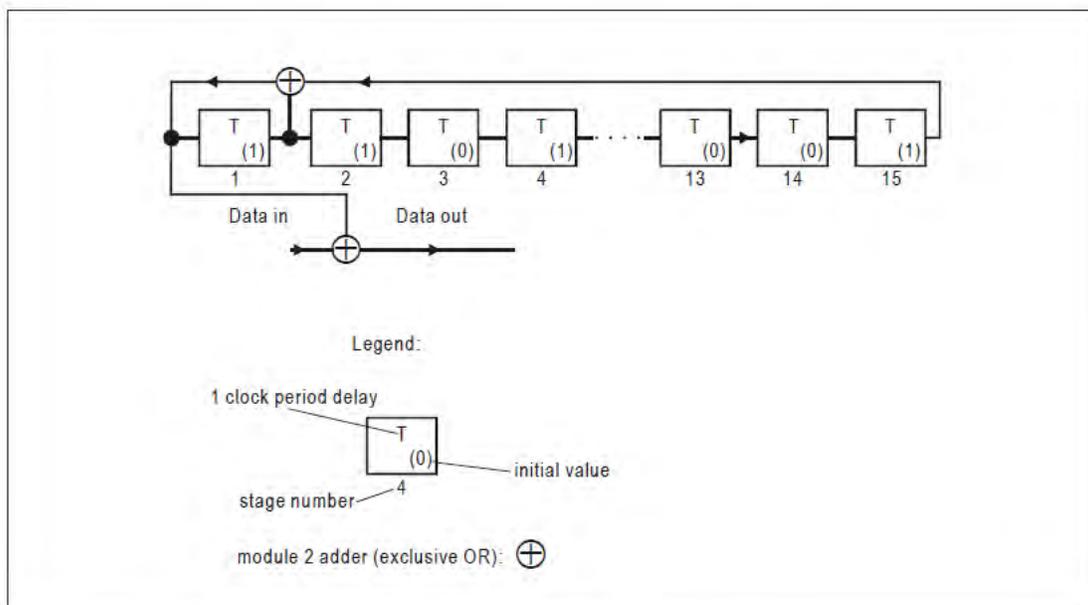
$$X^{15} + X + 1$$

The PN-sequence shall start after the frame synchronization pattern with the initial value 1101 0010 1011 001 with the leftmost bit in the first stage of the register as per Figure 7-2. After processing each bit, the register shall be shifted one bit to the right. For possible encryption in the future this initial value shall be programmed. The sequence shall be added (modulo 2) to the data at the transmit side (scrambling) and to the scrambled data at the receive side (descrambling) per Table 7-3.

**Table 7-3. Scrambler functions**

Function	Data in	Data out
scrambling	clean data	scrambled data
descrambling	scrambled data	clean data

**Figure 7-2 PN-generator for bit scrambling sequence**



#### 4. Mode 2 Channel sensing

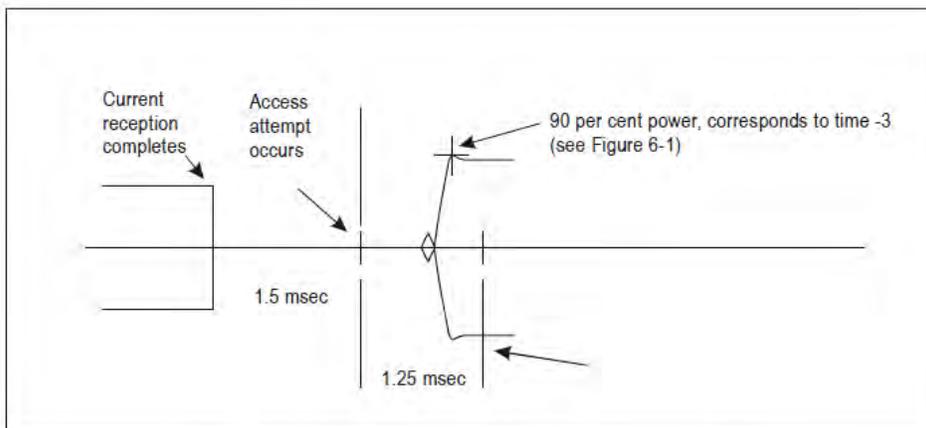
- (1) Channel busy to idle detection. When a station receives on-channel power of at least  $-87$  dBm for at least 5 milliseconds, then:
  - (a) with a likelihood of 0.9, it shall continue to consider the channel occupied if the signal level is attenuated to below  $-92$  dBm for less than 1 millisecond; and
  - (b) with a likelihood of 0.9, it shall consider the channel unoccupied if the signal level is attenuated to below  $-92$  dBm for at least 1.5 milliseconds.
- (2) Channel idle to busy detection. With a likelihood of at least 0.9, a station shall consider the channel occupied within 1 millisecond after on-channel power rises to at least  $-90$  dBm.
- (3) The detection of an occupied channel shall occur within 0.5 milliseconds.

*Note.* — A higher probability of false alarm is acceptable on the idle to busy detection than the busy to idle detection because of the effects of the two different errors.

#### 5. Mode 2 receiver/transmitter interaction

- (1) Receiver to transmitter turnaround time. A station shall transmit the training sequence such that the centre of the first symbol of the unique word will be transmitted within 1.25 milliseconds after the result of an access attempt is successful (see Figure 7-3). The total frequency change during the transmission of the unique word shall be less than 10 Hz. After transmission of the unique word, the phase acceleration shall be less than 500 Hz per second.

**Figure 7-3 Receive to transmit turnaround time**



- (2) Transmitter to receiver turnaround time. The transmitter power shall be  $-20$  dBc within 2.5 symbol periods of the middle of the final symbol of the burst. The transmitter power leakage when the transmitter is in the “off” State shall be less than  $-83$  dBm. A station shall be capable of receiving and demodulating with nominal performance, an incoming signal within 1.5 milliseconds after transmission of the final information symbol.

#### 6. Mode 2 Physical layer system parameters

- (1) The physical layer shall implement the system parameters as defined in Table 7-4.

**Table 7-4. Physical services system parameters**

Symbol	Parameter name	Mode 2 value
P1	Minimum transmission length	131071 bits

- (2) Parameter P1 (minimum transmission length). Parameter P1 defines the minimum transmission length that a receiver shall be capable of demodulating without degradation of BER.

## 7. Mode 3 specific physical layer

*Note.— The Mode 3 specific physical layer specification includes a description of Mode 3 management (M) burst and handoff check message (H) burst uplink, M burst downlink, voice/data (V/D) burst, and bit scrambling.*

- (1) Management (M) burst and handoff check message (H) burst uplink. The M uplink burst (as contained in the Manual on VDL Mode 3 Technical Specifications) shall consist of three segments, the training sequence followed by the system data and the transmitter ramp down. The H uplink burst (as contained in the Manual on VDL Mode 3 Technical Specifications) shall consist of three segments, the training sequence followed by the handoff check message and the transmitter ramp down.
- (2) Training sequence. Uplink M burst and H burst training sequences shall consist of two components as follows-
- transmitter ramp up and power stabilization; and
  - synchronization and ambiguity resolution.
- (3) Transmitter ramp-up and power stabilization. This shall be as defined in paragraph 3(3).
- (4) Synchronization and ambiguity resolution. The second component of the training sequence shall consist of the synchronization sequence, known as S2\*, as follows-

000 001 101 100 110 010 111 100 010 011 101 000 111 000 011 001

and shall be transmitted from left to right.

*Note.— The sequence S2\* is very closely related to the sequence S2. The 15 phase changes between the 16 symbols of S2\* are each exactly 180° out of phase from the 15 phase changes associated with S2. This relationship can be used to simplify the process of simultaneously searching for both sequences.*

- (5) System data and handoff check message. The non-3T configuration (as contained in the Manual on VDL Mode 3 Technical Specifications) system data shall consist of 32 transmitted symbols. The 96 transmitted bits shall include 48 bits of information and 48 parity bits, generated as 4 Golay (24, 12) code words. The 3T configuration as contained in the Manual on VDL Mode 3 Technical Specifications shall consist of 128 transmitted symbols. The 384 transmitted bits shall include 192 bits of information and 192 parity bits, generated as 16 Golay (24, 12) code words. The 3T configuration handoff check message shall consist of 40 transmitted symbols. The 120 transmitted bits shall include 60 bits of information and 60 parity bits, generated as 5 Golay (24,12) code words. The specific definition of the Golay encoder shall be as follows: If the 12 bit input bit sequence is written as a row vector  $x$ , then the 24 bit output sequence can be written as the row vector  $y$ , where  $y = x G$ , and the matrix  $G$  shall be given by

```

1 1 0 1 0 1 1 1 0 0 0 1 1 0 0 0 0 0 0 0 0 0 0 0
0 1 1 1 1 1 0 0 1 0 0 1 0 1 0 0 0 0 0 0 0 0 0 0
1 1 1 0 1 0 0 1 0 1 0 1 0 0 1 0 0 0 0 0 0 0 0 0
0 1 1 0 0 0 1 1 1 0 1 1 0 0 0 1 0 0 0 0 0 0 0 0
1 1 1 0 0 1 1 0 1 1 0 0 0 0 0 0 1 0 0 0 0 0 0 0
G = 1 0 1 1 0 0 1 1 0 1 1 0 0 0 0 0 0 1 0 0 0 0 0 0
1 0 0 1 1 0 0 1 1 0 1 1 0 0 0 0 0 0 1 0 0 0 0 0
0 1 0 1 1 0 1 1 1 1 0 0 0 0 0 0 0 0 0 1 0 0 0 0
0 0 1 0 1 1 0 1 1 1 1 0 0 0 0 0 0 0 0 0 1 0 0 0
0 0 0 1 0 1 1 0 1 1 1 1 0 0 0 0 0 0 0 0 0 1 0 0
1 1 0 1 1 1 0 0 0 1 1 0 0 0 0 0 0 0 0 0 0 0 1 0
1 0 1 0 1 1 1 0 0 0 1 1 0 0 0 0 0 0 0 0 0 0 0 1

```

Note.— The extended Golay code allows for the correction of any error pattern with 3 or fewer bit errors and the detection of any 4-bit error pattern.

- (6) Transmitter ramp-down. The transmitter power shall be  $-20$  dBc within 2.5 symbol periods of the middle of the final symbol of the burst. The transmitter power leakage when the transmitter is in the “off” State shall be less than  $-83$  dBm.
- (7) *Management (M) burst downlink*. The M downlink burst (as contained in the Manual on VDL Mode 3 Technical Specifications) shall consist of three segments, the training sequence followed by the system data and the transmitter ramp down.
- (8) *Training sequence*. The M downlink burst training sequence shall consist of two components as follows-
  - (a) transmitter ramp up and power stabilization; and
  - (b) synchronization and ambiguity resolution.
- (9) Transmitter ramp-up and power stabilization. This shall be as defined in paragraph 7(12).
- (10) Synchronization and ambiguity resolution. Three separate synchronization sequences shall be used for this burst type. The standard sequence, known as S1, shall be as follows-

000 111 001 001 010 110 000 011 100 110 011 111 010 101 100 101

and shall be transmitted from left to right. The special sequence used to identify poll responses shall be as defined in paragraph 7(3).

The special sequence used to identify net entry requests (S1\*) shall use the following sequence-

000 001 111 111 100 000 110 101 010 000 101 001 100 011 010 011

and shall be transmitted from left to right.

Note.— The sequence S1\* is very closely related to the sequence S1. The 15 phase changes between the 16 symbols of S1\* are each exactly  $180^\circ$  out of phase from the 15 phase changes

associated with S1. This relationship can be used to simplify the process of simultaneously searching for both sequences.

- (11) System data. The system data segment shall consist of 16 transmitted symbols. The 48 transmitted bits shall be encoded as 24 bits of system data and 24 bits of parity bits generated as two consecutive (24, 12) Golay code words. The encoding of the (24, 12) Golay code words should be as defined in paragraph 7(6).
- (12) Transmitter ramp-down. This shall be as defined in paragraph 7(5).
- (13) *Voice or data (V/D) burst*. The V/D burst (as contained in the Manual on VDL Mode 3 Technical Specifications) shall consist of four segments: the training sequence followed by the header, the user information segment and the transmitter ramp down. The same V/D burst format shall be used for both uplink and downlink.
- (14) *Training sequence*. V/D burst training sequence shall consist of two components as follows-
  - (a) transmitter ramp-up and power stabilization; and
  - (b) synchronization and ambiguity resolution.
- (15) *Transmitter ramp-up and power stabilization*. This shall be as specified in paragraph 7(12).
- (16) *Synchronization and ambiguity resolution*. The second component of the training sequence shall consist of the synchronization sequence, known as S2, as follows-  
  
000 111 011 010 000 100 001 010 100 101 011 110 001 110 101 111  
and shall be transmitted from left to right.
- (17) Header. The header segment shall consist of 8 transmitted symbols. The 24 transmitted bits shall be encoded as 12 bits of header information and 12 parity bits, generated as a single (24, 12) Golay code word. The encoding of the (24, 12) Golay code word shall be as defined in paragraph 7(5).
- (18) User information. The user information segment shall consist of 192 3-bit symbols. When transmitting voice, FEC shall be applied to the analysis output of the vocoder specified in 6.8. The vocoder shall provide satisfactory performance in a BER environment of 10<sup>-3</sup> (with a design goal of 10<sup>-2</sup>). The overall bit rate of the vocoder *including FEC* is 4 800 bits/s (except when in the truncated mode in which the bit rate is 4 000 bits/s).
- (19) When transmitting user data, the 576 bits shall be encoded as a single Reed-Solomon (72, 62) 28-ary code word. For user data input to the Reed-Solomon encoder of length less than 496 bits, input data shall be padded with zeroes at the end to a full length of 496 bits. The field defining the primitive polynomial of the code shall be as described in paragraph 3(10).

The generator polynomial shall be as follows-

$$\prod_{i=1}^{129} (x - \alpha^i)$$

Note.— The Reed-Solomon (72, 62) code is capable of correcting up to five 28-ary (code word) symbol errors in the received word.

(20) *Transmitter ramp-down*. This shall be as defined in paragraph 7(6).

(21) *Interleaving*. There shall be no interleaving in Mode 3 operation.

(22) *Bit scrambling*. Under Mode 3 operation, bit scrambling, as specified in paragraph 3(17) shall be performed on each burst, starting after the training sequence. The scrambling sequence shall be reinitialized on each burst effectively providing a constant overlay for each of the Mode 3 fixed length bursts.

(a) *Receiver/transmitter interaction*. The switching times in this subparagraph will be defined as the time between the middle of the last information symbol of one burst and the middle of the first symbol of the synchronization sequence of the subsequent burst.

(b) *Receiver to transmitter switching time*. An aircraft radio shall be capable of switching from reception to transmission within 17 symbol periods. This time can be relaxed to 33 symbol periods for aircraft radios which do not functions requiring discrete addressing.

**8. Transmitter to receiver switching time.**

An aircraft radio shall be capable of switching from transmission to reception within 32 symbol periods.

**9. Fringe coverage indication**

Indication of near edge-of-coverage shall be provided to the VDL Mode 3 aircraft.

## SCHEDULE 8

Regulation 52 (b)

### VDL MODE 4

#### 1. Physical layer protocols and services

*Note.— Unless otherwise stated, the requirements defined in this Schedule apply to both mobile and ground stations.*

##### *Functions*

##### *(1) Transmitted power*

*(a) Airborne installation.* The effective radiated power shall be such as to provide a field strength of at least 35 microvolts per metre (minus 114.5 dBW/m<sup>2</sup>) on the basis of free space propagation, at ranges and altitudes appropriate to the conditions pertaining to the areas over which the aircraft is operated.

##### *(b) Ground installation.*

The effective radiated power shall be such as to provide a field strength of at least 75 microvolts per metre (minus 109 dBW/m<sup>2</sup>) within the defined operational coverage of the facility, on the basis of free-space propagation.

##### (2) Transmitter and receiver frequency control

The VDL Mode 4 physical layer shall set the transmitter or receiver frequency as commanded by the link management entity (LME). Channel selection time shall be less than 13 ms after the receipt of a command from a VSS user.

##### (3) Data reception by receiver

The receiver shall decode input signals and forward them to the higher layers for processing.

##### (4) Data transmission by transmitter

*(a) Data encoding and transmission.* The physical layer shall encode the data received from the data link layer and transmit it over the RF channel. RF transmission shall take place only when permitted by the MAC.

*(b) Order of transmission.* The transmission shall consist of the following stages in the following order-

- (i) transmitter power stabilization;
- (ii) bit synchronization;
- (iii) ambiguity resolution and data transmission; and
- (iv) transmitter decay.

*(c) Automatic transmitter shutdown.* A VDL Mode 4 station shall automatically shut-down power to any final stage amplifier in the event that output power from that amplifier exceeds – 30 dBm for more than 1 second. Reset to an operational mode for the affected amplifier shall require a manual operation.

*Note.— This is intended to protect the shared channel resource against so-called “stuck transmitters”.*

## **2. Notification services**

- (1) Signal quality. The operational parameters of the equipment shall be monitored at the physical layer. Signal quality analysis shall be performed in the demodulator process and in the receive process.

*Note.— Processes that may be evaluated in the demodulator include bit error rate (BER), signal to noise ratio (SNR), and timing jitter. Processes that may be evaluated in the receiver include received signal level and group delay.*

- (2) Arrival time. The arrival time of each received transmission shall be measured with a two-sigma error of 5 microseconds.
- (3) The receiver shall be capable of measuring the arrival time within a two-sigma error of 1 microsecond.

## **3. Protocol definition for GFSK**

- (1) *Modulation scheme.* The modulation scheme shall be GFSK. The first bit transmitted (in the training sequence) shall be a high tone and the transmitted tone shall be toggled before transmitting a 0 (i.e. non-return to zero inverted encoding).
- (2) Modulation rate. Binary ones and binary zeros shall be generated with a modulation index of  $0.25 \pm 0.03$  and a BT product of  $0.28 \pm 0.03$ , producing data transmission at a bit rate of  $19\ 200\ \text{bits/s} \pm 50\ \text{ppm}$ .

## **4. Stages of transmission**

- (1) Transmitter power stabilization. The first segment of the training sequence is the transmitter power stabilization, which shall have a duration of 16 symbol periods. The transmitter power level shall be no less than 90 percent of the steady State power level at the end of the transmitter power stabilization segment.
- (2) Bit synchronization. The second segment of the training sequence shall be the 24-bit binary sequence 0101 0101 0101 0101 0101 0101, transmitted from left to right immediately before the start of the data segment.
- (3) Ambiguity resolution and data transmission. The transmission of the first bit of data shall start 40 bit intervals (approximately 2083.3 microseconds)  $\pm 1$  microsecond after the nominal start of transmission.
- (4) Transmitter decay. The transmitted power level shall decay at least by 20 dB within 300 microseconds after completing a transmission. The transmitter power level shall be less than -90 dBm within 832 microseconds after completing a transmission.

## 5. Channel sensing

- (1) Estimation of noise floor. A VDL Mode 4 station shall estimate the noise floor based on power measurements of the channel whenever a valid training sequence has not been detected.
- (2) The algorithm used to estimate the noise floor shall be such that the estimated noise floor shall be lower than the maximum power value measured on the channel over the last minute when the channel is regarded as idle.
- (3) Channel idle to busy detection. A VDL Mode 4 station shall employ the following means to determine the channel idle to busy transition at the physical layer.
  - (a) detection of a training sequence. The channel shall be declared busy if a VDL Mode 4 station detects a valid training sequence followed by a frame flag.
  - (b) measurement of channel power. Regardless of the ability of the demodulator to detect a valid training sequence, a VDL Mode 4 station shall consider the channel busy with at least a 95 per cent probability within 1ms after on channel power rises to the equivalent of at least four times the estimated noise floor for at least 0.5 milliseconds.

## 6. Channel busy to idle detection

- (1) A VDL Mode 4 station shall employ the following means to determine the channel busy to idle transition.
- (2) Measurement of transmission length. When the training sequence has been detected, the channel busy State shall be held for a period of time at least equal to 5 milliseconds, and subsequently allowed to transition to the idle State based on measurement of channel power.
- (3) Measurement of channel power. When not otherwise held in the channel busy State, a VDL Mode 4 station shall consider the channel idle with at least a 95 percent probability if on-channel power falls below the equivalent of twice the estimated noise floor for at least 0.9 milliseconds.

## 7. Receiver/transmitter interaction

- (1) Receiver to transmitter turnaround time. A VDL Mode 4 station shall be capable of beginning the transmission of the transmitter power stabilization sequence within 16 microseconds after terminating the receiver function.
- (2) Frequency change during transmission. The phase acceleration of the carrier from the start of the synchronization sequence to the data end flag shall be less than 300 Hz per second.
- (3) Transmitter to receiver turnaround time. A VDL Mode 4 station shall be capable of receiving and demodulating with nominal performance an incoming signal within 1 ms after completing a transmission.

## 8. Physical layer system parameters

The Parameter P1 (Minimum Transmission Length)-

- (1) A receiver shall be capable of demodulating a transmission of minimum length P1 without degradation of BER.
- (2) The value of P1 shall be 19 200 bits.

## 9. Parameter P2 (Normal Co-channel interference performance)

- (1) The parameter P2 shall be the nominal co-channel interference at which a receiver shall be capable of demodulating without degradation in BER.
- (2) The value of P2 shall be 12 dB.

## 10. FM Broadcast interference immunity performance for VDL Mode 4 receiving systems

- (1) A VDL Mode 4 station shall conform to the requirements defined in paragraph 6 of Schedule 6 when operating in the band 117.975–137 MHz.
- (2) A VDL Mode 4 station shall conform to the requirements defined below when operating in the band 108-117.975 MHz.
- (3) The VDL Mode 4 receiving system shall meet the requirements specified in paragraph 5(1) of Schedule 6 in the presence of two signal, third-order intermodulation products caused by VHF FM broadcast signals having levels in accordance with the following-

$$2N_1 + N_2 + 72 \leq 0$$

for VHF FM sound broadcasting signals in the range 107.7–108.0 MHz

and

$$2N_1 + N_2 + 3 \left\{ 24 - 20 \log \frac{\Delta f}{0.4} \right\} \leq 0$$

for VHF FM sound broadcasting signals below 107.7 MHz,

where the frequencies of the two VHF FM sound broadcasting signals produce, within the receiver, a two-signal, third-order intermodulation product on the desired VDL Mode 4 frequency.

N1 and N2 are the levels (dBm) of the two VHF FM sound broadcasting signals at the VDL Mode 4 receiver input. Neither level shall exceed the desensitization criteria set forth in subparagraph (4).

$\Delta f = 108.1 - f_1$ , where  $f_1$  is the frequency of N1, the VHF FM sound broadcasting signal closer to 108.1 MHz.

*Note.— The FM intermodulation immunity requirements are not applied to a VDL Mode 4 channel operating below 108.1 MHz, and hence frequencies below 108.1 MHz are not intended for general assignments.*

- (4) The VDL Mode 4 receiving system shall not be desensitized in the presence of VHF FM broadcast signals having levels in accordance with Tables 8-1

**Table 8-1 VDL Mode 4 operating on frequencies between 112.0–117.975 MHz**

Frequency (MHz)	Maximum level of unwanted signal at receiver input (dBm)
88–104	+15
106	+10
107	+5
107.9	0

*Note.— The relationship is linear between adjacent points designated by the above frequencies.*

*Notes*

- 1. Note.— Details on link layer functions are contained in the Manual on VDL Mode 4 Technical Specifications.*
- 2. Note.— Details on subnetwork layer functions and SNDCF are contained in the Manual on VDL Mode 4 Technical Specifications.*
- 3. Note.— Details on ADS-B application functions are contained in the Manual on VDL Mode 4 Technical Specifications.*

**VHF AIR GROUND DIGITAL LINK SYSTEMS***Link Layer Protocols and Services***1. Functionality.**

The VDL link layer shall provide the following sublayer functions-

- (a) media access control (MAC) sublayer, which requires the use of the carrier sense multiple access (CSMA) algorithm for Mode 2 or TDMA for Mode 3;
- (b) a data link service (DLS) sublayer-
  - (i) for Mode 2, the DLS sublayer provides connection-oriented point-to-point links using data link entities (DLE) and connectionless broadcast link over the MAC sublayer; and
  - (ii) for Mode 3, the DLS sublayer provides acknowledged connectionless point-to-point and point-to-multipoint links over a MAC sublayer that guarantees sequencing; and
- (c) a VDL management entity (VME), which establishes and maintains DLEs between the aircraft and the ground-based systems using link management entities (LME). An aircraft VME instantiates a LME for each ground station that it monitors. Similarly, the ground VME instantiates a LME for each aircraft that it monitors.

**2. Service**

- (1) Connection-oriented. The VDL Mode 2 link layer shall provide a reliable point-to-point service using a connection-oriented DLS sublayer.
- (2) Connectionless. The VDL Mode 2 and 3 link layers shall provide an unacknowledged broadcast service using a connectionless DLS sublayer.
- (3) Acknowledged connectionless. The VDL Mode 3 link layer shall provide an acknowledged point-to-point service using a connectionless DLS sublayer that relies upon the MAC sublayer to guarantee sequencing.

**3. MAC sub layer**

The MAC sublayer shall provide for the transparent acquisition of the shared communications path. It makes invisible to the DLS sublayer the way in which supporting communications resources are utilized to achieve this.

**4. Data link service sublayer**

- (1) For Mode 2, the DLS shall support bit-oriented simplex air-ground communications using the aviation VHF link control (AVLC) protocol.

*Note.— Specific data link services, parameters and protocol definitions for VDL Mode 2 are contained in the Manual on VDL Mode 2 Technical Specifications.*

(2) For Mode 3, the DLS shall support bit-oriented, priority based, simplex air-ground communications using the acknowledged connectionless data link (A-CLDL) protocol.

*Note.— Specific data link services, parameters and protocol definitions for VDL Mode 3 are contained in the Manual on VDL Mode 3 Technical Specifications.*

## **5. VDL management entity**

The VME shall provide link establishment, maintenance and disconnection services as well as support parameter modification. Specific VME services, parameter formats and procedures for Modes 2 and 3 are contained in the Manuals on VDL Mode 2 and Mode 3 Technical Specifications.

DRAFT

**VHF AIR GROUND DIGITAL LINK SYSTEMS*****Subnetwork Layer Protocols and Services*****1. Architecture for Mode 2**

The sub network layer protocol used across the VHF air-ground subnetwork for VDL Mode 2 is referred to as a sub network access protocol (SNAcP) and shall conform to ISO 8208, except as contained in the Manual on VDL Mode 2 Technical Specifications. The SNAcP is contained within the Manual on VDL Mode 2 Technical Specifications as the sub network protocol. If there are any differences between the Manual on VDL Mode 2 Technical Specifications and these Regulations, the Manual on VDL Mode 2 Technical Specifications shall have precedence. On the air-ground interface, the aircraft sub network entity shall act as a DTE and the ground sub network entity shall act as a DCE.

**2. Architecture for Mode 3**

The sub network layer used across the VHF air-ground sub network for VDL Mode 3 provides the flexibility to simultaneously support multiple sub network protocols. The currently defined options are to support ISO 8473 connectionless network protocol and to support ISO 8208, both as contained in the Manual on VDL Mode 3 Technical Specifications. The Manual on VDL Mode 3 Technical Specifications shall have precedence with respect to any differences with the cited specifications. For the ISO 8208 interface, both the air and ground sub network entities shall act as DCEs.

## SCHEDULE 11

Regulation 63

### PART A-TECHNICAL PROVISIONS RELATING TO INTERNATIONAL GROUND-GROUND DATA INTERCHANGE AT MEDIUM AND HIGHER SIGNALLING RATES

*Note.— In this Schedule, the term “unit” means the unit of selective information and is essentially equivalent to the term “bit”.*

#### General

1. In international data interchange of characters, a 7-unit coded character set providing a repertoire of 128 characters and designated as International Alphabet No. 5 (IA-5) shall be used. Compatibility with the 5-unit coded character set of International Telegraph Alphabet No. 2 (ITA-2) shall be ensured where applicable.
2. When paragraph 1 is applied, International Alphabet No. 5 (IA-5) contained in Table 11-1 shall be used.
  - (1) The serial transmission of units comprising an individual character of IA-5 shall be with the low order unit (b1) transmitted first.
  - (2) When IA-5 is used, each character shall include an additional unit for parity in the eighth level position.
  - (3) When the provisions of subparagraph (2) are applied, the sense of the character parity bit shall produce even parity in links which operate on the start-stop principle, and odd parity in links using end-to-end synchronous operations.
  - (4) Character-for-character conversion shall be as listed in Tables 11-2 and 11-3 for all characters which are authorized in the AFTN format for transmission on the AFS in both IA-5 and ITA-2.
  - (5) Characters which appear in only one code set, or which are not authorized for transmission on the AFS shall be as depicted in the code conversion tables.

#### 3. Data transmission characteristics

- (1) The data signaling rate shall be chosen from among the following-

1 600 bits/s	4 800 bits/s
1 200 bits/s	9 600 bits/s
2 400 bits/s	

- (2) The type of transmission for each data signaling rate shall be chosen as follows-

Data signaling rate	Type of transmission
1 600 bits/s	Synchronous or asynchronous serial transmission
1 200 bits/s	Synchronous or asynchronous serial transmission

2 400 bits/s	Synchronous serial transmission
4 800 bits/s	Synchronous serial transmission
7 600 bits/s	Synchronous serial transmission

(3) The type of modulation for each data signaling rate shall be chosen as follows-

Data signaling rate	Type of modulation
1 600 bits/s	Frequency
1 200 bits/s	Frequency
2 400 bits/s	Phase
4 800 bits/s	Phase
9 600 bits/s	Phase-amplitude

*Note.— This standard does not necessarily apply to ground-ground extensions of air-ground links used exclusively for the transfer of air-ground data, in as much as such circuits may be considered as part of the air-ground link.*

#### 4. Character structure on data links

- (a) Character parity shall not be used for error checking on CIDIN links. Parity appended to IA-5 coded characters as prescribed in paragraph 2(2) prior to entry to the CIDIN shall be ignored. For messages exiting the CIDIN, parity shall be generated in accordance with paragraph 2(3).
- (b) Characters of less than eight bits in length shall be padded out to eight bits in length before transmission over any octet-based or bit-oriented communications network. The padding bits shall occupy the higher order end of the octet, i.e. bit 8, bit 7 as required, and shall have the binary values 0.
- (c) When exchanging data over CIDIN links using bit-oriented procedures, the entry centre address, exit centre addresses and destination addresses in the Transport and CIDIN Packet Headers shall be in the IA-5 character set contained in Table 11-1.
- (d) When transmitting messages in AFTN format over CIDIN links using bit-oriented procedures, the messages shall be in the IA-5 character set contained in Table 11-1.

#### 5. Ground-ground character-oriented data link control procedures

*Note.— The provisions of this Schedule apply to ground-ground data interchange applications using IA-5 and which employ the ten transmission control characters (SOH, STX, ETX, EOT, ENQ, ACK, DLE, NAK, SYN, and ETB) for data link control, over synchronous or asynchronous transmission facilities.*

(1) In this Schedule, unless the context otherwise requires-

- (a) “master station” means station that has control of the data link at a given instant;
- (b) “slave station” means station that has been selected to receive a transmission from the master station;
- (c) “control station” means the single station on a multipoint link that is permitted to assume master status and deliver messages to one or more individually selected (non-control)

tributary stations, or is permitted to assign temporary master status to any of the other tributary stations.

(2) Message composition

- (a) a transmission shall consist of characters from IA-5 transmitted in accordance with paragraph 2(2) and shall be either an information message or a supervisory sequence.
- (b) an information message used for the exchange of data shall take one of the following forms-

- 1) S                                    E B  
T    ---TEXT---                    T C  
X                                    X C
  
- 2) S                                    E B  
T    ---TEXT---                    T C  
X                                    B C
  
- 3) S                                    S                                    E B  
O ---HEADING---                    T    ---TEXT---                    T C  
H                                    X                                    X C
  
- 4) S                                    S                                    E B  
O ---HEADING---                    T    ---TEXT---                    T C  
H                                    X                                    B C
  
- 5) S                                    E    B  
O ---HEADING---                    T    C  
H                                    B    C

- (c) a supervisory sequence shall be composed of either a single transmission control character (EOT, ENQ, ACK or NAK) or a single transmission control (ENQ) preceded by a prefix of up to 15 non-control characters, or the character DLE used in conjunction with other graphic and control characters to provide additional communication control functions.

(3) Three system categories are specified on the basis of their respective circuit characteristics, terminal configurations, and message transfer procedures as follows-

- (a) *System category A*: two-way alternate, multipoint allowing either centralized or non-centralized operation and single or multiple message-oriented information transfers without replies (but with delivery verification);
- (b) *System category B*: two-way simultaneous, point-to-point employing message associated blocking and modulo 8 numbering of blocks and acknowledgements.
- (c) *System category C*: two-way alternate, multipoint allowing only centralized (computer-to-terminal) operation, single or multiple message transfers with replies.

(4) In addition to the characteristics prescribed in this paragraph for both system categories A and B in subparagraph (3), other parameters that shall be accounted for in order to ensure viable, operationally reliable communications include-

- (a) the number of SYN characters required to establish and maintain synchronization;
- (b) the values of system time-outs for such functions as "idle line" and "no response" as well as the number of automatic retries that are to be attempted before manual intervention is signaled;
- (c) the composition of prefixes within a 15 character maximum.

(5) For multipoint implementations designed to permit only centralized (computer-to terminal) operations, the provisions of paragraph 18 shall apply.

## **6. Block check character**

- (1) Both system category A and B referred to in subparagraph (3) shall utilize a block check character to determine the validity of a transmission.
- (2) The block check character shall be composed of 7 bits plus a parity bit.
- (3) Each of the first 7 bits of the block check character shall be the modulo 2 binary sum of every element in the same bit 1 to bit 7 column of the successive characters of the transmitted block.
- (4) The longitudinal parity of each column of the block, including the block check character, shall be even.
- (5) The sense of the parity bit of the block check character shall be the same as for the information characters.

## **7. Summation**

- (1) The summation to obtain the block check character shall be started by the first appearance of either SOH (start of heading) or STX (start of text).
- (2) The starting character shall not be included in the summation.
- (3) If an STX character appears after the summation has been started by SOH, then the STX character shall be included in the summation as if it were a text character.
- (4) Except for SYN (synchronous idle), all the characters which are transmitted after the start of the block check summation shall be included in the summation, including the ETB (end of transmission/block) or ETX (end of text) control character which signals that the following character is the block check character.
- (5) No character, SYN or otherwise, shall be inserted between the ETB or ETX character and the block check character.

## 8. Description of system category A

System category A is one in which a number of stations are connected by a multipoint link and one station is permanently designated as the control station which monitors the link at all times to ensure orderly operation.

## 9. Link establishment procedure

(1) To establish the link for transmission, the control station shall either-

- (a) poll one of the tributary stations to assign it master status; or
- (b) assume master status and select one or more tributary (slave) stations to receive a transmission.

(2) Polling shall be accomplished by the control station sending a polling supervisory sequence consisting of a prefix identifying a single tributary station and ending in ENQ.

(3) A tributary station detecting its assigned polling supervisory sequence shall assume master status and respond in one of two ways-

- (a) if the station has a message to send, it shall initiate a selection supervisory sequence as described in subparagraph (5);
- (b) if the station has no message to send, it shall send EOT, and master status shall revert to the control station.

(4) If the control station detects an invalid or no response resulting from a poll, it shall terminate by sending EOT prior to resuming polling or selection.

(5) Selection shall be accomplished by the designated master station sending a selection supervisory sequence consisting of a prefix identifying a single station and ending in ENQ.

(6) A station detecting its assigned selection supervisory sequence shall assume slave status and send one of two replies-

- (a) if the station is ready to receive, it shall send a prefix followed by ACK. Upon detecting this reply, the master station shall either select another station or proceed with message transfer;
- (b) if the station is not ready to receive, it shall send a prefix followed by NAK and thereby relinquish slave status. If the master station receives NAK, or no reply, it shall either select another or the same tributary station or terminate;
- (c) it shall be permissible for  $N$  retries ( $N \geq 0$ ) to be made to select a station for which NAK, an invalid reply, or no response has been received.

(7) If one or more stations have been selected and have properly responded with ACK, the master station shall proceed with message transfer.

## 10. Message transfer procedure

(1) The master station shall send a message or series of messages, with or without headings to the selected slave station(s).

(2) The transmission of a message shall-

- (a) begin with-
  - (i) SOH if the message has a heading; or
  - (ii) STX if the message has no heading;
- (b) be continuous, ending with ETX, immediately followed by a block check character (BCC).

(3) After transmitting one or more messages, the master station shall verify successful delivery at each selected slave station.

### **11. Deliver verification procedure**

(1) The master station shall send a delivery verification supervisory sequence consisting of a prefix identifying a single slave station and ending in ENQ.

(2) A slave station detecting its assigned delivery verification supervisory sequence shall send one of two replies-

- (a) if the slave station properly received all of the transmission, it shall send an optional prefix followed by ACK;
- (b) if the slave station did not receive all of the transmission properly, it shall send an optional prefix followed by NAK.

(3) If the master station receives no reply or an invalid reply, it shall request a reply from the same or another slave station until all selected stations have been properly accounted for.

(4) If the master station receives a negative reply (NAK) or, after  $N \geq 0$  repeat attempts, no reply, it shall repeat that transmission to the appropriate slave stations at a later opportunity.

(5) After all messages have been sent and delivery verified, the master station shall proceed with link termination.

### **12. Link termination procedure**

The terminate function, negating the master or slave status of all stations and returning master status to the control station, shall be accomplished by the master station transmitting EOT.

### **13. Description of system category B**

System category B is one in which two stations are on a point-to-point, full-duplex link and each station has the capability to maintain concurrent master and slave status, i.e. master status on its transmit side and slave status on its receive side and both stations can transmit simultaneously.

### **14. Link establishment procedure**

(1) To establish the link for message transfers (from the calling to the called station), the calling station shall request the identity of the called station by sending an identification supervisory sequence consisting of a DLE character followed by a colon character, an optional prefix, and ENQ.

(2) The called station, upon detecting ENQ, shall send one of two replies-

- (a) if ready to receive, it shall send a sequence consisting of a DLE followed by a colon, a prefix which includes its identity and ended by ACK0. This establishes the link for message transfers from the calling to the called station;

- (b) if not ready to receive, it shall send the above sequence with the ACK0 replaced by NAK.

(3) Establishment of the link for message transfers in the opposite direction can be initiated at any time following circuit connection in a similar manner to that described above.

## 15. Message transfer procedure

- (1) System category B message transfer provides for message associated blocking with longitudinal checking and modulo 8 numbered acknowledgements.
- (2) It is permissible for a transmission block to be a complete message or a portion of a message. The sending station shall initiate the transmission with SOTB N followed by-
  - (a) SOH if it is the beginning of a message that contains a heading;
  - (b) STX if it is the beginning of a message that has no heading;
  - (c) SOH if it is an intermediate block that continues a heading;
  - (d) STX if it is an intermediate block that continues a text.

*Note.— SOTB N is the two-character transmission control sequence DLE = (characters 1/0, and 3/13) followed by the block number, N, where N is one of the IA-5 characters 0, 1 ... 7 (characters 3/0, 3/1 ... 3/7).*

- (3) A block which ends at an intermediate point within a message shall be ended with ETB; a block which ends at the end of a message shall be ended with ETX.
- (4) It shall be permissible for each station to initiate and continue to send messages to the other concurrently according to the following sequence.
- (5) It shall be permissible for the sending station (master side) to send blocks, containing messages or parts of messages, continuously to the receiving station (slave side) without waiting for a reply.
- (6) It shall be permissible for replies, in the form of slave responses, to be transmitted by the receiving station while the sending station is sending subsequent blocks.

*Note.— By use of modulo 8 numbering of blocks and replies, it shall be permissible for the sending station to send as many as seven blocks ahead of the received replies before being required to stop transmission until six or less blocks are outstanding.*

- (7) If a negative reply is received, the sending station (master side) shall start retransmission with the block following the last block for which the proper affirmative acknowledgement was received.
- (8) Slave responses shall be according to one of the following-
  - (a) if a transmission block is received without error and the station is ready to receive another block, it shall send DLE, a colon, an optional prefix, and the appropriate acknowledgement ACKN (referring to the received block beginning with SOTB N, e.g. ACK0, transmitted as DLE0 is used as the affirmative reply to the block numbered SOTB0, DLE1 for SOTB1, etc.);

- (b) if a transmission block is not acceptable, the receiving station shall send DLE, a colon, an optional prefix, and NAK.

- (9) Slave responses shall be interleaved between message blocks and transmitted at the earliest possible time.

## **16. Link termination procedure**

- (1) If the link has been established for message transfers in either or both directions, the sending of EOT by a station shall signal the end of message transfers in that direction. To resume message transfers after sending EOT, the link shall be re-established in that direction.
- (2) EOT shall only be transmitted by a station after all outstanding slave responses have been received or otherwise accounted for.

## **17. Circuit disconnection**

On switched connections, the data links in both directions shall be terminated before the connection is cleared. In addition, the station initiating clearing of the connection shall first announce its intention to do so by transmitting the two-character sequence DLE EOT, followed by any other signals required to clear the connection.

## **18. Description of system category C (centralized)**

System category C (centralized) is one (like system category A) in which a number of stations are connected by a multipoint link and one station is designated as the control station but (unlike system category A) provides only for centralized (computer-to-terminal) operations where message interchange (with replies) shall be constrained to occur only between the control and a selected tributary station.

## **19. Link establishment procedure**

- (1) To establish the link for transmission the control station shall either-
  - (a) poll one of the tributary stations to assign it master status; or
  - (b) assume master status and select a tributary station to assume slave status and receive a transmission according to either of two prescribed selection procedures-
    - (i) selection with response; or
    - (ii) fast select.
- (2) Polling is accomplished by the control station sending a polling supervisory sequence consisting of a prefix identifying a single tributary station and ending in ENQ.
- (3) A tributary station detecting its assigned polling supervisory sequence shall assume master status and respond in one of two ways-
  - (a) if the station has a message to send, it shall initiate message transfer. The control station assumes slave status;
  - (b) if the station has no message to send, it shall send EOT and master status shall revert to the control station.
- (4) If the control station detects an invalid or no response resulting from a poll, it shall terminate by sending EOT prior to resuming polling or selection.

- (5) Selection with response is accomplished by the control station assuming master status and sending a selection supervisory sequence consisting of a prefix identifying a single tributary station and ending in ENQ.
- (6) A tributary station detecting its assigned selection supervisory sequence shall assume slave status and send one of two replies-
  - (a) if the station is ready to receive, it shall send an optional prefix followed by ACK. Upon detecting this reply, the master station shall proceed with message transfer;
  - (b) if the station is not ready to receive, it shall send an optional prefix followed by NAK. Upon detecting NAK, it shall be permissible for the master station to again attempt selecting the same tributary station or initiate termination by sending EOT.

*Note.— If the control station receives an invalid or no reply, it is permitted to attempt again to select the same tributary or after  $N$  retries ( $N \geq 0$ ) either to exit to a recovery procedure or to initiate termination by sending EOT.*

- (7) Fast select is accomplished by the control station assuming master status and sending a selection supervisory sequence, and without ending this transmission with ENQ or waiting for the selected tributary to respond, proceeding directly to message transfer.

## **20. Message transfer procedure**

- (1) The station with master status shall send a single message to the station with slave status and wait for a reply.
- (2) The message transmission shall begin with-
  - (a) SOH if the message has a heading, — STX if the message has no heading; and
  - (b) be continuous, ending with ETX, immediately followed by BCC.
- (3) The slave station, upon detecting ETX followed by BCC, shall send one of two replies:
  - (a) if the messages were accepted and the slave station is ready to receive another message, it shall send an optional prefix followed by ACK. Upon detecting ACK, the master station shall be permitted either to transmit the next message or initiate termination;
  - (b) if the message was not accepted and the slave station is ready to receive another message, it shall send an optional prefix followed by NAK. Upon detecting NAK, the master station may either transmit another message or initiate termination. Following the NAK reply, the next message transmitted need not be a retransmission of the message that was not accepted.
- (4) If the master station receives an invalid or no reply to a message, it shall be permitted to send a delivery verification supervisory sequence consisting of an optional prefix followed by ENQ. Upon receipt of a delivery verification supervisory sequence, the slave station repeats its last reply.
- (5)  $N$  retries ( $N \geq 0$ ) may be made by the master station in order to get a valid slave reply. If a valid reply is not received after  $N$  retries, the master station exits to a recovery procedure.

## 21. Link termination procedure

The station with master status shall transmit EOT to indicate that it has no more messages to transmit. EOT shall negate the master/slave status of both stations and return master status to the control station.

## 22. Ground-ground bit-oriented data link control procedures

*Note.— The provisions of this Schedule apply to ground-ground data interchange applications using bit-oriented data link control procedures enabling transparent, synchronous transmission that is independent of any encoding; data link control functions are accomplished by interpreting designated bit positions in the transmission envelope of a frame.*

The following descriptions shall apply to data link applications contained in this Schedule-

- (a) Bit-oriented data link control procedures shall enable transparent transmission that is independent of any encoding.
- (b) “a data link” means the logical association of two interconnected stations, including the communication control capability of the interconnected stations;
- (c) “a station” means a configuration of logical elements, from or to which messages are transmitted on a data link, including those elements which control the message flow on the link via communication control procedures;
- (d) “data communication control procedures” means the means used to control and protect the orderly interchange of information between stations on a data link;
- (e) “component” means a number of bits in a prescribed order within a sequence for the control and supervision of the data link;
- (f) “an octet” means a group of 8 consecutive bits;
- (g) “sequence” means one or more components in prescribed order comprising an integral number of octets;
- (h) “a field” means a series of a specified number of bits or specified maximum number of bits which performs the functions of data link or communications control or constitutes data to be transferred ;
- (i) “a frame” means a unit of data to be transferred over the data link, comprising one or more fields in a prescribed order;
- (j) “common ICAO data interchange network (CIDIN) switching centre” means a part of an automatic AFTN switching centre which provides for the entry, relay, and exit centre functions using the bit-oriented link and CIDIN network procedures specified in this Schedule and includes the appropriate interface(s) with other parts of the AFTN and with other networks;
- (k) A combined station shall send and receive both commands and responses and shall be responsible for control of the data link.

### **PART B- BIT-ORIENTED DATA LINK CONTROL PROCEDURES FOR POINT-TO-POINT, GROUND-GROUND DATA INTERCHANGE APPLICATIONS EMPLOYING SYNCHRONOUS TRANSMISSION FACILITIES**

1. Frame format. Frames shall contain not less than 32 bits, excluding the opening and closing flags, and shall conform to the following format-

FLAG	ADDRESS	CONTROL	INFORMATION	FCS	FLAG
F	A	C	I		F

- (1) A frame shall consist of an opening flag (F), an address field (A), a control field (C), an optional information field (I), a frame check sequence (FCS), and a closing flag sequence (F), and shall be transmitted in that order.
- (2) The flag (F) shall be the 8-bit sequence 01111110 which delimits the beginning and ending of each frame. It shall be permissible for the closing flag of a frame to also serve as the opening flag of the next frame.
- (3) The address (A) field shall consist of one octet, excluding 0 bits added to achieve transparent transmission, which shall contain the link address of the combined station.
- (4) The control (C) field shall consist of one octet, excluding 0 bits added to achieve transparent transmission, and shall contain the commands, responses, and frame sequence number components for the control of the data link.
- (5) The information (I) field shall contain digital data which may be presented in any code or sequence but shall not exceed a maximum of 259 octets, excluding 0 bits added to achieve transparent transmission. The (I) field shall always be a multiple of 8 bits in length.
- (6) The frame check sequence (FCS) shall consist of two octets, excluding 0 bits added to achieve transparent transmission, and shall contain the error detecting bits.
- (7) A frame check sequence (FCS) shall be included in each frame for the purpose of error checking.
- (8) The error checking algorithm shall be a cyclic redundancy check (CRC).
- (9) The CRC polynomial (P(x)) shall be-

$$x^{16} + x^{12} + x^5 + 1.$$

- (10) The FCS shall be a 16-bit sequence. This FCS shall be the ones' complement of the remainder, R(x), obtained from the modulo 2 division of-

$$x^{16}[G(x)] + x^K(x^{15} + x^{14} + x^{13} + \dots + x^2 + x^1 + 1)$$

by the CRC polynomial, P(x).

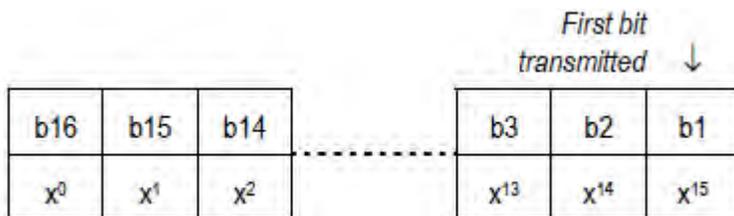
G(x) shall be the contents of the frame existing between, but including neither, the final bit of the opening flag nor the first bit of the FCS, excluding bits inserted for transparent transmission.

K shall be the length of G(x) (number of bits).

- (11) The generation and checking of the FCS accumulation shall be as follows-
  - (a) the transmitting station shall initiate the FCS accumulation with the first (least significant) bit of the address (A) field and shall include all bits up to and including the last bit preceding the FCS sequence, but shall exclude all 0 bits (if any) inserted to achieve transparent transmission;

- (b) upon completion of the accumulation the FCS shall be transmitted, starting with bit b1 (highest order coefficient) and proceeding in sequence to bit b16 (lowest order coefficient) as shown below-

First bit transmitted ↓



- (c) the receiving station shall carry out the cyclic redundancy check (CRC) on the content of the frame commencing with the first bit received following the opening flag, and shall include all bits up to and including the last bit preceding the closing flag, but shall exclude all 0 bits (if any) deleted according to the rules for achievement of transparency;
- (d) upon completion of the FCS accumulation, the receiving station shall examine the remainder. In the absence of transmission error, the remainder shall be  
 1111000010111000 ( $x^0$  through  $x^{15}$ , respectively).

(12) Achievement of transparency. The frame format contents (A, C, link data field, and FCS) shall be capable of containing any bit configuration.

(13) The following rules shall apply to all frame contents, except flag sequences-

- (a) the transmitting station shall examine the frame contents before transmission, and shall insert a single 0 bit immediately following each sequence of 5 consecutive 1 bits;
- (b) the receiving station shall examine the received frame contents for patterns consisting of 5 consecutive 1 bits immediately followed by one (or more) 0 bit(s) and shall remove the 0 bit which directly follows 5 consecutive 1 bits.

(14) Special transmission sequences and related link States. In addition to employing the prescribed repertoire of commands and responses to manage the interchange of data and control information, stations shall use the following conventions to signal the indicated conditions-

- (a) abort is the procedure by which a station in the process of sending a frame ends the frame in an unusual manner such that the receiving station shall ignore the frame. The conventions for aborting a frame shall be-
- (i) transmitting at least seven, but less than fifteen, one bits (with no inserted zeros);
  - (ii) receiving seven one bits;
- (b) active link State. A link is in an active State when a station is transmitting a frame, an abort sequence, or interframe time fill. When the link is in the active State, the right of the transmitting station to continue transmission shall be reserved;

- (c) interframe time fill. Interframe time fill shall be accomplished by transmitting continuous flags between frames. There is no provision for time fill within a frame;
- (d) idle link State. A link is in an idle State when a continuous one condition is detected that persists for 15 bit times, or longer. Idle link time fill shall be a continuous one condition on the link;
- (e) invalid frame. An invalid frame is one that is not properly bounded by two flags or one which is shorter than 32 bits between flags.

## 2. Modes

- (1) Operational mode. The operational mode shall be the asynchronous balanced mode (ABM).
- (2) It shall be permissible for a combined station in ABM to transmit without invitation from the associated station.
- (3) A combined station in ABM shall be permitted to transmit any command or response type frame except DM.
- (4) Non-operational mode. The non-operational mode shall be the asynchronous disconnected mode (ADM) in which a combined station is logically disconnected from the data link.
- (5) It shall be permissible for a combined station in ADM to transmit without invitation from the associated station.
- (6) A combined station in ADM shall transmit only SABM, DISC, UA and DM frames.
- (7) A combined station in ADM shall transmit a DM when a DISC is received, and shall discard all other received command frames except SABM. If a discarded command frame has the P bit set to "1", the combined station shall transmit a DM with the F bit set to "1".
- (8) Control field functions and parameters. Control fields contain a command or a response and sequence numbers where applicable. Three types of control fields shall be used to perform-
  - (a) numbered information transfer (I-frames);
  - (b) numbered supervisory functions (S-frames); and
  - (c) unnumbered control functions (U-frames).
- (9) The control field formats shall be as shown in Table 11-5. The functional frame designation associated with each type control field as well as the control field parameters employed in performing these functions shall be described in subparagraph (10), (11) and (12).
- (10) The I-frame type is used to perform information transfers. Except for some special cases it is the only format which shall be permitted to contain an information field.
- (11) The S-frame type is used for supervisory commands and responses that perform link supervisory control functions such as acknowledge information frames, request transmission or retransmission of information frames, and to request a temporary suspension of transmission of I-frames. No information field shall be contained in the S-frame.

- (12) The U-frame type is used for unnumbered commands and responses that provide additional link control functions. One of the U-frame responses, the frame reject (FRMR) response, shall contain an information field; all other frames of the U-frame type shall not contain an information field.
- (13) The station parameters associated with the three control field types referred to in subparagraph (10), (11) and (12) shall be as follows-
- (a) Modulus. Each I-frame shall be sequentially numbered with a send sequence count,  $N(S)$ , having value 0 through modulus minus one (where modulus is the modulus of the sequence numbers). The modulus shall be 8. The maximum number of sequentially numbered I-frames that a station shall have outstanding (i.e. unacknowledged) at any given time shall never exceed one less than the modulus of the sequence numbers. This restriction on the number of outstanding frames is to prevent any ambiguity in the association of transmission frames with sequence numbers during normal operation or error recovery;
  - (b) The send State variable  $V(S)$  shall denote the sequence number of the next in-sequence I-frame to be transmitted-
    - (i) the send State variable shall take on the value 0 through modulus minus one (modulus is the modulus of the sequence numbering and the numbers cycle through the entire range);
    - (ii) the value of  $V(S)$  shall be incremented by one with each successive in-sequence I-frame transmission, but shall not exceed the value of  $N(R)$  contained in the last received frame by more than the maximum permissible number of outstanding I-frames ( $k$ ). See i) below for the definition of  $k$ .
  - (c) Prior to transmission of an in-sequence I-frame, the value of  $N(S)$  shall be updated to equal the value of  $V(S)$ .
  - (d) The receive State variable  $V(R)$  shall denote the sequence number of the next in-sequence I-frame to be received-
    - (i)  $V(R)$  shall take on the values 0 through modulus minus one;
    - (ii) the value of  $V(R)$  shall be incremented by one after the receipt of an error-free, in-sequence I-frame whose send sequence number  $N(S)$ , equals  $V(R)$ .
  - (e) All I-frames and S-frames shall contain  $N(R)$ , the expected sequence number of the next received frame. Prior to transmission of either an I or an S type frame, the value of  $N(R)$  shall be updated to equal the current value of the receive State variable.  $N(R)$  indicates that the station transmitting the  $N(R)$  has correctly received all I-frames numbered up to and including  $N(R) - 1$ .
  - (f) Each station shall maintain an independent send State variable,  $V(S)$ , and receive State variable,  $V(R)$ , on the I-frames it sends and receives. That is, each combined station shall maintain a  $V(S)$  count on the I-frames it transmits and a  $V(R)$  count on the I-frames it has correctly received from the remote combined station.
  - (g) The poll (P/F) bit shall be used by a combined station to solicit (poll) a response or sequence of responses from the remote combined station.

- (h) The final (P/F) bit shall be used by the remote combined station to indicate the response frame transmitted as the result of a soliciting (poll) command.
- (i) The maximum number ( $k$ ) of sequentially numbered I-frames that a station may have outstanding (i.e. unacknowledged) at any given time is a station parameter which shall never exceed the modulus.

*Note.—  $k$  is determined by station buffering limitations and should be the subject of bilateral agreement at the time of circuit establishment.*

- (14) Commands and responses. It shall be permissible for a combined station to generate either commands or responses. A command shall contain the remote station address while a response shall contain the sending station address. The mnemonics associated with all the commands and responses prescribed for each of the three frame types (I, S, and U) and the corresponding encoding of the control field are as shown in Table 11-6.
- (15) The I-frame command provides the means for transmitting sequentially numbered frames, each of which shall be permitted to contain an information field.
- (16) The S-frame commands and responses shall be used to perform numbered supervisory functions (such as acknowledgement, polling, temporary suspension of information transfer, or error recovery).
- (17) The receive ready command or response (RR) shall be used by a station to-
  - (a) indicate that it is ready to receive an I-frame;
  - (b) acknowledge previously received I-frames numbered up to and including  $N(R) - 1$ ;
  - (c) clear a busy condition that was initiated by the transmission of RNR.

*Note.— It is permissible for a combined station to use the RR command to solicit a response from the remote combined station with the poll bit set to "1".*

- (18) It shall be permissible to issue a reject command or response (REJ) to request retransmission of frames starting with the I-frame numbered  $N(R)$  where-
  - (a) I-frames numbered  $N(R) - 1$  and below are acknowledged;
  - (b) additional I-frames pending initial transmission are to be transmitted following the retransmitted I-frame(s);
  - (c) only one REJ exception condition, from one given station to another station, shall be established at any given time: another REJ shall not be issued until the first REJ exception condition has been cleared;
  - (d) the REJ exception condition is cleared (reset) upon the receipt of an I-frame with an  $N(S)$  count equal to the  $N(R)$  of the REJ command/response.
- (19) The receive not ready command or response (RNR) shall be used to indicate a busy condition, i.e. temporary inability to accept additional incoming I-frames, where-
  - (a) frames numbered up to and including  $N(R) - 1$  are acknowledged;
  - (b) frame  $N(R)$  and any subsequent I-frames received, if any, are not acknowledged (the acceptance status of these frames shall be indicated in subsequent exchanges);
  - (c) the clearing of a busy condition shall be indicated by the transmission of an RR, REJ, SABM, or UA with or without the P/F bit set to "1".

- (d) a station receiving an RNR frame when in the process of transmitting shall stop transmitting I-frames at the earliest possible time;
  - (e) any REJ command or response which was received prior to the RNR shall be actioned before the termination of transmission;
  - (f) it shall be permissible for a combined station to use the RNR command with the poll bit set to "1" to obtain a supervisory frame with the final bit set to "1" from the remote combined station.
- (20) It shall be permissible for the selective reject command or response (SREJ) to be used to request retransmission of the single I-frame numbered N(R) where-
- (a) frames numbered up to N(R) – 1 are acknowledged; frame N(R) is not accepted; the only I-frames accepted are those received correctly and in sequence following the I-frame requested; the specific I-frame to be retransmitted is indicated by the N(R) in the SREJ command/response;
  - (b) the SREJ exception condition is cleared (reset) upon receipt of an I-frame with an N(S) count equal to the N(R) of the SREJ;
  - (c) after a station transmits a SREJ it is not permitted to transmit SREJ or REJ for an additional sequence error until the first SREJ error condition has been cleared;
  - (d) I-frames that have been permitted to be transmitted following the I-frame indicated by the SREJ are not retransmitted as the result of receiving a SREJ; and
  - (e) it is permissible for additional I-frames pending initial transmission to be transmitted following the retransmission of the specific I-frame requested by the SREJ.
- (21) The U-frame commands and responses shall be used to extend the number of link control functions. Transmitted U-frames do not increment the sequence counts at either the transmitting or receiving station.
- (a) The U-frame mode-setting commands (SABM, and DISC) shall be used to place the addressed station in the appropriate response mode (ABM or ADM) where-
    - (i) upon acceptance of the command, the station send and receive State variables, V(S) and V(R), are set to zero;
    - (ii) the addressed station confirms acceptance at the earliest possible time by transmission of a single unnumbered acknowledgement, UA;
    - (iii) previously transmitted frames that are unacknowledged when the command is actioned remain unacknowledged;
    - (iv) the DISC command is used to perform a logical disconnect, i.e. to inform the addressed combined station that the transmitting combined station is suspending operation. No information field shall be permitted with the DISC command.
  - (b) The unnumbered acknowledge response (UA) shall be used by a combined station to acknowledge the receipt and acceptance of an unnumbered command. Received unnumbered commands are not actioned until the UA response is transmitted. No information field shall be permitted with the UA response.
  - (c) The frame reject response (FRMR), employing the information field described below, shall be used by a combined station in the operational mode (ABM) to report that one of the following conditions resulted from the receipt of a frame without an FCS error-
    - (i) a command/response that is invalid or not implemented;

- (ii) a frame with an information field that exceeds the size of the buffer available;
- (iii) a frame having an invalid N(R) count.

*Note.*— An invalid N(R) is a count which points to an I-frame which has previously been transmitted and acknowledged or to an I-frame which has not been transmitted and is not the next sequential I-frame pending transmission.

- (d) The disconnected mode response (DM) shall be used to report a non-operational status where the station is logically disconnected from the link. No information field shall be permitted with the DM response.

*Note.*— The DM response shall be sent to request the remote combined station to issue a mode-setting command or, if sent in response to the reception of a mode-setting command, to inform the remote combined station that the transmitting station is still in ADM and cannot action the mode-setting command.

### 3. Exception condition reporting and recovery

- (1) This paragraph specifies the procedures that shall be employed to effect recovery following the detection or occurrence of an exception condition at the link level. Exception conditions described are those situations that may occur as the result of transmission errors, station malfunction, or operational situations.
- (2) Busy condition. A busy condition occurs when a station temporarily cannot receive or continue to receive I-frames due to internal constraints, e.g. due to buffering limitations. The busy condition shall be reported to the remote combined station by the transmission of an RNR frame with the N(R) number of the next I-frame that is expected. It shall be permissible for traffic pending transmission at the busy station to be transmitted prior to or following the RNR.

*Note.*— The continued existence of a busy condition must be reported by retransmission of RNR at each P/F frame exchange.

- (3) Upon receipt of an RNR, a combined station in ABM shall cease transmitting I-frames at the earliest possible time by completing or aborting the frame in process. The combined station receiving an RNR shall perform a time-out operation before resuming asynchronous transmission of I-frames unless the busy condition is reported as cleared by the remote combined station. If the RNR was received as a command with the P bit set to “1”, the receiving station shall respond with an S-frame with the F bit set to “1”.
- (4) The busy condition shall be cleared at the station which transmitted the RNR when the internal constraint ceases. Clearance of the busy condition shall be reported to the remote station by transmission of an RR, REJ, SABM, or UA frame (with or without the P/F bit set to “1”).
- (5) N(S) sequence error. An N(S) sequence exception shall be established in the receiving station when an I-frame that is received error free (no FCS error) contains an N(S) sequence number that is not equal to the receive variable V(R) at the receiving station. The receiving station shall not acknowledge (shall not increment its receive variable V(R)) the frame causing the sequence error, or any I-frames which may follow, until an I-frame with the correct N(S) number is received. A station that receives one or more I-frames having sequence errors, but which are otherwise error free, shall accept the control information contained in the N(R) field and the P/F bit to perform link control

functions, e.g. to receive acknowledgement of previously transmitted I-frames (via the N(R)), to cause the station to respond (P bit set to “1”).

- (6) The means specified in subparagraph (7) and (8) shall be available for initiating the retransmission of lost or errored I-frames following the occurrence of a sequence error.
- (7) Where the REJ command/response is used to initiate an exception recovery following the detection of a sequence error, only one “sent REJ” exception condition, from one station to another station, shall be established at a time. A “sent REJ” exception shall be cleared when the requested I-frame is received. A station receiving REJ shall initiate sequential (re)transmission of I-frames starting with the I-frame indicated by the N(R) contained in the REJ frame.

### FRMR INFORMATION FIELD BITS FOR BASIC (SABM) OPERATION

<i>First bit transmitted</i>													
1	8	9	10	12	13	14	16	17	18	19	20	21	24
rejected basic control field	0		V(S)	v	V(R)	w	x	y	z	set to zero			

where:

rejected basic control field is the control field of the received frame which caused the frame reject;

V(S) is the current value of the send state variable at the remote combined station reporting the error condition (bit 10 = low order bit);

V(R) is the current value of the receive state variable at the remote combined station reporting the error condition (bit 14 = low order bit);

v set to “1” indicates that the received frame which caused rejection was a response;

w set to “1” indicates that the control field received and returned in bits 1 through 8 are invalid or not implemented;

x set to “1” indicates that the control field received and returned in bits 1 through 8 was considered invalid because the frame contained an information field which is not permitted with this command. Bit w must be set to “1” in conjunction with this bit;

y set to “1” indicates that the information field received exceeded the maximum information field length which can be accommodated by the station reporting the error condition. This bit is mutually exclusive with bits w and x above;

z set to “1” indicates that the control field received and returned in bits 1 through 8 contained an invalid N(R) count. This bit is mutually exclusive with bit w.

- (8) In the event a receiving station, due to a transmission error, does not receive (or receives and discards) a single I-frame or the last I-frame(s) in a sequence of I-frames, it shall not detect an out-of-sequence exception and, therefore, shall not transmit REJ. The station which transmitted the unacknowledged I-frame(s) shall, following the completion of a system-specified time-out period, take appropriate recovery action to determine the sequence number at which retransmission must begin.
- (9) A combined station which has timed out waiting for a response shall not retransmit all unacknowledged frames immediately. The station may enquire about status with a supervisory frame.

*Note 1.— If a station does retransmit all unacknowledged I-frames after a time-out, it must be prepared to receive a subsequent REJ frame with an N(R) greater than its send variable V(S).*

*Note 2.— Since contention may occur in the case of two-way alternate communications in ABM or ADM, the time-out interval employed by one combined station must be greater than that employed by the other combined station so as to permit contention to be resolved.*

- (10) FCS error. Any frame with an FCS error shall not be accepted by the receiving station and will be discarded. No action shall be taken by the receiving station as the result of that frame.
- (11) Frame reject exception condition. A frame reject exception condition shall be established upon the receipt of an error-free frame which contains an invalid or unimplemented control field, an invalid N(R), or an information field which has exceeded the maximum established storage capability. If a frame reject exception condition occurs in a combined station, the station shall either-
  - (a) take recovery action without reporting the condition to the remote combined station; or
  - (b) report the condition to the remote combined station with a FRMR response. The remote station will then be expected to take recovery action; if, after waiting an appropriate time, no recovery action appears to have been taken, the combined station reporting the frame reject exception condition may take recovery action. Recovery action for balanced operation includes the transmission of an implemented mode-setting command. Higher level functions may also be involved in the recovery.
- (12) Mode-setting contention. A mode-setting contention situation exists when a combined station issues a mode setting command and, before receiving an appropriate response (UA or DM), receives a mode-setting command from the remote combined station. Contention situations shall be resolved in the following manner-
  - (a) when the send and receive mode-setting commands are the same, each combined station shall send a UA response at the earliest respond opportunity. Each combined station shall either enter the indicated mode immediately or defer entering the indicated mode until receiving a UA response. In the latter case, if the UA response is not received-
    - (i) the mode may be entered when the response timer expires; or
    - (ii) the mode-setting command may be reissued;
  - (b) when the mode-setting commands are different, each combined station shall enter ADM and issue a DM response at the earliest respond opportunity. In the case of DISC contention with a different mode-setting command, no further action is required.
- (13) Time-out functions. Time-out functions shall be used to detect that a required or expected acknowledging action or response to a previously transmitted frame has not been received. Expiration of the time-out function shall initiate appropriate action, e.g. error recovery or reissuance of the P bit. The duration of the following time-out functions is system dependent and subject to bilateral agreement-
  - (a) combined stations shall provide a time-out function to determine that a response frame with F bit set to “1” to a command frame with the P bit set to “1” has not been received. The time-out function shall automatically cease upon receipt of a valid frame with the F bit set to “1”;

- (b) a combined station which has no P bit outstanding, and which has transmitted one or more frames for which responses are anticipated shall start a time-out function to detect the no-response condition. The time-out function shall cease when an I- or S-frame is received with the N(R) higher than the last received N(R) (acknowledging one or more I-frames).

## TABLES

**Table 11-1 International Telegraph Alphabets No. 2 and No. 3**

Number of signal	Letter case	Figure case	Impulses 5-unit code		
			Start	12345	Stop
<i>International Code No. 2</i>					
1	A	—	A	ZZAAA	Z
2	B	?	A	ZAAZZ	Z
3	C	:	A	AZZZA	Z
4	D	Note 1	A	Z	
5	E	3	A	ZAAAA	Z
6	F		A	ZAZZA	Z
7	G		A	AZAZZ	Z
8	H		A	AAZAZ	Z
9	I	8	A	AZZAA	Z
10	J	Attention signal	A	ZZAZA	Z
11	K	(	A	ZZZZA	Z
12	L	)	A	AZAAZ	Z
13	M	.	A	AAZZZ	Z
14	N	,	A	AAZZA	Z
15	O	9	A	AAAZZ	Z
16	P	0	A	AZZAZ	Z
17	Q	1	A	ZZZAZ	Z
18	R	4	A	AZAZA	Z
19	S	,	A	ZAZAA	Z
20	T	5	A	AAAAZ	Z
21	U	7	A	ZZZAA	Z
22	V	=	A	AZZZZ	Z
23	W	2	A	ZZAAZ	Z
24	X	/	A	ZAZZZ	Z
25	Y	6	A	ZAZAZ	Z
26	Z	+	A	ZAAAZ	Z
27	carriage return		A	AAAAZ	Z
28	line feed		A	AZAAA	Z
29	letters		A	ZZZZZ	Z
30	figures		A	ZZAZZ	Z
31	space		A	AAZAA	Z
32	unperforated tape		A	AAAAA	Z
33	signal repetition				
34	signal $\alpha$				
35	signal $\beta$				

Sign	Closed circuit	Double current
A	No current	Negative current
Z	Positive current	Positive current

Note 1.— Used for answer-back facility.

**Table 11-2 International Alphabet No. 5 (IA-5)**  
(International reference version)

				b7	0	0	0	0	1	1	1	1
				b6	0	0	1	1	0	0	1	1
				b5	0	1	0	1	0	1	0	1
b4	b3	b2	b1		0	1	2	3	4	5	6	7
0	0	0	0	0	NUL	TC <sub>7</sub> (DLE)	SP	0	@	P	`	p
0	0	0	1	1	TC <sub>1</sub> (SOH)	DC <sub>1</sub>	!	1	A	Q	a	q
0	0	1	0	2	TC <sub>2</sub> (STX)	DC <sub>2</sub>	" □	2	B	R	b	r
0	0	1	1	3	TC <sub>3</sub> (ETX)	DC <sub>3</sub>	#	3	C	S	c	s
0	1	0	0	4	TC <sub>4</sub> (EOT)	DC <sub>4</sub>	¤ □	4	D	T	d	t
0	1	0	1	5	TC <sub>5</sub> (ENQ)	TC <sub>8</sub> (NAK)	%	5	E	U	e	u
0	1	1	0	6	TC <sub>6</sub> (ACK)	TC <sub>9</sub> (SYN)	&	6	F	V	f	v
0	1	1	1	7	BEL	TC <sub>10</sub> (ETB)	' □	7	G	W	g	w
1	0	0	0	8	FE <sub>0</sub> (BS)	CAN	(	8	H	X	h	x
1	0	0	1	9	FE <sub>1</sub> (HT)	EM	)	9	I	Y	i	y
1	0	1	0	10	FE <sub>2</sub> □ (LF)	SUB	* :	10	J	Z	j	z
1	0	1	1	11	FE <sub>3</sub> (VT)	ESC	+ ;	11	K	[	k	{
1	1	0	0	12	FE <sub>4</sub> (FF)	IS <sub>4</sub> (FS)	□ ,	12	L	\	l	
1	1	0	1	13	FE <sub>5</sub> □ (CR)	IS <sub>3</sub> (GS)	- =	13	M	]	m	}
1	1	1	0	14	SO	IS <sub>2</sub> (RS)	. >	14	N	^ □	n	~ □
1	1	1	1	15	SI	IS <sub>1</sub> (US)	/ ?	15	O	—	o	DEL

**NOTES**

Note 1.—The format effectors are intended for equipment in which horizontal and vertical movements are effected separately. If equipment requires the action of CARRIAGE RETURN to be combined with a vertical movement, the format effector for that vertical movement may be used to effect the combined movement. Use of FE 2 for a combined CR and LF operation is not allowed for international transmission on AFS networks.

Note 2.—The symbol □ does not designate the currency of a specific country.

Note 3.—Position 7/14 is used for graphic character ~ (OVERLINE), the graphical representation of which may vary according to national use to represent (TILDE) or another diacritical sign provided that there is no risk of confusion with another graphic character included in the table.

Note 4.—The graphic characters in position 2/2, 2/7, 2/12 and 5/14 have respectively the significance of QUOTATION MARK, APOSTROPHE, COMMA and UPWARD ARROW HEAD; however, these characters take on the significance of the diacritical signs DIAERESIS, ACUTE ACCENT, CEDILLA and CIRCUMFLEX ACCENT when they are preceded or followed by the BACKSPACE character (0/8).

Note 5.—When graphical representation of the control characters of IA-5 is required, it is permissible to use the symbols specified in International Organization for Standardization (ISO) Standard 2047-1975.

CONTROL CHARACTERS

<i>Abbreviation</i>	<i>Meaning</i>	<i>Position in the code table</i>
ACK	Acknowledge	0/6
BEL	Bell	0/7
BS	Backspace	0/8
CAN	Cancel	1/8
CR	Carriage return*	0/13
DC	Device control	-
DEL	Delete	7/15
DLE	Data link escape	1/0
EM	End of medium	1/9
ENQ	Enquiry	0/5
EOT	End of transmission	0/4
ESC	Escape	1/11
ETB	End of transmission block	1/7
ETX	End of text	0/3
FE	Format effector	-
FF	Form feed	0/12
FS	File separator	1/12
GS	Group separator	1/13
HT	Horizontal tabulation	0/9
IS	Information separator	-
LF	Line feed*	0/10
NAK	Negative acknowledge	1/5
NUL	Null	0/0
RS	Record separator	1/14
SI	Shift-in	0/15
SO	Shift-out	0/14
SOH	Start of heading	0/1
SP	Space	2/0
STX	Start of text	0/2
SUB	Substitute character	1/10
SYN	Synchronous idle	1/6
TC	Transmission control	-
US	Unit separator	1/15
VT	Vertical tabulation	0/11

GRAPHIC CHARACTERS

<i>Graphic</i>	<i>Note</i>	<i>Name</i>	<i>Position in the code table</i>
(space)		Space ( <i>see</i> 7.2)	2/0
!		Exclamation mark	2/1
"	4	Quotation mark, Diaeresis	2/2
#		Number sign	2/3
¤	2	Currency sign	2/4
%		Percent sign	2/5
&		Ampersand	2/6
'	4	Apostrophe, Acute accent	2/7
(		Left parenthesis	2/8
)		Right parenthesis	2/9
*		Asterisk	2/10
+		Plus sign	2/11
,	4	Comma, Cedilla	2/12
-		Hyphen, Minus sign	2/13
.		Full stop (period)	2/14
/		Solidus	2/15
:		Colon	3/10
;		Semi-colon	3/11
<		Less-than sign	3/12
=		Equal sign	3/13
>		Greater-than sign	3/14
?		Question mark	3/15
@		Commercial 'at'	4/0
[		Left square bracket	5/11
\		Reverse solidus	5/12
]		Right square bracket	5/13
^	4Upw	Upward arrow head, Circumflex accent	5/14
~		Underline	5/15
`		Grave accent	6/0
{		Left curly bracket	7/11
		Vertical line	7/12
}		Right curly bracket	7/13
~	3	Overline, Tilde	7/14

*DIACRITICAL SIGNS*

In the character set, some printing symbols may be designed to permit their use for the composition of accented letters when necessary for general interchange of information. A sequence of three characters, comprising a letter, BACKSPACE and one of these symbols, is needed for this composition, and the symbol is then regarded as a diacritical sign. It should be noted that these symbols take on their diacritical significance only when they are preceded or followed by the BACKSPACE character: for example, the symbol corresponding to the code combination 2/7 (') normally has the significance of APOSTROPHE, but becomes the diacritical sign ACUTE ACCENT when it precedes or follows the BACKSPACE character.

*NAMES, MEANINGS AND FONTS OF GRAPHIC CHARACTERS*

At least one name is assigned to denote each of the graphic characters. These names are intended to reflect their customary meanings and are not intended to define or restrict the meanings of graphic characters. No particular style or font design is specified for the graphic characters.

*UNIQUENESS OF CHARACTER ALLOCATION*

A character allocated to a position in the table may not be placed elsewhere in the table.

## FUNCTIONAL CHARACTERISTICS RELATED TO CONTROL CHARACTERS

Some definitions given below are stated in general terms and more explicit definitions of use may be needed for specific implementation of the code table on recording media or on transmission channels. These more explicit definitions and the use of these characters are the subject of ISO publications.

### General designations of control characters

The general designation of control characters involves a specific class name followed by a subscript number. They are defined as follows:

TC— *Transmission control characters* — Control characters intended to control or facilitate transmission of information over telecommunication networks.

The use of the TC characters on the general telecommunication networks is the subject of ISO publications.

The transmission control characters are:

ACK, DLE, ENQ, EOT, ETB, ETX, NAK, SOH, STX and SYN.

FE — *Format effectors* — Control characters mainly intended for the control of the layout and positioning of information on printing and/or display devices. In the definitions of specific format effectors, any reference to printing devices should be interpreted as including display devices. The definitions of format effectors use the following concept:

7. a page is composed of a number of lines of characters;
8. the characters forming a line occupy a number of positions called character positions;
9. the active position is that character position in which the character about to be processed would appear if it were to be printed. The active position normally advances one character position at a time.

The format effector characters are:

BS, CR, FF, HT, LF and VT.

DC — *Device control characters* — Control characters for the control of a local or remote ancillary device (or devices) connected to a data processing and/or telecommunication system. These control characters are not intended to control telecommunication systems; this should be achieved by the use of TCs.

Certain preferred uses of the individual DCs are given below under *Specific control characters*.

IS — *Information separators* — Control characters that are used to separate and qualify data logically. There are four such characters. They may be used either in hierarchical order or non-hierarchically; in the latter case their specific meanings depend on their applications.

When they are used hierarchically, the ascending order is:

US, RS, GS, FS.

In this case data normally delimited by a particular separator cannot be split by a higher order separator but will be considered as delimited by any higher order separator.

### Specific control characters

Individual members of the classes of controls are sometimes referred to by their abbreviated class name and a subscript number (e.g. TC<sub>5</sub>) and sometimes by a specific name indicative of their use (e.g. ENQ).

Different but related meanings may be associated with some of the control characters but in an interchange of data this normally requires agreement between the sender and the recipient.

- ACK — *Acknowledge* — A transmission control character transmitted by a receiver as an affirmative response to the sender.
- BEL — *Bell* — A control character that is used when there is a need to call for attention; it may control alarm or attention devices.
- BS — *Backspace* — A format effector which moves the active position one character position backwards on the same line.
- CAN — *Cancel* — A character, or the first character of a sequence, indicating that the data preceding it are in error. As a result these data are to be ignored. The specific meaning of this character must be defined for each application and/or between sender and recipient.
- CR — *Carriage return* — A format effector which moves the active position to the first character position on the same line.

### Device controls

- DC<sub>1</sub> — A device control character which is primarily intended for turning on or starting an ancillary device. If it is not required for this purpose, it may be used to restore a device to the basic mode of operation (*see also* DC<sub>2</sub> and DC<sub>3</sub>), or for any other device control function not provided by other DCs.
- DC<sub>2</sub> — A device control character which is primarily intended for turning on or starting an ancillary device. If it is not required for this purpose, it may be used to set a device to a special mode of operation (in which case DC<sub>1</sub> is used to restore the device to the basic mode), or for any other device control function not provided by other DCs.
- DC<sub>3</sub> — A device control character which is primarily intended for turning off or stopping an ancillary device. This function may be a secondary level stop, e.g. wait, pause, stand-by or halt (in which case DC<sub>1</sub> is used to restore normal operation). If it is not required for this purpose, it may be used for any other device control function not provided by other DCs.

DC4 — A device control character which is primarily intended for turning off, stopping or interrupting an ancillary device. If it is not required for this purpose, it may be used for any other device control function not provided by other DCs.

*Examples of use of the device controls*

1) One switching

on — DC2off — DC4

10. Two independent switchings

First one on — DC2 off — DC4

Second one on — DC1 off — DC3

11. Two dependent switchings

General on — DC2 off — DC4

Particular on — DC1 off — DC3

12. Input and output switching

Output on — DC2 off — DC4

Input on — DC1 off — DC3

DEL — *Delete* — A character used primarily to erase or obliterate an erroneous or unwanted character in punched tape. DEL characters may also serve to accomplish media-fill or time-fill. They may be inserted into or removed from a stream of data without affecting the information content of that stream, but then the addition or removal of these characters may affect the information layout and/or the control of equipment.

DLE — *Data link escape* — A transmission control character which will change the meaning of a limited number of contiguously following characters. It is used exclusively to provide supplementary data transmission control functions. Only graphic characters and transmission control characters can be used in DLE sequences.

EM — *End of medium* — A control character that may be used to identify the physical end of a medium, or the end of the used portion of a medium, or the end of the wanted portion of data recorded on a medium. The position of this character does not necessarily correspond to the physical end of the medium.

ENQ — *Enquiry* — A transmission control character used as a request for a response from a remote station — the response may include station identification and/or station status. When a “Who are you?” function is required on the general switched transmission network, the first use of ENQ after the connection is established shall have the meaning “Who are you?” (station identification). Subsequent use of ENQ may, or may not, include the function “Who are you?”, as determined by agreement.

EOT — *End of transmission* — A transmission control character used to indicate the conclusion of the transmission of one or more texts.

ESC — *Escape* — A control character which is used to provide an additional control function. It alters the meaning of a limited number of contiguously following bit combinations which constitute the escape sequence. Escape sequences are used to obtain additional control functions which may provide among other things graphic sets outside the standard set. Such control functions must not be used as additional transmission controls. The use of the character ESC and of the escape sequences in conjunction with code extension techniques is the subject of an ISO Standard.

ETB — *End of transmission block* — A transmission control character used to indicate the end of a transmission block of data where data are divided into such blocks for transmission purposes.

ETX — *End of text* — A transmission control character which terminates a text.

13. — *Form feed* — A format effector which advances the active position to the same character position on a predetermined line of the next form or page.

HT — *Horizontal tabulation* — A format effector which advances the active position to the next predetermined character position on the same line.

*Information separators*

IS1 (US) — A control character used to separate and qualify data logically; its specific meaning has to be defined for each application. If this character is used in hierarchical order as specified in the general definition of IS, it delimits a data item called a UNIT.

IS2 (RS) — A control character used to separate and qualify data logically; its specific meaning has to be defined for each application. If this character is used in hierarchical order as specified in the general definition of IS, it delimits a data item called a RECORD.

IS3 (GS) — A control character used to separate and qualify data logically; its specific meaning has to be defined for each application. If this character is used in hierarchical order as specified in the general definition of IS, it delimits a data item called a GROUP.

IS4 (FS) — A control character used to separate and qualify data logically; its specific meaning has to be defined for each application. If this character is used in hierarchical order as specified in the general definition of IS, it delimits a data item called a FILE.

LF — *Line feed* — A format effector which advances the active position to the same character position of the next line.

NAK — *Negative acknowledge* — A transmission control character transmitted by a receiver as a negative response to the sender.

NUL — *Null* — A control character used to accomplish media-fill or time-fill. NUL characters may be inserted into or removed from a stream of data without affecting the information content of that stream, but then the addition or removal of these characters may affect the information layout and/or the control of equipment.

SI	— <i>Shift-in</i> — A control character which is used in conjunction with SHIFT-OUT and ESCAPE to extend the graphic character set of the code. It may reinstate the standard meanings of the bit combinations which follow it. The effect of this character when using code extension techniques is described in an ISO Standard.
SO	— <i>Shift-out</i> — A control character which is used in conjunction with SHIFT-IN and ESCAPE to extend the graphic character set of the code. It may alter the meaning of the bit combinations of columns 2 to 7 which follow it until a SHIFT-IN character is reached. However, the characters SPACE (2/0) and DELETE (7/15) are unaffected by SHIFT-OUT. The effect of this character when using code extension techniques is described in an ISO Standard.
SOH	— <i>Start of heading</i> — A transmission control character used as the first character of a heading of an information message.
SP	— <i>Space</i> — A character which advances the active position one character position on the same line. This character is also regarded as a non-printing graphic.
STX	— <i>Start of text</i> — A transmission control character which precedes a text and which is used to terminate a heading.
SUB	— <i>Substitute character</i> — A control character used in the place of a character that has been found to be invalid or in error. SUB is intended to be introduced by automatic means.
SYN	— <i>Synchronous idle</i> — A transmission control character used by a synchronous transmission system in the absence of any other character (idle condition) to provide a signal from which synchronism may be achieved or retained between data terminal equipment.
VT	— <i>Vertical tabulation</i> — A format effector which advances the active position to the same character position on the next predetermined line.

DRAFT

**Table 11-3. Conversion from the International Telegraph Alphabet No. 2 (ITA-2) to the International Alphabet No. 5 (IA-5)**

<i>ITA-2 letter case of signal No.</i>		<i>IA-5</i>	<i>ITA-2 figure case of signal No.</i>		<i>IA-5 column/row</i>	
1A	4/1	A	1-	2/13	-	
2B	4/2	B	2?	3/15	?	
3C	4/3	C	3:	3/10	:	
4D	4/4	D	4	3/15	?	
5E	4/5	E	53	3/3	3	
6F	4/6	F	6	3/15	?	
7G	4/7	G	7	3/15	?	
8H	4/8	H	8	3/15	?	
9I	4/9	I	98	3/8	8	
10J	4/10	J	10 Attention Signal (Note 3)	0/7	Bel	
11K	4/11	K	11(	2/8	(	
12L	4/12	L	12)	2/9	)	
13M	4/13	M	13.	2/14	.	
14N	4/14	N	14,	2/12	,	
15O	4/15	O	159	3/9	9	
16P	5/0	P	160	3/0	0	
17Q	5/1	Q	171	3/1	1	
18R	5/2	R	184	3/4	4	
19S	5/3	S	19'	2/7	'	
20T	5/4	T	205	3/5	5	
21U	5/5	U	217	3/7	7	
22V	5/6	V	22=	3/13	=	
23W	5/7	W	232	3/2	2	
24X	5/8	X	24/	2/15	/	
25Y	5/9	Y	256	3/6	6	
26Z	5/10	Z	26+	2/11	+	
27CR	0/13	CR	27CR	0/13	CR	
28LF	0/10	LF	28LF	0/10	LF	
29LTRS	*		29LTRS	*		
30FIGS	*		30FIGS	*		
31SP	2/0	SP	31SP	2/0	SP	
32	*		32	*		

\* No conversion shall be made for these positions and the signal/character shall be removed from the data.

Note 1.— The end-of-message signal NNNN (in letter and figure case) shall convert to ETX (0/3).

Note 2.— The start-of-message signal ZCZC (in letter and figure case) shall convert to SOH (0/1).

Note 3.— Figures case of Signal No. 10 shall only be converted upon detection of the AFTN priority alarm which shall convert to five occurrences of BEL (0/7).

Note 4.— When converting from ITA-2, a STX (0/2) character shall be inserted once at the beginning of the next line following detection of CR LF or LF CR at the end of the Origin Line.

Note 5.— The sequence of seven signal 28 (LF) shall convert to one VT (0/11) character.

**Table 11-4. Conversion from the International Alphabet No. 5 (IA-5)  
to the International Telegraph Alphabet No. 2 (ITA-2)**

Row \ Col.	0	1	2	3	4	5	6	7
0	*	*	31FL	16F	2F	16L	2F	16L
1	Note 5	*	2F	17F	1L	17L	1L	17L
2	*	*	2F	23F	2L	18L	2L	18L
3	Note 1	*	2F	5F	3L	19L	3L	19L
4	*	*	2F	18F	4L	20L	4L	20L
5	*	*	2F	20F	5L	21L	5L	21L
6	*	*	2F	25F	6L	22L	6L	22L
7	Note 2	*	19F	21F	7L	23L	7L	23L
8	*	*	11F	9F	8L	24L	8L	24L
9	*	*	12F	15F	9L	25L	9L	25L
10	28 FL	*	2F	3F	10L	26L	10L	26L
11	Note 3	*	26F	2F	11L	2F	11L	2F
12	*	*	14F	2F	12L	2F	12L	2F
13	27FL	*	1F	22F	13L	2F	13L	2F
14	*	*	13F	2F	14L	2F	14L	2F
15	*	*	24F	2F	15L	2F	15L	*

\* No conversion shall be made for these positions and the signal/character shall be removed from the data.

Example: To find the ITA-2 signal to which the character 3/6 of IA-5 is to be converted, look at column 3, row 6. 25F means figure case of signal No. 25

(L = letter case, FL = either case designation).

Note 1.—The character 0/3 (ETX) shall convert to the ITA-2 sequence signals 14L, 14L, 14L, 14L (NNNN).

Note 2. — The signal 0/7 (BEL) shall only be converted when a sequence of 5 occurrences is detected, which shall convert to the ITA-2 sequence signals 30, 10F, 10F, 10F, 10F, 10F, 29.

Note 3. — The character sequence CR CR LF VT (0/11) ETX (0/3) shall convert to the ITA-2 sequence signals 29, 27, 27, 28, 28, 28, 28, 28, 28, 28, 14L, 14L, 14L, 14L.

Note 4. — To prevent redundant generation of figure and letter characters in ITA-2 when converting from IA-5, no case designation shall be assigned to ITA-2 non-printing functions (signals No. 27, 28, 29, 30, 31).

Note 5.—The character 0/1 (SOH) shall convert to the ITA-2 sequence signals 26L, 3L, 26L, 3L (ZCZC).

**Table 11-5 Control field formats**

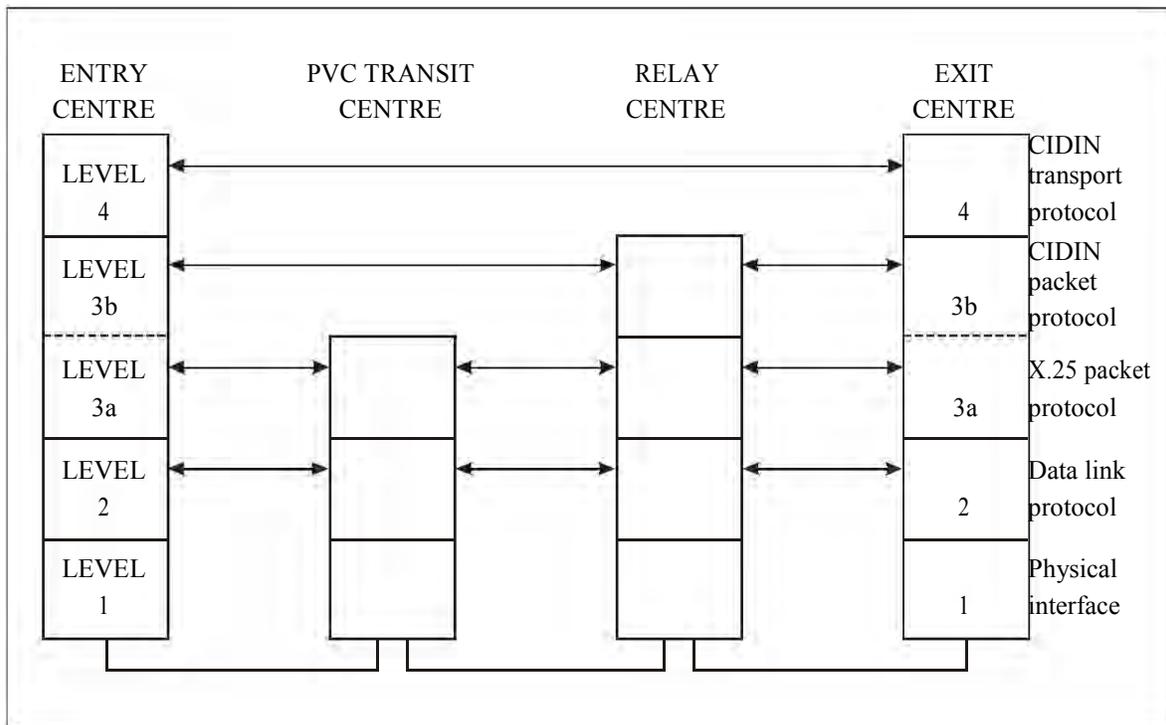
Control field format for	Control field bits							
	1	2	3	4	5	6	7	8
Information transfer (I frame)	0	N(S)			P	N(R)		
Supervisory commands/responses (S frame)	1	0	S	S	P/F	N(R)		
Unnumbered commands/responses	1	1	M	M	P/F	M	M	M

where:  
*N(S)* = send sequence count (bit 2 = low order bit)  
*N(R)* = receive sequence count (bit 6 = low order bit)  
*S* = supervisory function bits  
*M* = modifier function bits  
*P* = poll bit (in commands)  
*F* = final bit (in responses)

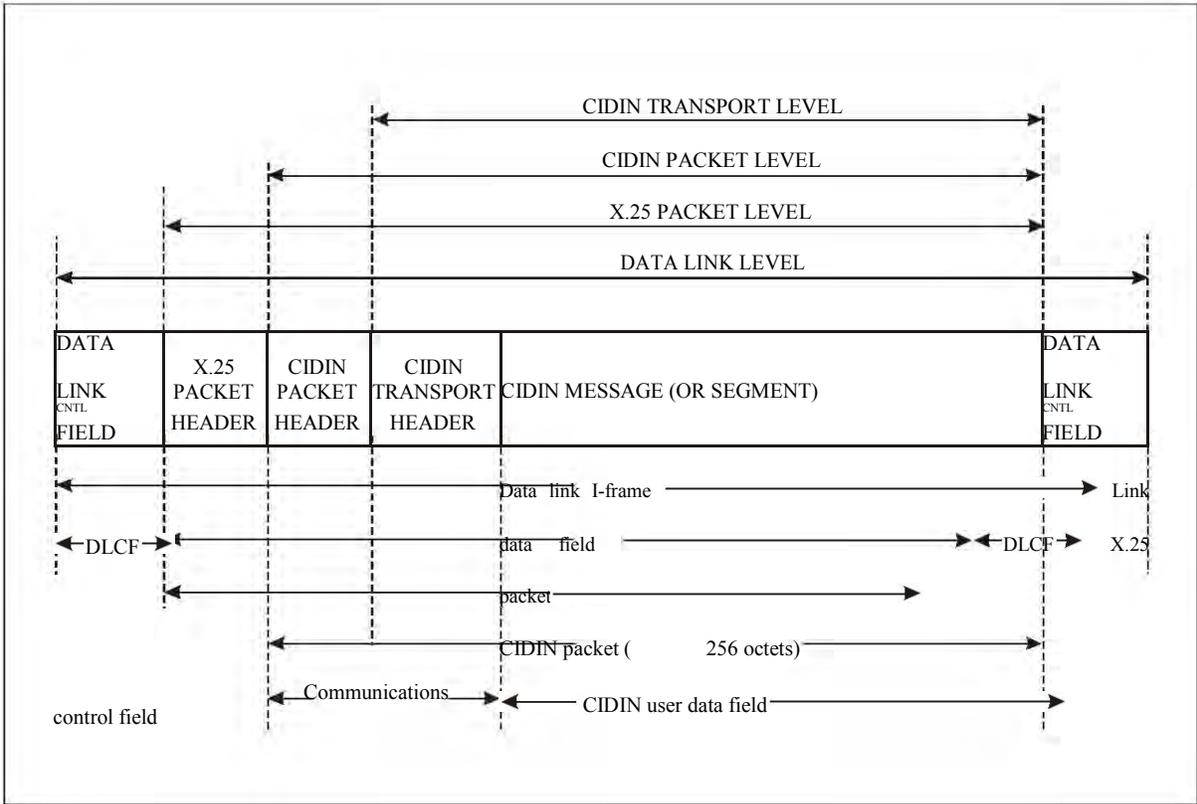
**Table 11-6 Commands and responses**

Type	Commands	Responses	C field encoding							
			1	2	3	4	5	6	7	8
Information transfer	I (information)		0	N(S)			P	N(R)		
Supervisory	RR (receive ready)	RR (receive ready)	1	0	0	0	P/F	N(R)		
	RNR (receive not ready)	RNR (receive not ready)	1	0	1	0	P/F	N(R)		
	REJ (reject)	REJ (reject)	1	0	0	1	P/F	N(R)		
Unnumbered		DM (disconnected mode)	1	1	1	1	P/F	0	0	0
	SABM (set asynchronous balanced mode)		1	1	1	1	P	1	0	0
	DISC (disconnect)		1	1	0	0	P	0	1	0
		UA (unnumbered acknowledgement)	1	1	0	0	F	1	1	0
		FRMR (frame reject)	1	1	1	0	F	0	0	1

Figure 11-1 CIDIN protocol levels



DRAFT



DRAFT

**Figure 11-2. CIDIN terminology**

CONTROL CHARACTERS			GRAPHIC CHARACTERS			
Abbreviation	Meaning	Position in the code table	Graphic	Note	Name	Position in the code table
ACK	Acknowledge	0/6	(space)		Space (see 7.2)	2/0
BEL	Bell	0/7	!		Exclamation mark	2/1
BS	Backspace	0/8	"	4	Quotation mark, Diaeresis	2/2
CAN	Cancel	1/8	#		Number sign	2/3
CR	Carriage return*	0/13	¢	2	Currency sign	2/4
DC	Device control	-	%		Percent sign	2/5
DEL	Delete	7/15	&		Ampersand	2/6
DLE	Data link escape	1/0	'	4	Apostrophe, Acute accent	2/7
EM	End of medium	1/9	(		Left parenthesis	2/8
ENQ	Enquiry	0/5	)		Right parenthesis	2/9
EOT	End of transmission	0/4	*		Asterisk	2/10
ESC	Escape	1/11	+		Plus sign	2/11
ETB	End of transmission block	1/7	,	4	Comma, Cedilla	2/12
ETX	End of text	0/3	-		Hyphen, Minus sign	2/13
FE	Format effector	-	.		Full stop (period)	2/14
FF	Form feed	0/12	/		Solidus	2/15
FS	File separator	1/12	:		Colon	3/10
GS	Group separator	1/13	;		Semi-colon	3/11
HT	Horizontal tabulation	0/9	<		Less-than sign	3/12
IS	Information separator	-	=		Equal sign	3/13
LF	Line feed*	0/10	>		Greater-than sign	3/14
NAK	Negative acknowledge	1/5	?		Question mark	3/15
NUL	Null	0/0	@		Commercial 'at'	4/0
RS	Record separator	1/14	[		Left square bracket	5/11
SI	Shift-in	0/15	\		Reverse solidus	5/12
SO	Shift-out	0/14	]		Right square bracket	5/13
SOH	Start of heading	0/1	^	4	Upward arrow head, Circumflex accent	5/14
SP	Space	2/0	~		Underline	5/15
STX	Start of text	0/2	`		Grave accent	6/0
SUB	Substitute character	1/10	{		Left curly bracket	7/11
SYN	Synchronous idle	1/6			Vertical line	7/12
TC	Transmission control	-	}		Right curly bracket	7/13
US	Unit separator	1/15	~	3	Overline, Tilde	7/14
VT	Vertical tabulation	0/11				

\* See Note 1.

**DIACRITICAL SIGNS**

In the character set, some printing symbols may be designed to permit their use for the composition of accented letters when necessary for general interchange of information. A sequence of three characters, comprising a letter, BACKSPACE and one of these symbols, is needed for this composition, and the symbol is then regarded as a diacritical sign. It should be noted that these symbols take on their diacritical significance only when they are preceded or followed by the BACKSPACE character: for example, the symbol corresponding to the code combination 2/7 (' ) normally has the significance of APOSTROPHE, but becomes the diacritical sign ACUTE ACCENT when it precedes or follows the BACKSPACE character.

**NAMES, MEANINGS AND FONTS OF GRAPHIC CHARACTERS**

At least one name is assigned to denote each of the graphic characters. These names are intended to reflect their customary meanings and are not intended to define or restrict the meanings of graphic characters. No particular style or font design is specified for the graphic characters.

**UNIQUENESS OF CHARACTER ALLOCATION**

A character allocated to a position in the table may not be placed elsewhere in the table.

#### FUNCTIONAL CHARACTERISTICS RELATED TO CONTROL CHARACTERS

Some definitions given below are stated in general terms and more explicit definitions of use may be needed for specific implementation of the code table on recording media or on transmission channels. These more explicit definitions and the use of these characters are the subject of ISO publications.

##### General designations of control characters

The general designation of control characters involves a specific class name followed by a subscript number. They are defined as follows:

- TC — *Transmission control characters* — Control characters intended to control or facilitate transmission of information over telecommunication networks.  
The use of the TC characters on the general telecommunication networks is the subject of ISO publications.  
The transmission control characters are:  
ACK, DLE, ENQ, EOT, ETB, ETX, NAK, SOH, STX and SYN.
- FE — *Format effectors* — Control characters mainly intended for the control of the layout and positioning of information on printing and/or display devices. In the definitions of specific format effectors, any reference to printing devices should be interpreted as including display devices. The definitions of format effectors use the following concept:
- a page is composed of a number of lines of characters;
  - the characters forming a line occupy a number of positions called character positions;
  - the active position is that character position in which the character about to be processed would appear if it were to be printed. The active position normally advances one character position at a time.
- The format effector characters are:  
BS, CR, FF, HT, LF and VT.
- DC — *Device control characters* — Control characters for the control of a local or remote ancillary device (or devices) connected to a data processing and/or telecommunication system. These control characters are not intended to control telecommunication systems; this should be achieved by the use of TCs.  
Certain preferred uses of the individual DCs are given below under *Specific control characters*.
- IS — *Information separators* — Control characters that are used to separate and qualify data logically. There are four such characters. They may be used either in hierarchical order or non-hierarchically; in the latter case their specific meanings depend on their applications.  
When they are used hierarchically, the ascending order is:  
US, RS, GS, FS.  
In this case data normally delimited by a particular separator cannot be split by a higher order separator but will be considered as delimited by any higher order separator.

##### Specific control characters

Individual members of the classes of controls are sometimes referred to by their abbreviated class name and a subscript number (e.g. TC<sub>2</sub>) and sometimes by a specific name indicative of their use (e.g. ENQ).

Different but related meanings may be associated with some of the control characters but in an interchange of data this normally requires agreement between the sender and the recipient.

- ACK — *Acknowledge* — A transmission control character transmitted by a receiver as an affirmative response to the sender.
- BEL — *Bell* — A control character that is used when there is a need to call for attention; it may control alarm or attention devices.
- BS — *Backspace* — A format effector which moves the active position one character position backwards on the same line.
- CAN — *Cancel* — A character, or the first character of a sequence, indicating that the data preceding it are in error. As a result these data are to be ignored. The specific meaning of this character must be defined for each application and/or between sender and recipient.
- CR — *Carriage return* — A format effector which moves the active position to the first character position on the same line.

##### Device controls

- DC<sub>1</sub> — A device control character which is primarily intended for turning on or starting an ancillary device. If it is not required for this purpose, it may be used to restore a device to the basic mode of operation (see also DC<sub>2</sub> and DC<sub>3</sub>), or for any other device control function not provided by other DCs.
- DC<sub>2</sub> — A device control character which is primarily intended for turning on or starting an ancillary device. If it is not required for this purpose, it may be used to set a device to a special mode of operation (in which case DC<sub>1</sub> is used to restore the device to the basic mode), or for any other device control function not provided by other DCs.
- DC<sub>3</sub> — A device control character which is primarily intended for turning off or stopping an ancillary device. This function may be a secondary level stop, e.g. wait, pause, stand-by or halt (in which case DC<sub>1</sub> is used to restore normal operation). If it is not required for this purpose, it may be used for any other device control function not provided by other DCs.

DC <sub>4</sub>	<p>— A device control character which is primarily intended for turning off, stopping or interrupting an ancillary device. If it is not required for this purpose, it may be used for any other device control function not provided by other DCs.</p> <p><i>Examples of use of the device controls</i></p> <p>1) One switching              on — DC<sub>2</sub>    off — DC<sub>4</sub></p> <p>2) Two independent switchings            First one    on — DC<sub>2</sub>    off — DC<sub>4</sub>            Second one   on — DC<sub>1</sub>    off — DC<sub>3</sub></p> <p>3) Two dependent switchings            General        on — DC<sub>2</sub>    off — DC<sub>4</sub>            Particular     on — DC<sub>1</sub>    off — DC<sub>3</sub></p> <p>4) Input and output switching            Output         on — DC<sub>2</sub>    off — DC<sub>4</sub>            Input            on — DC<sub>1</sub>    off — DC<sub>3</sub></p>
DEL	— <i>Delete</i> — A character used primarily to erase or obliterate an erroneous or unwanted character in punched tape. DEL characters may also serve to accomplish media-fill or time-fill. They may be inserted into or removed from a stream of data without affecting the information content of that stream, but then the addition or removal of these characters may affect the information layout and/or the control of equipment.
DLE	— <i>Data link escape</i> — A transmission control character which will change the meaning of a limited number of contiguously following characters. It is used exclusively to provide supplementary data transmission control functions. Only graphic characters and transmission control characters can be used in DLE sequences.
EM	— <i>End of medium</i> — A control character that may be used to identify the physical end of a medium, or the end of the used portion of a medium, or the end of the wanted portion of data recorded on a medium. The position of this character does not necessarily correspond to the physical end of the medium.
ENQ	— <i>Enquiry</i> — A transmission control character used as a request for a response from a remote station — the response may include station identification and/or station status. When a "Who are you?" function is required on the general switched transmission network, the first use of ENQ after the connection is established shall have the meaning "Who are you?" (station identification). Subsequent use of ENQ may, or may not, include the function "Who are you?", as determined by agreement.
EOT	— <i>End of transmission</i> — A transmission control character used to indicate the conclusion of the transmission of one or more texts.
ESC	— <i>Escape</i> — A control character which is used to provide an additional control function. It alters the meaning of a limited number of contiguously following bit combinations which constitute the escape sequence. Escape sequences are used to obtain additional control functions which may provide among other things graphic sets outside the standard set. Such control functions must not be used as additional transmission controls. The use of the character ESC and of the escape sequences in conjunction with code extension techniques is the subject of an ISO Standard.
ETB	— <i>End of transmission block</i> — A transmission control character used to indicate the end of a transmission block of data where data are divided into such blocks for transmission purposes.
ETX	— <i>End of text</i> — A transmission control character which terminates a text.
FF	— <i>Form feed</i> — A format effector which advances the active position to the same character position on a predetermined line of the next form or page.
HT	— <i>Horizontal tabulation</i> — A format effector which advances the active position to the next predetermined character position on the same line.
<i>Information separators</i>	
IS <sub>1</sub> (US)	— A control character used to separate and qualify data logically; its specific meaning has to be defined for each application. If this character is used in hierarchical order as specified in the general definition of IS, it delimits a data item called a UNIT.
IS <sub>2</sub> (RS)	— A control character used to separate and qualify data logically; its specific meaning has to be defined for each application. If this character is used in hierarchical order as specified in the general definition of IS, it delimits a data item called a RECORD.
IS <sub>3</sub> (GS)	— A control character used to separate and qualify data logically; its specific meaning has to be defined for each application. If this character is used in hierarchical order as specified in the general definition of IS, it delimits a data item called a GROUP.
IS <sub>4</sub> (FS)	— A control character used to separate and qualify data logically; its specific meaning has to be defined for each application. If this character is used in hierarchical order as specified in the general definition of IS, it delimits a data item called a FILE.
LF	— <i>Line feed</i> — A format effector which advances the active position to the same character position of the next line.
NAK	— <i>Negative acknowledge</i> — A transmission control character transmitted by a receiver as a negative response to the sender.
NUL	— <i>Null</i> — A control character used to accomplish media-fill or time-fill. NUL characters may be inserted into or removed from a stream of data without affecting the information content of that stream, but then the addition or removal of these characters may affect the information layout and/or the control of equipment.

SI	— <i>Shift-in</i> — A control character which is used in conjunction with SHIFT-OUT and ESCAPE to extend the graphic character set of the code. It may reinstate the standard meanings of the bit combinations which follow it. The effect of this character when using code extension techniques is described in an ISO Standard.
SO	— <i>Shift-out</i> — A control character which is used in conjunction with SHIFT-IN and ESCAPE to extend the graphic character set of the code. It may alter the meaning of the bit combinations of columns 2 to 7 which follow it until a SHIFT-IN character is reached. However, the characters SPACE (2/0) and DELETE (7/15) are unaffected by SHIFT-OUT. The effect of this character when using code extension techniques is described in an ISO Standard.
SOH	— <i>Start of heading</i> — A transmission control character used as the first character of a heading of an information message.
SP	— <i>Space</i> — A character which advances the active position one character position on the same line. This character is also regarded as a non-printing graphic.
STX	— <i>Start of text</i> — A transmission control character which precedes a text and which is used to terminate a heading.
SUB	— <i>Substitute character</i> — A control character used in the place of a character that has been found to be invalid or in error. SUB is intended to be introduced by automatic means.
SYN	— <i>Synchronous idle</i> — A transmission control character used by a synchronous transmission system in the absence of any other character (idle condition) to provide a signal from which synchronism may be achieved or retained between data terminal equipment.
VT	— <i>Vertical tabulation</i> — A format effector which advances the active position to the same character position on the next predetermined line.

## SCHEDULE 12

*Regulation 64*

### **A WORLDWIDE SCHEME FOR THE ALLOCATION, ASSIGNMENT AND APPLICATION OF AIRCRAFT ADDRESSES**

#### **1. General**

Global communications, navigation and surveillance systems shall use an individual aircraft address composed of 24 bits. At any one time, no address shall be assigned to more than one aircraft. The assignment of aircraft addresses requires a comprehensive scheme providing for a balanced and expandable distribution of aircraft addresses applicable worldwide.

#### **2. Description of the scheme**

Table 12 provides for blocks of consecutive addresses available to States for assignment to aircraft. Each block is defined by a fixed pattern of the first 4, 6, 9, 12 or 14 bits of the 24-bit address. Thus, blocks of different sizes (1 048 576, 262 144, 32 768, 4 096 and 1 024 consecutive addresses, respectively) are made available.

#### **3. Allocation of aircraft addresses**

(1) Blocks of aircraft addresses allocated by ICAO to the State of Registry or common mark registering authority and address allocations to States shall be as shown in Table 12.

(2) The Authority shall notify ICAO when allocation to Uganda of an additional block of addresses is required for assignment to aircraft.

(3) In considering a notification under subparagraph (2), ICAO shall take advantage of blocks of aircraft addresses not yet allocated and the spare blocks shall be distributed on the basis of the relevant ICAO region-

- (a) Addresses starting with bit combination 00100: AFI region;
- (b) Addresses starting with bit combination 00101: SAM region;
- (c) Addresses starting with bit combination 0101: EUR and NAT regions;
- (d) Addresses starting with bit combination 01100: MID region;
- (e) Addresses starting with bit combination 01101: ASIA region;
- (f) Addresses starting with bit combination 1001: NAM and PAC regions;
- (g) Addresses starting with bit combination 111011: CAR region;
- (h) In addition, aircraft addresses starting with bit combinations 1011, 1101 and 1111 have been reserved for future use.

(4) The Authority shall request for additional aircraft addresses where at least 75 per cent of the number of addresses already allocated to the Authority have been assigned to aircraft.

#### **4. Assignment of aircraft addresses**

The Authority shall use its allocated block of addresses to assign an individual aircraft address to each suitably equipped aircraft entered on a national register (Table 12).

*Note.— For an aircraft delivery, the aircraft operator is expected to inform the airframe manufacturer of an address assignment. The airframe manufacturer or other organization responsible for a delivery flight is expected to ensure installation of a correctly assigned address supplied by the State of Registry or common mark registering authority. Exceptionally, a temporary address may be supplied under the arrangements detailed in paragraph 8.*

5. Aircraft addresses shall be assigned to aircraft in accordance with the following principles-

- (a) at any one time, no address shall be assigned to more than one aircraft with the exception of aerodrome surface vehicles on surface movement areas. If such exceptions are applied by the State of Registry, the vehicles which have been allocated the same address shall not operate on aerodromes separated by less than 1 000 km;
- (b) only one address shall be assigned to an aircraft, irrespective of the composition of equipment on board. In the case when a removable transponder is shared by several light aviation aircraft such as balloons or gliders, it shall be possible to assign a unique address to the removable transponder. The registers 0816, 2016, 2116, 2216 and 2516 of the removable transponder shall be correctly updated each time the removable transponder is installed in any aircraft;
- (c) the address shall not be changed except under exceptional circumstances and shall not be changed during flight;
- (d) when an aircraft changes its State of Registry, the new registering State shall assign the aircraft a new address from its own allocation address block, and the old aircraft address shall be returned to the allocation address block of the State that previously registered the aircraft;
- (e) the address shall serve only a technical role for addressing and identification of aircraft and shall not be used to convey any specific information; and
- (f) the addresses composed of 24 ZEROS or 24 ONES shall not be assigned to aircraft.

6. Any method used to assign aircraft addresses shall ensure efficient use of the entire address block that is allocated to Uganda.

## **7. Application of aircraft addresses**

(1) The aircraft addresses shall be used in applications which require the routing of information to or from individual suitably equipped aircraft.

*Note 1.— Examples of such applications are the aeronautical telecommunication network (ATN), SSR Mode S and airborne collision avoidance system (ACAS).*

*Note 2.— This requirement does not preclude assigning the aircraft addresses for special applications associated with the general applications defined therein. Examples of such special applications are the utilization of the 24-bit address in a pseudo-aeronautical earth station to monitor the aeronautical mobile-satellite service ground earth station and in the fixed Mode S transponders (reporting the on-the-ground status as specified the Civil Aviation (Surveillance and Collision Avoidance Systems) Regulations 2019) to monitor the Mode S ground station operation. Address assignments for special applications are to be carried out in conformance with the procedure established by the Authority to manage the 24-bit address assignments to aircraft.*

(2) An address consisting of 24 ZEROs shall not be used for any application.

## **8. Administration of the temporary aircraft address assignments**

(1) Temporary addresses shall be assigned to aircraft in exceptional circumstances, such as when operators have been unable to obtain an address from their individual States of Registry or Common Mark Registering Authority in a timely manner. ICAO shall assign temporary addresses from the block “ICAO1” shown in Table 12.

(2) When requesting a temporary address, the aircraft operator shall supply to ICAO: aircraft identification, type and make of aircraft, name and address of the operator, and an explanation of the reason for the request.

(3) Upon issuance of the temporary address to the aircraft operators, ICAO shall inform the State of Registry of the issuance of the temporary address, reason and duration.

(4) The aircraft operator shall-

- (a) inform the State of Registry of the temporary assignment and reiterate the request for a permanent address; and
- (b) inform the airframe manufacturer.

(5) When the permanent aircraft address is obtained from the State of Registry, the operator shall-

- (a) inform ICAO without delay;

- (b) relinquish his or her temporary address; and
- (c) arrange for encoding of the valid unique address within 180 calendar days.

(6) If a permanent address is not obtained within one year, the aircraft operator shall reapply for a new temporary aircraft address. Under no circumstances shall a temporary aircraft address be used by the aircraft operator for over one year.

**Table 12 Allocation of aircraft addresses to States**

*Note.— The left-hand column of the 24-bit address patterns represents the most significant bit (MSB) of the address*

State	Number of addresses in block					Allocation of blocks of addresses (a dash represents a bit value equal to 0 or 1)					
	1 024	4 096	32 768	262 144	1 048 576						
Afghanistan		*				0 111	00	000	000	--	-----
Albania	*					0 101	00	000	001	00	-----
Algeria			*			0 000	10	100	---	--	-----
Angola		*				0 000	10	010	000	--	-----
Antigua and Barbuda	*					0 000	11	001	010	00	-----
Argentina				*		1 110	00	---	---	--	-----
Armenia	*					0 110	00	000	000	00	-----
Australia				*		0 111	11	---	---	--	-----
Austria			*			0 100	01	000	---	--	-----
Azerbaijan	*					0 110	00	000	000	10	-----
Bahamas		*				0 000	10	101	000	--	-----
Bahrain		*				1 000	10	010	100	--	-----
Bangladesh		*				0 111	00	000	010	--	-----
Barbados	*					0 000	10	101	010	00	-----
Belarus	*					0 101	00	010	000	00	-----
Belgium			*			0 100	01	001	---	--	-----
Belize	*					0 000	10	101	011	00	-----
Benin	*					0 000	10	010	100	00	-----
Bhutan	*					0 110	10	000	000	00	-----
Bolivia		*				1 110	10	010	100	--	-----
Bosnia and Herzegovina	*					0 101	00	010	011	00	-----
Botswana	*					0 000	00	110	000	00	-----
Brazil				*		1 110	01	---	---	--	-----
Brunei Darussalam	*					1 000	10	010	101	00	-----
Bulgaria			*			0 100	01	010	---	--	-----
Burkina Faso		*				0 000	10	011	100	--	-----
Burundi		*				0 000	00	110	010	--	-----
Cambodia		*				0 111	00	001	110	--	-----
Cameroon		*				0 000	00	110	100	--	-----
Canada				*		1 100	00	---	---	--	-----
Cape Verde	*					0 000	10	010	110	00	-----
Central African Republic		*				0 000	01	101	100	--	-----
Chad		*				0 000	10	000	100	--	-----
Chile		*				1 110	10	000	000	--	-----
China				*		0 111	10	---	---	--	-----
Colombia		*				0 000	10	101	100	--	-----
Comoros	*					0 000	00	110	101	00	-----
Congo		*				0 000	00	110	110	--	-----
Cook Islands	*					1 001	00	000	001	00	-----
Costa Rica		*				0 000	10	101	110	--	-----
Côte d'Ivoire		*				0 000	00	111	000	--	-----
Croatia	*					0 101	00	000	001	11	-----
Cuba		*				0 000	10	110	000	--	-----
Cyprus	*					0 100	11	001	000	00	-----
Czech Republic			*			0 100	10	011	---	--	-----

State	Number of addresses in block					Allocation of blocks of addresses (a dash represents a bit value equal to 0 or 1)					
	1 024	4 096	32 768	262 144	1 048 576						
Democratic People's Republic of Korea			*			0111	00	100	---	--	-----
Democratic Republic of the Congo		*				0000	10	001	100	--	-----
Denmark			*			0100	01	011	---	--	-----
Djibouti	*					0000	10	011	000	00	-----
Dominican Republic		*				0000	11	000	100	--	-----
Ecuador		*				1110	10	000	100	--	-----
Egypt			*			0000	00	010	---	--	-----
El Salvador		*				0000	10	110	010	--	-----
Equatorial Guinea		*				0000	01	000	010	--	-----
Eritrea	*					0010	00	000	010	00	-----
Estonia	*					0101	00	010	001	00	-----
Ethiopia		*				0000	01	000	000	--	-----
Fiji		*				1100	10	001	000	--	-----
Finland			*			0100	01	100	---	--	-----
France				*		0011	10	---	---	--	-----
Gabon		*				0000	00	111	110	--	-----
Gambia		*				0000	10	011	010	--	-----
Georgia	*					0101	00	010	100	00	-----
Germany				*		0011	11	---	---	--	-----
Ghana		*				0000	01	000	100	--	-----
Greece			*			0100	01	101	---	--	-----
Grenada	*					0000	11	001	100	00	-----
Guatemala		*				0000	10	110	100	--	-----
Guinea		*				0000	01	000	110	--	-----
Guinea-Bissau	*					0000	01	001	000	00	-----
Guyana		*				0000	10	110	110	--	-----
Haiti		*				0000	10	111	000	--	-----
Honduras		*				0000	10	111	010	--	-----
Hungary			*			0100	01	110	---	--	-----
Iceland		*				0100	11	001	100	--	-----
India				*		1000	00	---	---	--	-----
Indonesia			*			1000	10	100	---	--	-----
Iran, Islamic Republic of			*			0111	00	110	---	--	-----
Iraq			*			0111	00	101	---	--	-----
Ireland		*				0100	11	001	010	--	-----
Israel			*			0111	00	111	---	--	-----
Italy				*		0011	00	---	---	--	-----
Jamaica		*				0000	10	111	110	--	-----
Japan				*		1000	01	---	---	--	-----
Jordan			*			0111	01	000	---	--	-----
Kazakhstan	*					0110	10	000	011	00	-----
Kenya		*				0000	01	001	100	--	-----
Kiribati	*					1100	10	001	110	00	-----
Kuwait		*				0111	00	000	110	--	-----
Kyrgyzstan	*					0110	00	000	001	00	-----
Lao People's Democratic Republic		*				0111	00	001	000	--	-----
Latvia	*					0101	00	000	010	11	-----

State	Number of addresses in block					Allocation of blocks of addresses (a dash represents a bit value equal to 0 or 1)					
	1 024	4 096	32 768	262 144	1 048 576						
Lebanon			*			0 111	01	001	---	--	-----
Lesotho	*					0000	01	001	010	00	-----
Liberia		*				0000	01	010	000	--	-----
Libyan Arab Jamahiriya			*			0000	00	011	---	--	-----
Lithuania	*					0101	00	000	011	11	-----
Luxembourg	*					0100	11	010	000	00	-----
Madagascar		*				0000	01	010	100	--	-----
Malawi		*				0000	01	011	000	--	-----
Malaysia			*			0111	01	010	---	--	-----
Maldives	*					0000	01	011	010	00	-----
Mali		*				0000	01	011	100	--	-----
Malta	*					0100	11	010	010	00	-----
Marshall Islands	*					1001	00	000	000	00	-----
Mauritania	*					0000	01	011	110	00	-----
Mauritius	*					0000	01	100	000	00	-----
Mexico			*			0000	11	010	---	--	-----
Micronesia, Federated States of	*					0110	10	000	001	00	-----
Monaco	*					0100	11	010	100	00	-----
Mongolia	*					0110	10	000	010	00	-----
Montenegro	*					0101	00	010	110	00	-----
Morocco			*			0000	00	100	---	--	-----
Mozambique		*				0000	00	000	110	--	-----
Myanmar		*				0111	00	000	100	--	-----
Namibia	*					0010	00	000	001	00	-----
Nauru	*					1100	10	001	010	00	-----
Nepal		*				0111	00	001	010	--	-----
Netherlands, Kingdom of the			*			0100	10	000	---	--	-----
New Zealand			*			1100	10	000	---	--	-----
Nicaragua		*				0000	11	000	000	--	-----
Niger		*				0000	01	100	010	--	-----
Nigeria		*				0000	01	100	100	--	-----
Norway			*			0100	01	111	---	--	-----
Oman	*					0111	00	001	100	00	-----
Pakistan			*			0111	01	100	---	--	-----
Palau	*					0110	10	000	100	00	-----
Panama		*				0000	11	000	010	--	-----
Papua New Guinea		*				1000	10	011	000	--	-----
Paraguay		*				1110	10	001	000	--	-----
Peru		*				1110	10	001	100	--	-----
Philippines			*			0111	01	011	---	--	-----
Poland			*			0100	10	001	---	--	-----
Portugal			*			0100	10	010	---	--	-----
Qatar	*					0000	01	101	010	00	-----
Republic of Korea			*			0111	00	011	---	--	-----
Republic of Moldova	*					0101	00	000	100	11	-----
Romania			*			0100	10	100	---	--	-----
Russian Federation				*		0001	--	---	---	--	-----
Rwanda		*				0000	01	101	110	--	-----

State	Number of addresses in block					Allocation of blocks of addresses (a dash represents a bit value equal to 0 or 1)					
	1 024	4 096	32 768	262 144	1 048 576						
Saint Lucia	*					1100	10	001	100	00	-----
Saint Vincent and the Grenadines	*					0000	10	111	100	00	-----
Samoa	*					1001	00	000	010	00	-----
San Marino	*					0101	00	000	000	00	-----
Sao Tome and Principe	*					0000	10	011	110	00	-----
Saudi Arabia			*			0111	00	010	---	--	-----
Senegal		*				0000	01	110	000	--	-----
Serbia			*			0100	11	000	---	--	-----
Seychelles	*					0000	01	110	100	00	-----
Sierra Leone	*					0000	01	110	110	00	-----
Singapore			*			0111	01	101	---	--	-----
Slovakia	*					0101	00	000	101	11	-----
Slovenia	*					0101	00	000	110	11	-----
Solomon Islands	*					1000	10	010	111	00	-----
Somalia		*				0000	01	111	000	--	-----
South Africa			*			0000	00	001	---	--	-----
Spain				*		0011	01	---	---	--	-----
Sri Lanka			*			0111	01	110	---	--	-----
Sudan		*				0000	01	111	100	--	-----
Suriname		*				0000	11	001	000	--	-----
Swaziland	*					0000	01	111	010	00	-----
Sweden			*			0100	10	101	---	--	-----
Switzerland			*			0100	10	110	---	--	-----
Syrian Arab Republic			*			0111	01	111	---	--	-----
Tajikistan	*					0101	00	010	101	00	-----
Thailand			*			1000	10	000	---	--	-----
The former Yugoslav Republic of Macedonia	*					0101	00	010	010	00	-----
Togo		*				0000	10	001	000	--	-----
Tonga	*					1100	10	001	101	00	-----
Trinidad and Tobago		*				0000	11	000	110	--	-----
Tunisia			*			0000	00	101	---	--	-----
Turkey			*			0100	10	111	---	--	-----
Turkmenistan	*					0110	00	000	001	10	-----
Uganda		*				0000	01	101	000	--	-----
Ukraine			*			0101	00	001	---	--	-----
United Arab Emirates		*				1000	10	010	110	--	-----
United Kingdom				*		0100	00	---	---	--	-----
United Republic of Tanzania		*				0000	10	000	000	--	-----
United States					*	1010	--	---	---	--	-----
Uruguay		*				1110	10	010	000	--	-----
Uzbekistan	*					0101	00	000	111	11	-----
Vanuatu	*					1100	10	010	000	00	-----
Venezuela			*			0000	11	011	---	--	-----
Viet Nam			*			1000	10	001	---	--	-----
Yemen		*				1000	10	010	000	--	-----
Zambia		*				0000	10	001	010	--	-----
Zimbabwe	*					0000	00	000	100	00	-----

State	Number of addresses in block					Allocation of blocks of addresses (a dash represents a bit value equal to 0 or 1)					
	1 024	4 096	32 768	262 144	1 048 576						
Other allocations											
ICAO <sup>1</sup>			*			1 1 1 1	0 0	0 0 0	---	--	-----
ICAO <sup>2</sup>	*					1 0 0 0	1 0	0 1 1	0 0 1	0 0	-----
ICAO <sup>2</sup>	*					1 1 1 1	0 0	0 0 1	0 0 1	0 0	-----
<p>1. ICAO administers this block for assigning temporary aircraft addresses as described in section 7.</p> <p>2. Block allocated for special use in the interest of flight safety.</p>											

## SCHEDULE 13

Regulation 73 and 74

### HF DATA LINK PROTOCOL

#### 1. Physical layer RF characteristics

The aircraft and ground stations shall access the physical medium operating in simplex mode.

#### 2. Frequency bands

*Note- HF DL installations shall be capable of operating at any single sideband (SSB) carrier (reference) frequency available to the aeronautical mobile (R) service in the band 2.8 to 22 MHz, and in compliance with the relevant provisions of the Radio Regulations.*

##### (1) Channels

Channel utilization shall be in conformity with the table of carrier (reference) frequencies of Appendix 27 to the ITU Radio Regulations.

##### (2) Tuning

The equipment shall be capable of operating on integral multiples of 1 kHz.

##### (3) Sideband

The sideband used for transmission shall be on the higher side of its carrier (reference) frequency.

##### (4) Modulation

HF DL shall employ M-ary phase shift keying (M-PSK) to modulate the radio frequency carrier at the assigned frequency. The symbol rate shall be 1 800 symbols per second  $\pm 10$  parts per million (i.e. 0.018 symbols per second). The value of M and the information data rate shall be as specified in Table 13-2.

##### (5) M-PSK carrier

The M-PSK carrier expressed mathematically shall be defined as:

$$s(t) = A \sum_{k=0}^{N-1} p(t-kT) \cos[2\pi f_0 t + \varphi(k)], \quad k = 0, 1, \dots, N-1$$

where:

N	=	number of M-PSK symbols in transmitted physical layer protocol data unit (PPDU)
s(t)	=	analog waveform or signal at time t
A	=	peak amplitude
f <sub>0</sub>	=	SSB carrier (reference) + 1 440 Hz
T	=	M-PSK symbol period (1/1 800 s)
φ (k)	=	phase of kth M-PSK symbol
p(t-kT)	=	pulse shape of kth M-PSK symbol at time t.

*Note.— The number of M-PSK symbols sent, N, defines the length (duration = NT seconds) of the PPDU. These parameters are defined in the Manual on HF Data Link (Doc 9741).*

##### (6) Pulse shape

The pulse shape, p(t), shall determine the spectral distribution of the transmitted signal. The Fourier transform of the pulse shape, P(f), shall be defined by-

$$\begin{aligned}
 P(f) &= 1, && \text{if } 0 < |f| < (1 - b)/2T \\
 P(f) &= \cos \{ \pi(2|f|T - 1 + b)/4b \}, && \text{if } (1 - b)/2T < |f| < (1 + b)/2T \\
 P(f) &= 0, && \text{if } |f| > (1 + b)/2T
 \end{aligned}$$

where the spectral roll-off parameter,  $b = 0.31$ , has been chosen so that the  $-20$  dB points of the signal are at SSB carrier (reference) + 290 Hz and SSB carrier (reference) + 2 590 Hz and the peak-to-average power ratio of the waveform is less than 5 dB.

(7) Transmitter stability

The basic frequency stability of the transmitting function shall be better than:

- (a)  $\pm 20$  Hz for HFDL aircraft station subsystems; and
- (b)  $\pm 10$  Hz for HFDL ground station subsystems.

(8) Receiver stability

The basic frequency stability of the receiving function shall be such that, with the transmitting function stability specified in subparagraph (7), the overall frequency difference between ground and airborne functions achieved in service does not exceed 70 Hz.

(9) Protection

A 15 dB desired to undesired (D/U) signal ratio shall apply for the protection of co-channel assignments for HFDL as follows-

- (a) data versus data;
- (b) data versus voice; and
- (c) voice versus data.

(10) Class of emission

The class of emission shall be 2K80J2DEN.

**3. Assigned frequency**

The HFDL assigned frequency shall be 1 400 Hz higher than the SSB carrier (reference) frequency.

*Note.1— By convention, the HFDL assigned frequency is offset from the SSB carrier (reference) frequency by 1 400 Hz.*

*Note. 2- The HFDL M-PSK carrier of the digital modulation is offset from the SSB carrier (reference) frequency by 1 440 Hz. The digital modulation is fully contained within the same overall channel bandwidth as the voice signal and complies with the provisions of Appendix 27 to the ITU Radio Regulations.*

**10. Emission limits**

For HFDL aircraft and ground station transmitters, the peak envelope power ( $P_p$ ) of any emission on any discrete frequency shall be less than the peak envelope power ( $P_p$ ) of the transmitter in accordance with the following (see Figure 13-1)-

- (a) on any frequency between 1.5 kHz and 4.5 kHz lower than the HFDL assigned frequency, and on any frequency between 1.5 kHz and 4.5 kHz higher than the HFDL assigned frequency: at least 30 dB;

- (b) on any frequency between 4.5 kHz and 7.5 kHz lower than the HFDL assigned frequency, and on any frequency between 4.5 kHz and 7.5 kHz higher than the HFDL assigned frequency: at least 38 dB; and
- (c) on any frequency, lower than 7.5 kHz below the HFDL assigned frequency and on any frequency higher than 7.5 kHz above the HFDL assigned frequency-
  - (i) HFDL aircraft station transmitters: 43 dB;
  - (ii) HFDL ground station transmitters up to and including 50 W:  $[43 + 10 \log_{10} P_p(W)]$  dB; and
  - (iii) HFDL ground station transmitters more than 50 W: 60 dB.

## 11. Power

- (1) Ground station installations. The peak envelope power ( $P_p$ ) supplied to the antenna transmission line shall not exceed a maximum value of 6 kW as provided for in Appendix 27 of the Radio Regulations.
- (2) Aircraft station installations. The peak envelope power supplied to the antenna transmission line shall not exceed 400 W, except as provided for in Appendix 27/62 of the Radio Regulations.

## 12. Undesired signal rejection

For HFDL aircraft and ground station receivers, undesired input signals shall be attenuated in accordance with the following-

- (a) on any frequency between  $f_c$  and  $(f_c - 300 \text{ Hz})$ , or between  $(f_c + 2\,900 \text{ Hz})$  and  $(f_c + 3\,300 \text{ Hz})$ : at least 35 dB below the peak of the desired signal level; and
- (b) on any frequency below  $(f_c - 300 \text{ Hz})$ , or above  $(f_c + 3\,300 \text{ Hz})$ : at least 60 dB below the peak of the desired signal level, where  $f_c$  is the carrier (reference) frequency.

## 13. Receiver response to transients

The receiving function shall recover from an instantaneous increase in RF power at the antenna terminal of 60 dB within 10 milliseconds. The receiving function shall recover from an instantaneous decrease in RF power at the antenna terminal of 60 dB within 25 milliseconds.

### *Physical Layer Functions*

## 14. Functions

The functions provided by the physical layer shall include-

- (a) transmitter and receiver control;
- (b) transmission of data; and
- (c) reception of data.

## 15. Transmitter and receiver control

- (1) The HFDL physical layer shall implement the transmitter/receiver switching and frequency tuning as commanded by the link layer.
- (2) The physical layer shall perform transmitter keying on demand from the link layer to transmit a packet.

## **16. Transmitter to receiver turnaround time**

- (1) The transmitted power level shall decay at least by 10 dB within 100 milliseconds after completing a transmission.
- (2) An HF DL station subsystem shall be capable of receiving and demodulating, with nominal performance, an incoming signal within 200 milliseconds of the start of the subsequent receive slot.

## **17. Receiver to transmitter turnaround time**

An HF DL station subsystem shall provide nominal output power within plus or minus 1 dB to the antenna transmission line within 200 milliseconds of the start of the transmit slot.

## **18. Transmission of data**

- (1) Transmission of data shall be accomplished using a time division multiple access (TDMA) technique.
- (2) The HF DL data link ground station subsystems shall maintain TDMA frame and slot synchronization for the HF DL system.
- (3) To ensure that slot synchronization is maintained, each HF data link modulator shall begin outputting a pre-key segment at the beginning of a time slot plus or minus 10 milliseconds.

## **19. TDMA structure**

Each TDMA frame shall be 32 seconds and shall be divided into thirteen equal duration slots as follows-

- (a) the first slot of each TDMA frame shall be reserved for use by the HF DL ground station subsystem to broadcast link management data in SPDU packets; and
- (b) the remaining slots shall be designated either as uplink slots, downlink slots reserved for specific HF DL aircraft station subsystems, or as downlink random access slots for use by all HF DL aircraft station subsystems on a contention basis. These TDMA slots shall be assigned on a dynamic basis using a combination of reservation, polling and random access assignments.

## **20. Broadcast**

The HF DL ground station subsystem shall broadcast a squitter protocol data unit (SPDU) every 32 seconds on each of its operating frequencies.

*Note.— Details on the TDMA frame and slot structures, pre-key segment, data structures, including the SPDU, are contained in the Manual on HF Data Link (Doc 9741).*

## **21. Reception of data**

- (1) Frequency search

Each HF DL aircraft station shall automatically search the assigned frequencies until it detects an operating frequency.

- (2) Reception of PPDUS

The HF data link receiver shall provide the means to detect, synchronize, demodulate and decode PPDUS modulated according to the waveform, subject to the following distortion-

- (a) the 1 440 Hz audio carrier offset by plus or minus 70 Hz;
- (b) discrete and/or diffuse multipath distortion with up to 5 ms multipath spread;
- (c) multipath amplitude fading with up to 2 Hz two-sided RMS Doppler spread and Rayleigh statistics; and
- (d) additive Gaussian and broadband impulsive noise with varying amplitude and random arrival times.

### (3) Decoding of PPDUS

Upon receipt of the preamble segment the receiver shall-

- (a) detect the beginning of a burst of data;
- (b) measure and correct the frequency offset between the transmitter and receiver due to Doppler shift and transmitter/receiver frequency offsets;
- (c) determine the data rate and interleaver settings to use during data demodulation;
- (d) achieve M-PSK symbol synchronization; and
- (e) train the equalizer.

### (4) Synchronisation

Each HF DL aircraft station subsystem shall synchronize its slot timing to that of its corresponding ground station with respect to the reception time of the last received SPDU.

### (5) Specified packet error rate performance

- (a) The number of HF DL media access protocol data units (MPDUs) received with one or more bit errors shall not exceed 5 per cent of the total number of MPDUs received, when using a 1.8 second interleaver and under the signaling- space conditions shown in Table 13-3.
- (b) The number of HF DL MPDUs received with one or more bit errors shall not exceed 5 per cent of the total number of MPDUs received, when using a 1.8 second interleaver under the conditions shown in Table 13-3a.

#### (c) Link layer

*Note.— Details on link layer functions are contained in the Manual on HF Data Link (Doc 9741).*

The link layer shall provide control functions for the physical layer, link management and data service protocols.

## 22. Control functions

The link layer shall pass commands for frequency tuning, transmitter keying and transmitter/receiver switching to the physical layer.

## 23. Link management

The link layer shall manage TDMA slot assignments, log-on and log-off procedures, ground station and aircraft station TDMA synchronization, and other functions necessary, taking into account message priority, for the establishment and maintenance of communications.

## **24. Data service protocols**

- (1) The link layer shall support a reliable link service (RLS) protocol and a direct link service (DLS) protocol.
- (2) The RLS protocol shall be used to exchange acknowledged user data packets between aircraft and ground peer link layers.
- (3) The DLS protocol shall be used to broadcast unsegmented uplink high frequency network protocol data units (HFNPDU) and other HFNPDU not requiring automatic retransmission by the link layer.
- (4) Sub network layer

*Note.— Details on sub network layer protocols and services are contained in the Manual on HF Data Link (Doc 9741).*

## **25. Packet data**

The HFDL sub network layer in the HFDL aircraft station subsystem and HFDL ground station subsystem shall provide connection-oriented packet data service by establishing sub network connections between sub network service users.

## **26. Connectivity notification service**

The HFDL sub network layer in the HFDL aircraft station subsystem shall provide the additional connectivity notification service by sending connectivity notification event messages to the attached ATN router.

## **27. Connectivity notification event messages**

The connectivity notification service shall send connectivity notification event messages to the attached ATN router through the sub network access function.

## **28. HFDL subnetwork layer functions**

- (1) The HFDL sub network layer in both the HFDL aircraft station subsystem and HFDL ground station subsystem shall include the following three functions-
  - (a) HFDL sub network dependent (HFSND) function;
  - (b) sub network access function; and
  - (c) interworking function.
- (2) The HFSND function shall perform the HFSND protocol between each pair of HFDL aircraft station subsystems and HFDL ground station subsystems by exchanging HFNPDU: it shall perform the HFSND protocol aircraft function in the HFDL aircraft station subsystem and the HFSND protocol ground function in the HFDL ground station subsystem.
- (3) Subnetwork access function  
The sub network access function shall perform the ISO 8208 protocol between the HFDL aircraft station subsystem or HFDL ground station subsystem and the attached routers by exchanging ISO 8208 packets. It shall perform the ISO 8208 DCE function in the HFDL aircraft station subsystem and the HFDL ground station subsystem.
- (4) Interworking function

The interworking function shall provide the necessary harmonization functions between the HFSND, the sub network access and the connectivity notification functions.

## TABLES

**Table 13-1. Transfer delays**

	<i>Direction</i>	<i>Priority</i>	<i>Delay</i>
<i>Transit delay</i>	To-aircraft	7 through 14	45 s
	From-aircraft	7 through 14	60 s
<i>Transfer delay (95 percentile)</i>	To-aircraft	11 through 14	90 s
		7 through 10	120 s
	From-aircraft	11 through 14	150 s
		7 through 10	250 s

**Table 13-2. Value of M and information data rate**

<i>M</i>	<i>Information data rate (bits per second)</i>
2	300 or 600
4	1 200
8	1 800

*Note.*— When *M* equals the value 2, the data rate may be 300 or 600 bits per second as determined by the channel coding rate. The value of *M* may change from one data transmission to another depending on the data rate selected. The channel coding rate is described in the Manual on HF Data Link (Doc 9741).

**Table 13-3. HF signal-in-space conditions**

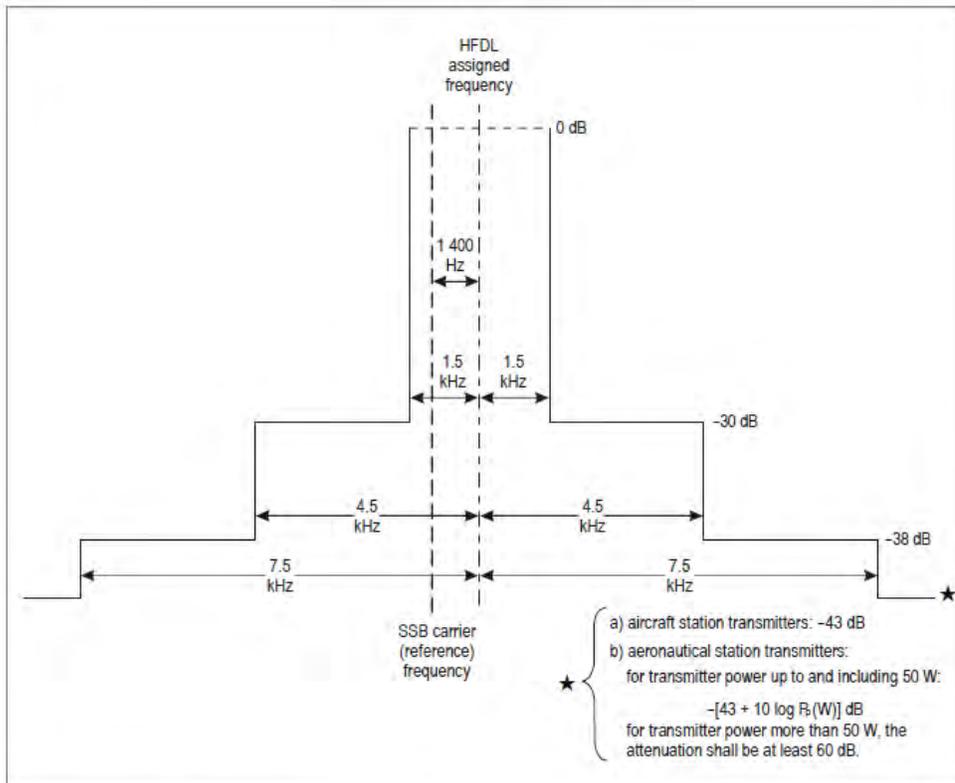
<i>Data rate (bits per second)</i>	<i>Number of channel paths</i>	<i>Multipath spread (milliseconds)</i>	<i>Fading bandwidth (Hz) per CCIR Report 5492</i>	<i>Frequency offset (Hz)</i>	<i>Signal to noise ratio (dB) in a 3 kHz bandwidth</i>	<i>MPDU size (octets)</i>
1 200	1 fixed	—	—	40	4	256
1 800	2 fading	2	1	40	16	400
1 200	2 fading	2	1	40	11.5	256
600	2 fading	2	1	40	8	128
300	2 fading	2	1	40	5	64

**Table 13-3a. HF signal-in-space conditions**

<i>Data rate (bits per second)</i>	<i>Number of channel paths</i>	<i>Multipath spread (milliseconds)</i>	<i>Fading bandwidth (Hz) per CCIR Report 5492</i>	<i>Frequency offset (Hz)</i>	<i>Signal to noise ratio (dB) in a 3 kHz bandwidth</i>	<i>MPDU size (octets)</i>
1 200	2 fading	4	1	40	13	256
1 200	2 fading	2	2	40	11.5	256

**FIGURES**

**Figure 13-1. Required spectrum limits (in terms of peak power) for HF DL aircraft and ground station transmitters**



**UNIVERSAL ACCESS TRANSCEIVER (UAT)****1. UAT overall system characteristics of aircraft and ground stations**

*Note.— Details on technical requirements related to the implementation of UAT SARPs are contained in Part I of the Manual on the Universal Access Transceiver (UAT) (Doc 9861). Part II of the Manual on the Universal Access Transceiver (UAT) (Doc 9861) provides additional guidance material.*

(1) Transmission frequency shall be 978 MHz.

(2) To ensure frequency stability, the radio frequency of the UAT equipment shall not vary more than  $\pm 0.002$  per cent (20 ppm) from the assigned frequency.

(3) UAT equipment shall operate at one of the power levels shown in Table 14-1\*.

(4) The maximum equivalent isotopically radiated power (EIRP) for a UAT aircraft or ground station shall not exceed +58 dBm.

*Note.— For example, the maximum EIRP stated above could result from the maximum allowable aircraft transmitter power shown in Table 14-1 with a maximum antenna gain of 4 dBi.*

(5) Transmit mask: The spectrum of a UAT ADS-B message transmission modulated with pseudorandom message data blocks (MDB) shall fall within the limits specified in Table 14-2 when measured in a 100 kHz bandwidth.

*Note.— Figure 14-1\* is a graphical representation of Table 14-2.*

(6) Spurious emissions shall be kept at the lowest value which the State of the technique and the nature of the service permit.

*Note.— Appendix 3 of the ITU Radio Regulations requires that transmitting stations shall conform to the maximum permitted power levels for spurious emissions or for unwanted emissions in the spurious domain.*

(7) The design polarization of emissions shall be vertical.

(8) Time/ amplitude profile of UAT message transmission

The time/amplitude profile of a UAT message transmission shall meet the following requirements, in which the *reference time* is defined as the beginning of the first bit of the synchronization sequence appearing at the output port of the equipment.

*Notes.—*

*1. All power requirements for subparagraph (9) (a) to (f) apply to the PMP. For installations that support transmitter diversity, the RF power output on the non-selected antenna port should be at least 20 dB below the level on the selected port.*

2. All power requirements for subparagraph (9) (a) to (f) assume a 300 kHz measurement bandwidth. All power requirements for subparagraph (9) (b), (c) (d) and (e) assume a 2 MHz measurement bandwidth.

3. The beginning of a bit is 1/2 bit period prior to the optimum sample point.

(9) These requirements are depicted graphically in Figure 14-2.

(a) prior to 8 bit periods before the reference time, the RF output power at the PMP shall not exceed  $-80$  dBm;

*Note.— This unwanted radiated power restriction is necessary to ensure that the UAT transmitting subsystem does not prevent closely located UAT receiving equipment on the same aircraft from meeting its requirements. It assumes that the isolation between transmitter and receiver equipment at the PMP exceeds 20 dB.*

(b) between 8 and 6 bit periods prior to the reference time, the RF output power at the PMP shall remain at least 20 dB below the minimum power requirement for the UAT equipment class;

(c) during the Active State, defined as beginning at the reference time and continuing for the duration of the message, the RF output power at the PMP shall be greater than or equal to the minimum power requirement for the UAT equipment class;

(d) the RF output power at the PMP shall not exceed the maximum power for the UAT equipment class at any time during the Active State;

(e) within 6 bit periods after the end of the Active State, the RF output power at the PMP shall be at a level at least 20 dB below the minimum power requirement for the UAT equipment class;

(f) within 8 bit periods after the end of the Active State, the RF output power at the PMP shall fall to a level not exceeding  $-80$  dBm.

*Note.— This unwanted radiated power restriction is necessary to ensure that the transmitting subsystem does not prevent closely located UAT receiving equipment on the same aircraft from meeting its requirements. It assumes that the isolation between transmitter and receiver equipment at the PMP exceeds 20 dB.*

## **2. System characteristics of universal access transceiver ground installation**

Ground station transmitting function

(a) Ground station transmitter power

The effective radiated power shall be such as to provide a field strength of at least 280 microvolts per metre (minus 97 dBW/m<sup>2</sup>) within the service volume of the facility on the basis of free-space propagation.

*Note.— This is determined on the basis of delivering a  $-91$  dBm (corresponds to 200 microvolts per metre) signal level at the PMP (assuming an omnidirectional antenna). The 280  $\mu$ V/m standard*

*corresponds to the delivery of a -88 dBm signal level at the PMP of the receiving equipment. The 3 dB difference between -88 dBm and -91 dBm provides margin for excess path loss over free-space propagation.*

(b) Ground station receiving function

*Note.— An example of a ground station receiver is discussed in Section 2.5 of Part II of the Manual on the Universal Access Transceiver (UAT) (Doc 9861), with UAT air-to-ground performance estimates consistent with use of that receiver provided in Appendix B of that manual.*

### **3. System characteristics of the aircraft installation**

(1) Aircraft transmitting function

(a) Aircraft transmitter power

The effective radiated power shall be such as to provide a field strength of at least 225 microvolts per metre (minus 99 dBW/m<sup>2</sup>) on the basis of free-space propagation, at ranges and altitudes appropriate to the operational conditions pertaining to the areas over which the aircraft is operated. Transmitter power shall not exceed 54 dBm at the PMP.

*Note 1.— The above field strength is determined on the basis of delivering a -93 dBm (corresponds to 160 microvolts per metre) signal level at the PMP (assuming an omnidirectional antenna). The 3 dB difference between 225  $\mu$ V/m and 160  $\mu$ V/m provides margin for excess path loss over free-space propagation when receiving a long UAT ADS-B message. A 4 dB margin is provided when receiving a basic UAT ADS-B message.*

*Note 2.— Various aircraft operations may have different air-air range requirements depending on the intended ADS-B function of the UAT equipment. Therefore, different installations may operate at different power levels.*

(b) Receiving function

*Receiver sensitivity*

(i) Long UAT ADS-B message as desired signal

A desired signal level of -93 dBm applied at the PMP shall produce a rate of successful message reception (SMR) of 90 per cent or better under the following conditions-

(aa) when the desired signal is of nominal modulation (i.e. FM deviation is 625 kHz) and at the maximum signal frequency offsets, and subject to relative Doppler shift at  $\pm 1$  200 knots;

(bb) when the desired signal is of maximum modulation distortion allowed in paragraph 4(3) at the nominal transmission frequency  $\pm 1$  parts per million (ppm), and subject to relative Doppler shift at  $\pm 1$  200 knots.

*Note. — The receiver criteria for successful message reception of UAT ADS-B messages are provided in Section 4 of Part I of the Manual on the Universal Access Transceiver (UAT) (Doc 9861).*

(ii) Basic UAT ADS-B message as desired signal

A desired signal level of  $-94$  dBm applied at the PMP shall produce a rate of SMR of 90 per cent or better under the following conditions-

(aa) When the desired signal is of nominal modulation (i.e. FM deviation is 625 kHz) and at the maximum signal frequency offsets, and subject to relative Doppler shift at  $\pm 1$  200 knots;

(bb) When the desired signal is of maximum modulation distortion allowed in this Schedule, at the nominal transmission frequency  $\pm 1$  ppm, and subject to relative Doppler shift at  $\pm 1$  200 knots.

*Note.— The receiver criteria for successful message reception of UAT ADS-B messages are provided in Section 4 of Part I of the Manual on the Universal Access Transceiver (UAT) (Doc 9861).*

(iii) UAT ground uplink message as desired signal

A desired signal level of  $-91$  dBm applied at the PMP shall produce a rate of an SMR of 90 per cent or better under the following conditions-

(aa) when the desired signal is of nominal modulation (i.e. FM deviation is 625 kHz) and at the maximum signal frequency offsets, and subject to relative Doppler shift at  $\pm 850$  knots;

(bb) when the desired signal is of maximum modulation distortion allowed in paragraph 4(3), at the nominal transmission frequency  $\pm 1$  ppm, and subject to relative Doppler shift at  $\pm 850$  knots.

*Notes.—*

*1. The receiver criteria for successful message reception of UAT ground uplink messages are provided in Section 4 of Part I of the Manual on the Universal Access Transceiver (UAT) (Doc 9861) (in preparation).*

*2. This requirement ensures the bit rate accuracy supporting demodulation in the UAT equipment is adequate to properly receive the longer UAT ground uplink message.*

(iv) Receiver selectivity

*Notes.—*

*1. The undesired signal used is an unmodulated carrier applied at the frequency offset.*

*2. This requirement establishes the receiver's rejection of the off-channel energy.*

*3. It is assumed that ratios in between the specified offsets will fall near the interpolated value.*

*4. The desired signal used is a UAT ADS-B long message at  $-90$  dBm at the PMP, to be received with a 90 per cent successful message reception rate.*

5. *The tolerable co-channel continuous wave interference power level for aircraft UAT receivers is assumed to be -101 dBm or less at the PMP.*

6. *See Section 2.4.2 of Part II of the Manual on the Universal Access Transceiver (UAT) (Doc 9861) for a discussion of when a high-performance receiver is desirable.*

7. *Standard UAT receivers shall meet the selectivity characteristics given in Table 14-3.*

8. *High-performance receivers shall meet the more stringent selectivity characteristics given in Table 14-4.*

*Note.— See Section 2.4.2 of Part II of the Manual on the Universal Access Transceiver (UAT) (Doc 9861) for guidance material on the implementation of high-performance receivers.*

(v) Receiver desired signal dynamic range

The receiver shall achieve a successful message reception rate for long ADS-B messages of 99 percent or better when the desired signal level is between -90 dBm and -10 dBm at the PMP in the absence of any interfering signals.

*Note.— The value of -10 dBm represents 120-foot separation from an aircraft transmitter transmitting at maximum allowed power.*

(vi) Receiver tolerance to pulsed interference

*Note.— All power level requirements in this Schedule are referenced to the PMP.*

For Standard and High-Performance receivers the following requirements shall apply-

(aa) the receiver shall be capable of achieving 99 per cent SMR of long UAT ADS-B messages when the desired signal level is between -90 dBm and -10 dBm when subjected to DME interference under the following conditions: DME pulse pairs at a nominal rate of 3 600 pulse pairs per second at either 12 or 30 microseconds pulse spacing at a level of -36 dBm for any 1 MHz DME channel frequency between 980 MHz and 1 213 MHz inclusive;

(bb) following a 21 microsecond pulse at a level of ZERO (0) dBm and at a frequency of 1 090 MHz, the receiver shall return to within 3 dB of the specified sensitivity level within 12 microseconds.

(viii) For the standard UAT receiver the following additional requirements shall apply-

(a) the receiver shall be capable of achieving 90 per cent SMR of long UAT ADS-B messages when the desired signal level is between -87 dBm and -10 dBm when subjected to DME interference under the following conditions: DME pulse pairs at a nominal rate of 3 600 pulse pairs per second at a 12 microseconds pulse spacing at a level of -56 dBm and a frequency of 979 MHz;

(b) the receiver shall be capable of achieving 90 per cent SMR of long UAT ADS-B messages when the desired signal level is between -87 dBm and -10 dBm when subjected to DME interference under the following conditions: DME pulse pairs at a nominal rate of 3 600

pulse pairs per second at a 12 microseconds pulse spacing at a level of  $-70$  dBm and a frequency of 978 MHz.

(ix) For the high-performance receiver the following additional requirements shall apply-

(aa) the receiver shall be capable of achieving 90 per cent SMR of long UAT ADS-B messages when the desired signal level is between  $-87$  dBm and  $-10$  dBm when subjected to DME interference under the following conditions: DME pulse pairs at a nominal rate of 3 600 pulse pairs per second at a 12 microseconds pulse spacing at a level of  $-43$  dBm and a frequency of 979 MHz;

(bb) the receiver shall be capable of achieving 90 per cent SMR of long UAT ADS-B messages when the desired signal level is between  $-87$  dBm and  $-10$  dBm when subjected to DME interference under the following conditions: DME pulse pairs at a nominal rate of 3 600 pulse pairs per second at a 12 microseconds pulse spacing at a level of  $-79$  dBm and a frequency of 978 MHz.

#### 4. Physical layer characteristics

(1) Modulation rate

The modulation rate shall be 1.041 667 Mbps with a tolerance for aircraft transmitters of  $\pm 20$  ppm and a tolerance for ground transmitters of  $\pm 2$  ppm.

*Note.— The tolerance on the modulation rate is consistent with the requirement on modulation distortion.*

(2) Modulation type-

(a) data shall be modulated onto the carrier using binary continuous phase frequency shift keying. The modulation index,  $h$ , shall be no less than 0.6;

(b) a binary ONE (1) shall be indicated by a shift up in frequency from the nominal carrier frequency and a binary ZERO (0) by a shift down from the nominal carrier frequency.

*Notes.—*

*1. Filtering of the transmitted signal (at base band and/or after frequency modulation) will be required to meet the spectral containment requirement of paragraph 1(5). This filtering may cause the deviation to exceed these values at points other than the optimum sampling points.*

*Because of the filtering of the transmitted signal, the received frequency offset varies continuously between the nominal values of  $\pm 312.5$  kHz (and beyond), and the optimal sampling point may not be easily identified. This point can be defined in terms of the so-called “eye diagram” of the received signal. The ideal eye diagram is a superposition of samples of the (undistorted) post detection waveform shifted by multiples of the bit period (0.96 microseconds). The optimum sampling point is the point during the bit period at which the opening of the eye diagram (i.e. the minimum separation between positive and negative frequency offsets at very high signal-to-noise ratios) is maximized. An example “eye diagram” can be seen in Figure 14-3. The timing of the points where the lines converge defines the “optimum sampling point”. Figure 14-4 shows an eye pattern that has been partially closed by modulation distortion.*

(3) Modulation distortion

- (a) For aircraft transmitters, the minimum vertical opening of the eye diagram of the transmitted signal (measured at the optimum sampling points) shall be no less than 560 kHz when measured over an entire long UAT ADS-B message containing pseudorandom message data blocks.
- (b) For ground transmitters, the minimum vertical opening of the eye diagram of the transmitted signal (measured at the optimum sampling points) shall be no less than 560 kHz when measured over an entire UAT ground uplink message containing pseudorandom message data blocks.
- (c) For aircraft transmitters, the minimum horizontal opening of the eye diagram of the transmitted signal (measured at 978 MHz) shall be no less than 0.624 microseconds (0.65 symbol periods) when measured over an entire long UAT ADS-B message containing pseudorandom message data blocks.
- (d) For ground transmitters, the minimum horizontal opening of the eye diagram of the transmitted signal (measured at 978 MHz) shall be no less than 0.624 microseconds (0.65 symbol periods) when measured over an entire UAT ground uplink message containing pseudorandom message data blocks.

*Notes.-The ideal eye diagram is a superposition of samples of the (undistorted) post detection waveform shifted by multiples of the bit period (0.96 microseconds).*

(4) Broadcast message characteristics

The UAT system shall support two different message types: the UAT ADS-B message and the UAT ground uplink message.

(5) UAT ADS-B message

The Active portion of a UAT ADS-B message shall contain the following elements, in the following order-

- (a) Bit synchronization;
- (b) Message data block; and
- (c) FEC parity.

(6) BIT synchronisation

The first element of the Active portion of the UAT ADS-B message shall be a 36-bit synchronization sequence. For the UAT ADS-B messages the sequence shall be-

111010101100110111011010010011100010

with the left-most bit transmitted first.

(7) Message data block

The second element of the active portion of the UAT ADS-B message shall be the message data block. There shall be two lengths of UAT ADS-B message data blocks supported. The basic UAT ADS-B message shall have a 144-bit message data block and the long UAT ADS-B message shall have a 272-bit message data block.

*Note.— The format, encoding and transmission order of the message data block element is provided in Section 2.1 of Part I of the Manual on the Universal Access Transceiver (UAT) (Doc 9861)*

(8) FEC parity

The third and final element of the Active portion of the UAT ADS-B message shall be the FEC parity.

(9) Code type

The FEC parity generation shall be based on a systematic Reed-Solomon (RS) 256-ary code with 8-bit code word symbols. FEC parity generation shall be per the following code-

- (a) basic UAT ADS-B message: Parity shall be a RS (30, 18) code.

*Note.— This results in 12 bytes (code symbols) of parity capable of correcting up to 6 symbol errors per block.*

- (b) long UAT ADS-B message: Parity shall be a RS (48, 34) code.

- (c) For either message length the primitive polynomial of the code shall be as follows-

$$p(x) = x^8 + x^7 + x^2 + x + 1$$

- (d) The generator polynomial shall be as follows-

$$\prod_{i=1}^P (x - \alpha^i)$$

where:

P = 131 for RS (30, 18) code,

P = 133 for RS (48, 34) code, and

$\alpha$  is a primitive element of a Galois field of size 256 (i.e. GF(256)).

- (b) Transmission order of FEC parity

FEC parity bytes shall be ordered most significant to least significant in terms of the polynomial coefficients they represent. The ordering of bits within each byte shall be most significant to least significant. FEC parity bytes shall follow the message data block.

## 5. UAT ground uplink message

(1) The active portion of a UAT ground uplink message shall contain the following elements, in that order-

- (a) Bit synchronization; and  
(b) Interleaved message data block and FEC parity.

(2) Bit synchronization

The first element of the active portion of the UAT ground uplink message shall be a 36-bit synchronization sequence of-

000101010011001000100101101100011101

with the left-most bit transmitted first.

(3) Interleaved message data block and FEC parity

(a) message data block (before interleaving and after de-interleaving)

The UAT ground uplink message shall have 3 456 bits of message data block, with bits divided into 6 groups of 576 bits and FEC shall be applied to each group as described in subparagraph (b).

*Note.— Further details on the format, encoding and transmission order of the UAT ground uplink message data block is provided in Section 2.2 of Part I of the Manual on the Universal Access Transceiver (UAT) (Doc 9861).*

(b) FEC parity (before interleaving and after de-interleaving)

(i) Code type

The FEC parity generation shall be based on a systematic RS 256-ary code with 8-bit code word symbols. FEC parity generation for each of the six blocks shall be a RS (92,72) code.

*Notes.—*

*1. Paragraph 5(3) provides details on the interleaving procedure.*

*2. This results in 20 bytes (symbols) of parity capable of correcting up to 10 symbol errors per block. The additional use of interleaving for the UAT ground uplink message allows additional robustness against burst errors.*

(ii) The primitive polynomial of the code is as follows-

$$p(x) = x^8 + x^7 + x^2 + x + 1$$

(iii) The generator polynomial is as follows-

$$\prod_{i=1}^P (x - \alpha^i)$$

where:

P = 139, and

$\alpha$  is a primitive element of a Galois field of size 256 (i.e. GF(256)).

(iv) Transmission order of FEC parity

FEC parity bytes are ordered most significant to least significant in terms of the polynomial coefficients they represent. The ordering of bits within each byte shall be most significant to least significant. FEC parity bytes shall follow the message data block.

(c) Interleaving procedure

UAT ground uplink messages shall be interleaved and transmitted by the ground station, as listed below-

- (i) interleaving procedure: The interleaved message data block and FEC parity consists of 6 interleaved Reed- Solomon blocks. The interleaver shall be represented by a 6×92 matrix, where each entry is a RS 8-bit symbol. Each row comprises a single RS (92,72) block as shown in Table 14-5. In this table, block numbers prior to interleaving are represented as “A” through “F”. The information is ordered for transmission column by column, starting at the upper left corner of the matrix.
- (ii) Transmission order: The bytes are then transmitted in the following order- 1,73,145,217,289,361,2,74,146,218,290,362,3,C/20,D/20,E/20,F/20

*Notes.—1. On reception, these bytes need to be de-interleaved so that the RS blocks can be reassembled prior to error correction decoding.*

*2. The Manual on the Universal Access Transceiver (UAT) (Doc 9861), Part I, provides detailed technical specifications on UAT, including ADS-B message data blocks and formats, procedures for operation of UAT transmitting subsystems, and avionics interface requirements with other aircraft systems.*

*3. The Manual on the Universal Access Transceiver (UAT) (Doc 9861), Part II, provides information on UAT system operation, description of a range of example avionics equipment classes and their applications, guidance on UAT aircraft and ground station installation aspects, and detailed information on UAT system performance simulation.*

## TABLES

**Table 14-1. Transmitter power levels**

<i>Transmitter type</i>	<i>Minimum power at PMP</i>	<i>Maximum power at PMP</i>	<i>Intended minimum air-to-air ranges</i>
Aircraft (Low)	7 watts (+38.5 dBm)	18 watts (+42.5 dBm)	20 NM
Aircraft (Medium)	16 watts (+42 dBm)	40 watts (+46 dBm)	40 NM
Aircraft (High)	100 watts (+50 dBm)	250 watts (+54 dBm)	120 NM
Ground Station	Specified by the service provider to meet local requirements		

*Notes.—*

*1. The three levels listed for the avionics are available to support applications with varying range requirements. See the discussion of UAT aircraft Equipage Classes in Section 2.4.2 of Part II of the Manual on the Universal Access Transceiver (UAT) (Doc 9861).*

*2. The intended minimum air-to-air ranges are for high-density air traffic environments. Larger air-to-air ranges will be achieved in low-density air traffic environments.*

**Table 14-2. UAT transmit spectrum**

<i>Frequency offset from centre</i>	<i>Required attenuation from maximum power level (dB as measured at the PMP)</i>
All frequencies in the range 0 – 0.5 MHz	0
All frequencies in the range 0.5 – 1.0 MHz	Based on linear* interpolation between these points
1.0 MHz	18

All frequencies in the range 1.0 – 2.25 MHz	Based on linear* interpolation between these points
2.25 MHz	50
All frequencies in the range 2.25 – 3.25 MHz	Based on linear* interpolation between these points
3.25 MHz	60

\* based on attenuation in dB and a linear frequency scale

**Table 14-3. Standard UAT receiver rejection ratios**

<i>Frequency offset from centre</i>	<i>Minimum rejection ratio (Undesired/desired level in dB)</i>
-1.0 MHz	10
+1.0 MHz	15
(±) 2.0 MHz	50
(±) 10.0 MHz	60

Note.— It is assumed that ratios in between the specified offsets will fall near the interpolated value.

**Table 14-4. High-performance receiver rejection ratios**

<i>Frequency offset from centre</i>	<i>Minimum rejection ratio (Undesired/desired level in dB)</i>
-1.0 MHz	30
+1.0 MHz	40
(±) 2.0 MHz	50
(±) 10.0 MHz	60

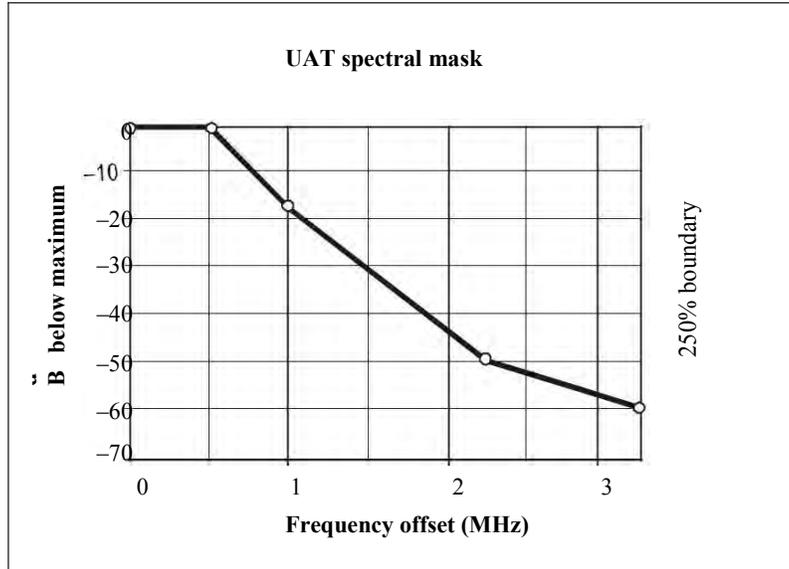
**Table 14-5. Ground uplink interleaver matrix**

<i>RS Block</i>	<i>MDB Byte #</i>						<i>FEC Parity (Block/Byte #)</i>			
	1	2	3	...	71	72	A/1	...	A/19	A/20
A	1	2	3	...	71	72	A/1	...	A/19	A/20
B	73	74	75	...	143	144	B/1	...	B/19	B/20
C	145	146	147	...	215	216	C/1	...	C/19	C/20
D	217	218	219	...	287	288	D/1	...	D/19	D/20
E	289	290	291	...	359	360	E/1	...	E/19	E/20
F	361	362	363	...	431	432	F/1	...	F/19	F/20

Note.— In Table 14-5, message data block Byte #1 through #72 are the 72 bytes (8 bits each) of message data block information carried in the first RS (92,72) block. FEC parity A/1 through A/20 are the 20 bytes of FEC parity associated with that block (A).

FIGURES

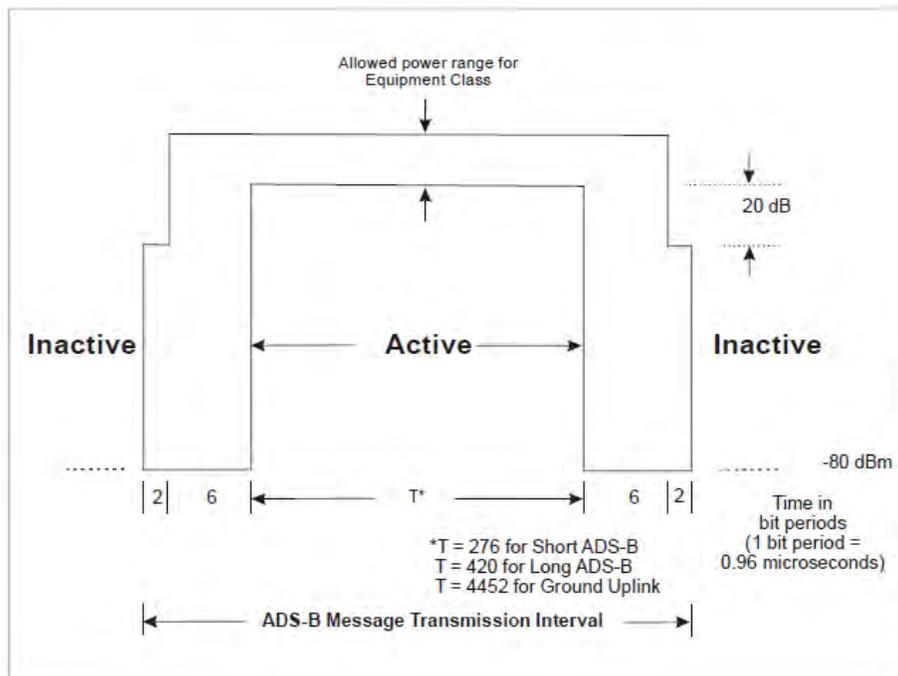
Figure 14-1. UAT transmit spectrum



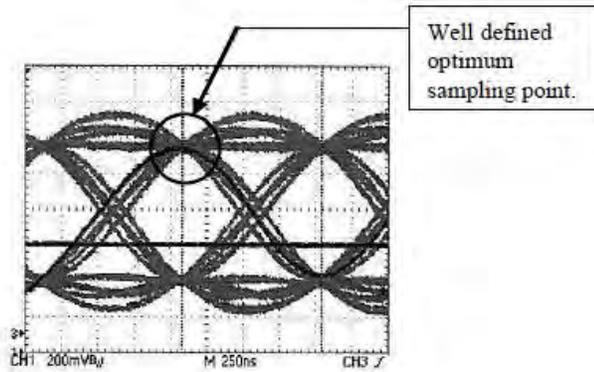
Notes.—

1. 99 per cent of the power of the UAT spectrum is contained in 1.3 MHz ( $\pm 0.65$  MHz). This is roughly equivalent to the 20 dB bandwidth.
2. Spurious emissions requirements begin at  $\pm 250$  per cent of the 1.3 MHz value, therefore the transmit mask requirement extends to  $\pm 3.25$  MHz.

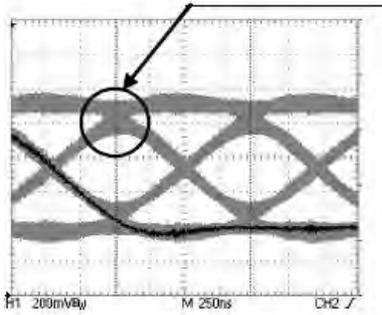
Figure 14-2 Time/ amplitude profile of UAT message transmission



**Figure 14-4 Ideal eye diagram**



**Figure 14-4 Distorted eye diagram**



## SCHEDULE 15

### AERONAUTICAL MOBILE SERVICE

*Regulations 78 and 79*

#### 1. Air-Ground VHF Communication System Characteristics

*Note.— In this Schedule, the channel spacing for 8.33 kHz channel assignments is defined as 25 kHz divided by 3 which is 8.3333 ... kHz.*

The characteristics of the air-ground VHF communication system used in the International Aeronautical Mobile Service shall be in conformity with the following-

- (a) radiotelephone emissions shall be double sideband (DSB) amplitude modulated (AM) carriers. The designation of emission is A3E, as specified in the ITU Radio Regulations;
- (b) spurious emissions shall be kept at the lowest value which the State of technique and the nature of the service permit.

*Note.— Appendix S3 to the ITU Radio Regulations specifies the levels of spurious emissions to which transmitters must conform.*

- (c) the radio frequencies used shall be selected from the radio frequencies in the band 117.975-137 MHz. The separation between assignable frequencies (channel spacing) and frequency tolerances applicable to elements of the system shall be as specified in Civil Aviation (Aeronautical Frequency Management) Regulations, 2019.

*Note.— The band 117.975 – 132 MHz was allocated to the Aeronautical Mobile (R) Service in the ITU Radio Regulations (1947). By subsequent revisions at ITU World Administrative Radio Conferences the bands 132 – 136 MHz and 136 – 137 MHz were added under conditions which differ for ITU Regions, or for specified countries or combinations of countries (see RRs S5.203, S5.203A and S5.203B for additional allocations in the band 136 – 137 MHz, and S5.201 for the band 132 – 136 MHz).*

- (d) the design polarization of emissions shall be vertical.

#### 2. System characteristics of the ground installation

##### (1) Transmitting function

- (a) *Frequency stability.* The radio frequency of operation shall not vary more than plus or minus 0.005 per cent from the assigned frequency. Where 25 kHz channel spacing is introduced in accordance with Volume V, the radio

frequency of operation shall not vary more than plus or minus 0.002 per cent from the assigned frequency. Where 8.33 kHz channel spacing is introduced in accordance with Volume V, the radio frequency of operation shall not vary more than plus or minus 0.0001 per cent from the assigned frequency.

*Note.— The above frequency stability requirements will not be sufficient for offset carrier systems using 25 kHz channel spacing or higher.*

(b) Offset carrier systems in 8.33 kHz, 25 kHz, 50 kHz and 100 kHz channel spaced environments. The stability of individual carriers of an offset carrier system shall be such as to prevent first-order heterodyne frequencies of less than 4 kHz and, additionally, the maximum frequency excursion of the outer carrier frequencies from the assigned carrier frequency shall not exceed 8 kHz. Offset carrier systems for 8.33 kHz channel spacing shall be limited to two-carrier systems using a carrier offset of plus and minus 2.5 kHz.

(c) Power

On a high percentage of occasions, the effective radiated power shall be such as to provide a field strength of a least 75 microvolts per metre (minus 109 dBW/m<sup>2</sup>) within the defined operational coverage of the facility, on the basis of free-space propagation.

(d) *Modulation.* A peak modulation factor of at least 0.85 shall be achievable.

(e) Means shall be provided to maintain the average modulation factor at the highest practicable value without over-modulation.

### **3. Receiving function**

(1) Frequency stability. Where 8.33 kHz channel spacing is introduced in accordance with Volume V, the radio frequency of operation shall not vary more than plus or minus 0.0001 per cent from the assigned frequency.

(2) Sensitivity. After due allowance has been made for feeder loss and antenna polar diagram variation, the sensitivity of the receiving function shall be such as to provide on a high percentage of occasions an audio output signal with a wanted/unwanted ratio of 15 dB, with a 50 per cent amplitude modulated (A3E) radio signal having a field strength of 20 microvolts per metre (minus 120 dBW/m<sup>2</sup>) or more.

(3) Effective acceptance bandwidth. When tuned to a channel having a width of 25 kHz, 50 kHz or 100 kHz, the receiving system shall provide an adequate and intelligible audio output when the signal specified at subparagraph (2) has a carrier frequency within plus or minus 0.005 per cent of the assigned frequency. When tuned to a channel having a width of 8.33 kHz, the receiving system shall provide an adequate and intelligible audio

output when the signal specified at subparagraph (2) has a carrier frequency within plus or minus 0.0005 per cent of the assigned frequency.

*Note.— The effective acceptance bandwidth includes Doppler shift.*

(4) Adjacent channel rejection. The receiving system shall ensure an effective rejection of 60 dB or more at the next assignable channel.

*Note.— The next assignable frequency will normally be plus or minus 50 kHz. Where this channel spacing will not suffice, the next assignable frequency will be plus or minus 25 kHz, or plus or minus 8.33 kHz, implemented in accordance with the provisions of Volume V. It is recognized that in certain areas of the world receivers designed for 25 kHz, 50 kHz or 100 kHz channel spacing may continue to be used.*

#### **4. System characteristics of the airborne installation**

##### **(1) Transmitting function**

- (a) Frequency stability. The radio frequency of operation shall not vary more than plus or minus 0.005 per cent from the assigned frequency. Where 25 kHz channel spacing is introduced, the radio frequency of operation shall not vary more than plus or minus 0.003 per cent from the assigned frequency. Where 8.33 kHz channel spacing is introduced, the radio frequency of operation shall not vary more than plus or minus 0.0005 per cent from the assigned frequency.
- (b) Power. On a high percentage of occasions, the effective radiated power shall be such as to provide a field strength of at least 20 microvolts per metre (minus 120 dBW/m<sup>2</sup>) on the basis of free space propagation, at ranges and altitudes appropriate to the operational conditions pertaining to the areas over which the aircraft is operated.
- (c) Adjacent channel power. The amount of power from a 8.33 kHz airborne transmitter under all operating conditions when measured over a 7 kHz channel bandwidth centred on the first 8.33 kHz adjacent channel shall not exceed -45 dB below the transmitter carrier power. The above adjacent channel power shall take into account the typical voice spectrum

*Note.— The voice spectrum is assumed to be a constant level between 300 and 800 Hz and attenuated by 10 dB per octave above 800 Hz.*

- (d) Modulation. A peak modulation factor of at least 0.85 shall be achievable.
- (e) Means shall be provided to maintain the average modulation factor at the highest practicable value without over-modulation.

(2) Receiving function

Frequency stability. Where 8.33 kHz channel spacing is introduced in accordance with Volume V, the radio frequency of operation shall not vary more than plus or minus 0.0005 per cent from the assigned frequency.

(3) Sensitivity

(a) After due allowance has been made for aircraft feeder mismatch, attenuation loss and antenna polar diagram variation, the sensitivity of the receiving function shall be such as to provide on a high percentage of occasions an audio output signal with a wanted/unwanted ratio of 15 dB, with a 50 per cent amplitude modulated (A3E) radio signal having a field strength of 75 microvolts per metre (minus 109 dBW/m<sup>2</sup>).

*Note.— For planning extended range VHF facilities, an airborne receiving function sensitivity of 30 microvolts per metre may be assumed.*

(b) Effective acceptance bandwidth for 100 kHz, 50 kHz and 25 kHz channel spacing receiving installations. When tuned to a channel designated in Volume V as having a width of 25 kHz, 50 kHz or 100 kHz, the receiving function shall ensure an effective acceptance bandwidth as follows-

- (i) in areas where offset carrier systems are employed, the receiving function shall provide an adequate audio output when the signal specified in subparagraph (2)(1)(b) has a carrier frequency within 8 kHz of the assigned frequency;
- (ii) in areas where offset carrier systems are not employed, the receiving function shall provide an adequate audio output when the signal specified in subparagraph (2)(1)(b) has a carrier frequency of plus or minus 0.005 per cent of the assigned frequency.

(c) Effective acceptance bandwidth for 8.33 kHz channel spacing receiving installations. When tuned to a channel designated in Volume V, as having a width of 8.33 kHz, the receiving function shall ensure an effective acceptance bandwidth as follows-

- (i) in areas where offset carrier systems are employed, the receiving function shall provide an adequate audio output when the signal specified in subparagraph (a) has a carrier frequency of plus or minus 2.5 kHz of the assigned frequency; and
- (ii) in areas where offset carrier systems are not employed, the receiving function shall provide an adequate audio output when the signal specified in subparagraph(a) has a carrier frequency within plus or minus 0.0005 per cent of the assigned frequency.

*Note 1.— The effective acceptance bandwidth includes Doppler shift.*

*Note 2.— When using offset carrier systems, receiver performance may become degraded when receiving two or more similar strength offset carrier signals. Caution is therefore advised with the implementation of offset carrier systems.*

(d) Adjacent channel rejection. The receiving function shall ensure an effective adjacent channel rejection as follows-

- (i) 8.33 kHz channels: 60 dB or more at plus or minus 8.33 kHz with respect to the assigned frequency, and 40 dB or more at plus or minus 6.5 kHz;

*Note.— The receiver local oscillator phase noise should be sufficiently low to avoid any degradation of the receiver capability to reject off carrier signals. A phase noise level better than minus 99 dBc/Hz 8.33 kHz away from the carrier is necessary to comply with 45 dB adjacent channel rejection under all operating conditions.*

- (ii) 25 kHz channel spacing environment: 50 dB or more at plus or minus 25 kHz with respect to the assigned frequency and 40 dB or more at plus or minus 17 kHz;

- (iii) 50 kHz channel spacing environment: 50 dB or more at plus or minus 50 kHz with respect to the assigned frequency and 40 dB or more at plus or minus 35 kHz;

- (iv) 100 kHz channel spacing environment: 50 dB or more at plus or minus 100 kHz with respect to the assigned frequency.

(e) Whenever practicable, the receiving system shall ensure an effective adjacent channel rejection characteristic of 60 dB or more at plus or minus 25 kHz, 50 kHz and 100 kHz from the assigned frequency for receiving systems intended to operate in channel spacing environments of 25 kHz, 50 kHz and 100 kHz, respectively.

*Note.— Frequency planning is normally based on an assumption of 60 dB effective adjacent channel rejection at plus or minus 25 kHz, 50 kHz or 100 kHz from the assigned frequency as appropriate to the channel spacing environment.*

(f) In the case of receivers complying with paragraph (a) or (d) used in areas where offset carrier systems are in force, the characteristics of the receiver shall be such that-

- (i) the audio frequency response precludes harmful levels of audio heterodynes resulting from the reception of two or more offset carrier frequencies;

(ii) the receiver muting circuits, if provided, operate satisfactorily in the presence of audio heterodynes resulting from the reception of two or more offset carrier frequencies.

## **5. VDL — interference immunity performance**

(1) For equipment intended to be used in independent operations of services applying DSB-AM and VDL technology on board the same aircraft, the receiving function shall provide an adequate and intelligible audio output with a desired signal field strength of not more than 150 microvolts per metre (minus 102 dBW/m<sup>2</sup>) and with an undesired VDL signal field strength of at least 50 dB above the desired field strength on any assignable channel 100 kHz or more away from the assigned channel of the desired signal.

*Note.— This level of VDL interference immunity performance provides a receiver performance consistent with the influence of the VDL RF spectrum mask as specified in the paragraph 6 of Schedule 5 with an effective transmitter/receiver isolation of 68 dB. Better transmitter and receiver performance could result in less isolation required.*

- (2) After 1 January 2002, the receiving function of all new installations intended to be used in independent operations of services applying DSB-AM and VDL technology on board the same aircraft shall meet the provisions of subparagraph (1).
- (3) After 1 January 2005, the receiving function of all installations intended to be used in independent operations of services applying DSB-AM and VDL technology on board the same aircraft shall meet the provisions of subparagraph (1), subject to the conditions of subparagraph (4).
- (4) Requirements for mandatory compliance of the provisions of paragraph (3) shall be made on the basis of regional air navigation agreements which specify the airspace of operation and the implementation timescales.
- (5) The agreement indicated in paragraph (4) shall provide at least two years' notice of mandatory compliance of airborne systems.

## **6. Interference immunity performance**

- (1) The VHF communications receiving system shall provide satisfactory performance in the presence of two signal, third-order intermodulation products caused by VHF FM broadcast signals having levels at the receiver input of minus 5 dBm.
- (2) The VHF communications receiving system shall not be desensitized in the presence of VHF FM broadcast signals having levels at the receiver input of minus 5 dBm.

(3) All new installations of airborne VHF communications receiving systems shall meet the provisions of paragraph (1) and (2).

(4) Airborne VHF communications receiving systems meeting the immunity performance standards of these Regulations shall be placed into operation at the earliest possible date.

## **7. Single sideband (SSB) HF communication system characteristics for use in the aeronautical mobile service**

The characteristics of the air-ground HF SSB system, where used in the Aeronautical Mobile Service, shall be in conformity with the following specifications-

### (1) Frequency range

(a) HF SSB installations shall be capable of operation at any SSB carrier (reference) frequency available to the Aeronautical Mobile (R) Service in the band 2.8 MHz to 22 MHz and necessary to meet the approved assignment plan for the region(s) in which the system is intended to operate, and in compliance with the relevant provisions of the Radio Regulations.

(b) The equipment shall be capable of operating on integral multiples of 1 kHz.

### (2) Sideband selection

The sideband transmitted shall be that on the higher frequency side of its carrier (reference) frequency.

### (3) Carrier (reference) frequency

Channel utilization shall be in conformity with the table of carrier (reference) frequencies at 27/16 and the Allotment Plan at 27/186 to 27/207 inclusive (or frequencies established on the basis of 27/21, as may be appropriate) of Appendix S27.

## **8. Classes of emission and carrier suppression**

(1) The system shall utilise the suppressed carrier class of emission J3E (also J7B and J9B as applicable). When SELCAL is employed as specified in Schedule 16, the installation shall utilise class H2B emission.

(2) All aeronautical stations and aircraft stations shall introduce the appropriate classes of emission prescribed in subparagraph (1) and the use of class A3E emission shall be discontinued except as provided in subparagraph (4)

(3) For stations directly involved in coordinated search and rescue operations using the frequencies 3 023 kHz and 5 680 kHz, the class of emission J3E shall be used;

however, since maritime mobile and land mobile services shall be involved, A3E and H3E classes of emission shall be used.

- (4) No new DSB equipment shall be installed.
- (5) Aircraft station transmitters shall be capable of at least 26 dB carrier suppression with respect to peak envelope power (Pp) for classes of emission J3E, J7B or J9B.
- (6) Aeronautical station transmitters shall be capable of 40 dB carrier suppression with respect to peak envelope power (Pp) for classes of emission J3E, J7B or J9B.

## **9. Audio frequency bandwidth**

- (1) For radiotelephone emissions the audio frequencies shall be limited to between 300 and 2 700 Hz and the occupied bandwidth of other authorized emissions shall not exceed the upper limit of J3E emissions. In specifying these limits, however, no restriction in their extension shall be implied in so far as emissions other than J3E are concerned, provided that the limits of unwanted emissions are met.

*Note.— For aircraft and aeronautical station transmitter types first installed before 1 February 1983 the audio frequencies will be limited to 3 000 Hz.*

- (2) For other authorized classes of emission the modulation frequencies shall be such that the required spectrum limits of paragraph 11 will be met.

## **10. Frequency tolerance**

- (1) The basic frequency stability of the transmitting function for classes of emission J3E, J7B or J9B shall be such that the difference between the actual carrier of the transmission and the carrier (reference) frequency shall not exceed-
  - (a) 20 Hz for airborne installations;
  - (b) 10 Hz for ground installations.
- (2) The basic frequency stability of the receiving function shall be such that, with the transmitting function stabilities specified in subparagraph (1), the overall frequency difference between ground and airborne functions achieved in service and including Doppler shift, does not exceed 45 Hz. However, a greater frequency difference shall be permitted in the case of supersonic aircraft.

## **11. Spectrum limits**

- (1) For aircraft station transmitter types and for aeronautical station transmitters first installed before 1 February 1983 and using single sideband classes of emission H2B, H3E, J3E, J7B or J9B the mean power of any emission on any

discrete frequency shall be less than the mean power ( $P_m$ ) of the transmitter in accordance with the following-

- (a) on any frequency removed by 2 kHz or more up to 6 kHz from the assigned frequency: at least 25 dB;
- (b) on any frequency removed by 6 kHz or more up to 10 kHz from the assigned frequency: at least 35 dB;
- (c) on any frequency removed from the assigned frequency by 10 kHz or more-
  - (i) aircraft station transmitters: 40 dB;
  - (ii) aeronautical station transmitters:

$$[43 + 10 \log_{10} P_m (W)] \text{ dB}$$

- (2) For aircraft station transmitters first installed after 1 February 1983 and for aeronautical station transmitters in use as of 1 February 1983 and using single sideband classes of emission H2B, H3E, J3E, J7B or J9B, the peak envelope power ( $P_p$ ) of any emission on any discrete frequency shall be less than the peak envelope power ( $P_p$ ) of the transmitter in accordance with the following-

- (a) on any frequency removed by 1.5 kHz or more up to 4.5 kHz from the assigned frequency: at least 30 dB;
- (b) on any frequency removed by 4.5 kHz or more up to 7.5 kHz from the assigned frequency: at least 38 dB;
- (c) on any frequency removed from the assigned frequency by 7.5 kHz or more-
  - (i) aircraft station transmitters: 43 dB;
  - (ii) aeronautical station transmitters: for transmitter power up to and including 50 W-

$$[43 + 10 \log_{10} P_p (W)] \text{ dB}$$

- (iii) For transmitter power more than 50 W: 60 dB.

*Note.*— See Figures 15-1 and 15-2.

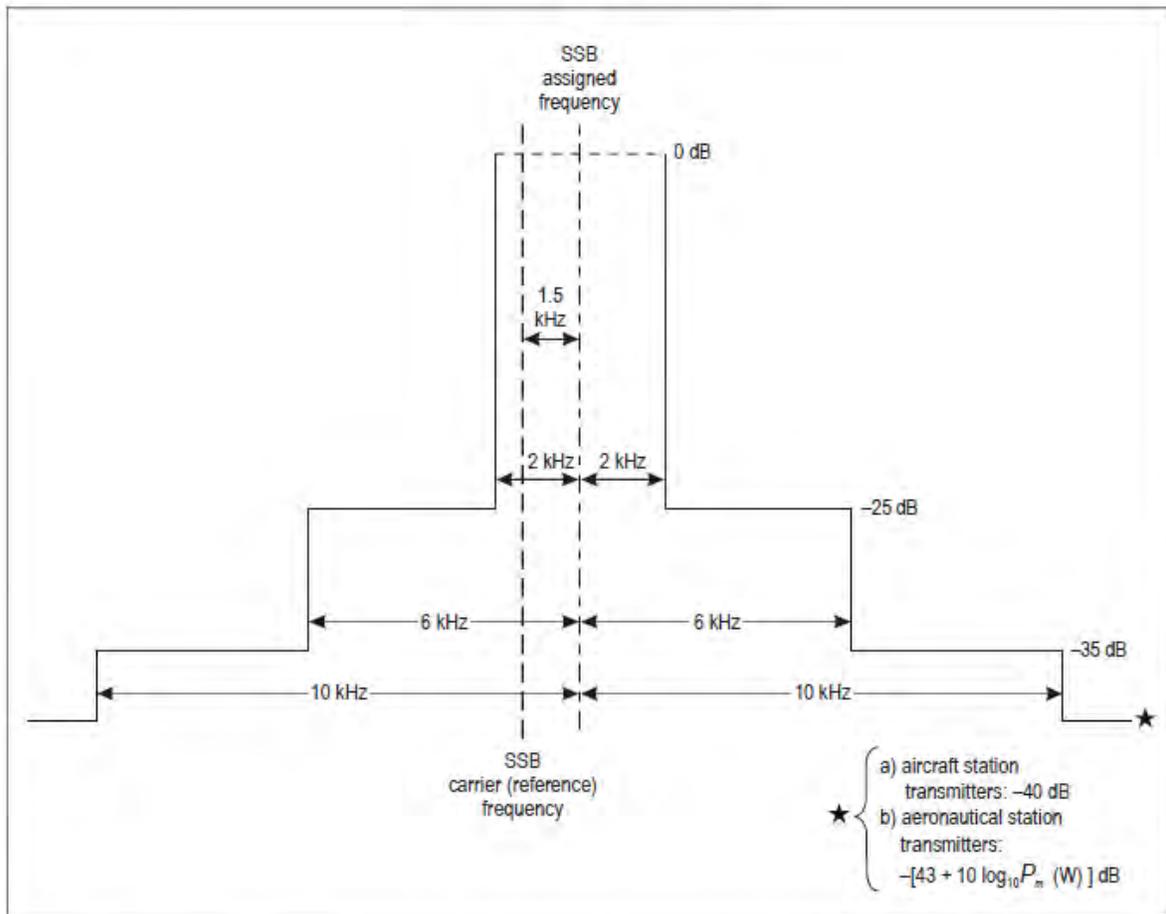
## 12. Power

- (1) Aeronautical station installations. Except as permitted by the relevant provisions of Appendix S27 to the ITU Radio Regulations, the peak envelope power ( $P_p$ ) supplied to the antenna transmission line for H2B, H3E, J3E, J7B or J9B classes of emissions shall not exceed a maximum value of 6 kW.

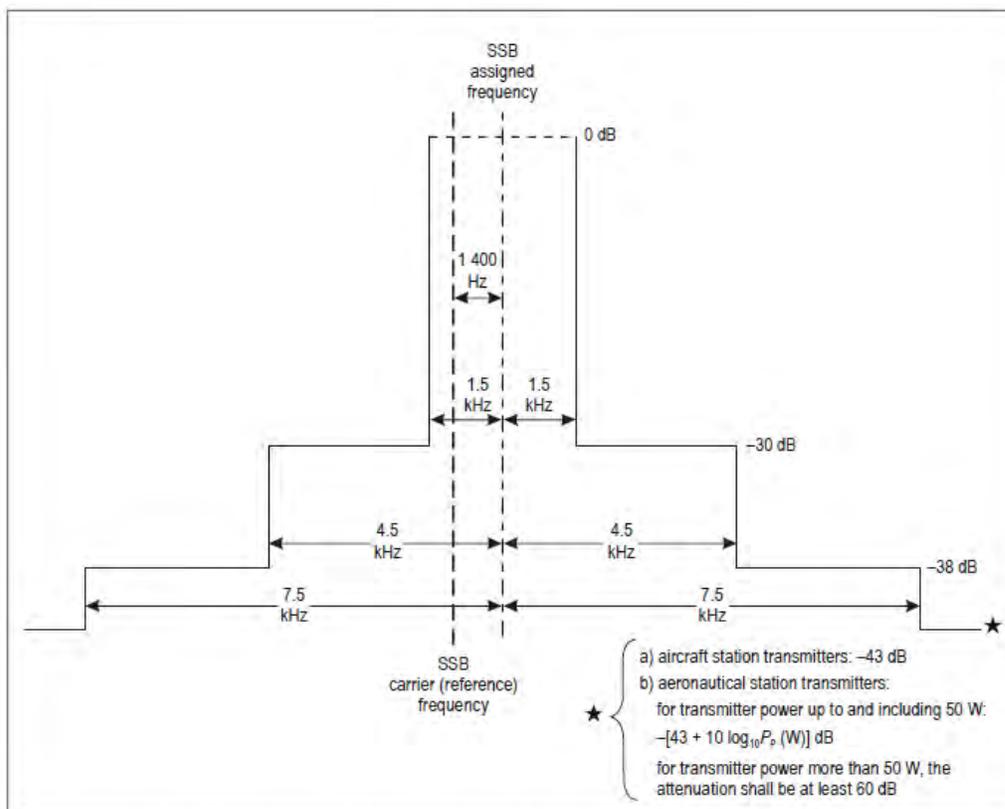
(2) Aircraft station installations. The peak envelope power supplied to the antenna transmission line for H2B, H3E, J3E, J7B or J9B classes of emission shall not exceed 400 W except as provided for in Appendix S27 of the ITU Radio Regulations as follows-

- (a) S27/68-it is recognized that the power employed by aircraft transmitters may, in practice, exceed the limits specified in No. 27/60, however, the use of such increased power (which normally should not exceed 600 W Pp) shall not cause harmful interference to stations using frequencies in accordance with the technical principles on which the Allotment Plan is based;
- (b) S27/60- unless otherwise specified in this Schedule, the peak envelope powers supplied to the antenna transmission line shall not exceed the maximum values indicated in the table below; the corresponding peak effective radiated powers being assumed to be equal to two-thirds of these values-

**Figure 15-1. Required spectrum limits (in terms of Mean power) for aircraft station transmitter types and for aeronautical station transmitters first installed before 1 February 1983.**



**Figure 15-2. Required spectrum limits (in terms of Mean power) for aircraft station transmitter first installed after 1 February 1983 and for aeronautical station transmitters first installed in use after 1 February 1983**



## SCHEDULE 16

### SELCAL SYSTEM

*Regulation 80*

SELCAL is a signalling method which can alert an individual aircraft that a ground station wishes to communicate with it. SELCAL signals can be transmitted over either high frequency or very high frequency radios.

Where a SELCAL system is installed, the following system characteristics shall be applied-

- (a) transmitted code. Each transmitted code shall be made up of two consecutive tone pulses, with each pulse containing two simultaneously transmitted tones. The pulses shall be of 1.0 plus or minus 0.25 seconds duration, separated by an interval of 0.2 plus or minus 0.1 second;
- (b) stability. The frequency of transmitted tones shall be held to plus or minus 0.15 per cent tolerance to ensure proper operation of the airborne decoder;
- (c) distortion. The overall audio distortion present on the transmitted RF signal shall not exceed 15 per cent;
- (d) percent modulation. The RF signal transmitted by the ground radio station shall contain, within 3 dB, equal amounts of the two modulating tones. The combination of tones shall result in a modulation envelope having a nominal modulation percentage as high as possible and in no case less than 60 percent;
- (e) transmitted tones. Tone codes shall be made up of various combinations of the tones listed in the following table and designated by colour and letter as indicated-

<b>Designation</b>	<b>Frequency (Hz)</b>
Red A	312.6
Red B	346.7
Red C	384.6
Red D	426.6
Red E	473.2
Red F	524.8
Red G	582.1
Red H	645.7
Red J	716.1
Red K	794.3
Red L	881.0

Red M	977.2
Red P	1 083.9
Red Q	1 202.3
Red R	1 333.5
Red S	1 479.1

*Note 1.— It shall be noted that the tones are spaced by  $\text{Log}-1\ 0.045$  to avoid the possibility of harmonic combinations.*

*Note 2.— In accordance with the application principles developed by the Sixth Session of the Communications Division, the only codes at present used internationally are selected from the red group.*

*Note 4.— The tones Red P, Red Q, Red R, and Red S are applicable after 1 September 1985.*

## SCHEDULE 17

### EMERGENCY LOCATOR TRANSMITTER CODING

*Regulation 86*

*Note.— A detailed description of beacon coding is contained in Specification for COSPAS-SARSAT 406 MHz Distress Beacons (C/S T.001). The following technical specifications are specific to emergency locator transmitters used in aviation.*

#### **1. General**

- (1) The emergency locator transmitter (ELT) operating on 406 MHz shall have the capacity to transmit a programmed digital message which contains information related to the ELT or the aircraft on which it is carried.
- (2) The ELT shall be uniquely coded in accordance with subparagraph (3) and be registered with the appropriate authorities.
- (3) The ELT digital message shall contain either the transmitter serial number or one of the following information elements-
  - (a) aircraft operating agency designator and a serial number;
  - (b) 24-bit aircraft address;
  - (c) aircraft nationality and registration marks.
- (4) All ELTs shall be designed for operation with the COSPAS-SARSAT\* system and be type approved.

*Note.— Transmission characteristics of the ELT signal can be confirmed by making use of the COSPAS-SARSAT Type.*

#### **2. ELT coding**

- (1) The ELT digital message shall contain information relating to the message format, coding protocol, country code, identification data and location data, as appropriate.
- (2) For ELTs with no navigation data provided, the short message format C/S T.001 shall be used, making use of bits 1 through 112. For ELTs with navigation data, if provided, the long message format shall be used, making use of bits 1 through 144.
- (3) Protected data field shall be as follows-
  - (a) the protected data field consisting of bits 25 through 85 shall be protected by an error correcting code and shall be the portion of the message which shall be unique in every distress ELT;
  - (b) a message format flag indicated by bit 25 shall be set to “0” to indicate the short message format or set to “1” to indicate the long format for ELTs capable of providing location data;

- (c) a protocol flag shall be indicated by bit 26 and shall be set to “1” for user and user location protocols, and “0” for location protocols;
- (d) a country code, which indicates the State where additional data are available on the aircraft on which the ELT is carried, shall be contained in bits 27 through 36 which designate a three-digit decimal country code number expressed in binary notation;

*Note.— Country codes are based on the International Telecommunication Union (ITU) country codes shown in Table 4 of Part I, Volume I of the ITU List of Call Signs and Numerical Identities.*

- (e) bits 37 through 39 (user and user location protocols) or bits 37 through 40 (location protocols) shall designate one of the protocols where values “001” and “011” or “0011”, “0100”, “0101”, and “1000” are used for aviation as shown in the examples contained in this Schedule;
- (f) the ELT digital message shall contain either the transmitter serial number or an identification of the aircraft or operator as shown below;
- (g) in the serial user and serial user location protocol (designated by bit 26=1 and bits 37 through 39 being “011”), the serial identification data shall be encoded in binary notation with the least significant bit on the right. Bits 40 through 42 shall indicate type of ELT serial identification data encoded where-
  - (i) “000” indicates ELT serial number (binary notation) is encoded in bits 44 through 63;
  - (ii) “001” indicates aircraft operator (3 letter encoded using modified Baudot code shown in Table 17-1) and a serial number (binary notation) are encoded in bits 44 through 61 and 62 through 73, respectively;
  - (iii) “011” indicates the 24-bit aircraft address is encoded in bits 44 through 67 and each additional ELT number (binary notation) on the same aircraft is encoded in bits 68 through 73.
- (h) certificate Number which is a unique number assigned by COSPAS-SARSAT for each approved ELT model, as part of the ELT message;
- (i) bits 84 and 85 (user or user location protocol) or bit 112 (location protocols) shall indicate any homing that may be integrated in the ELT;
- (j) in standard and national location protocols, all identification and location data shall be encoded in binary notation with the least significant bit right justified. The aircraft operator designator (3 letter code) shall be encoded in 15 bits using a modified Baudot code (Table 17-1) using only the 5 right

most bits per letter and dropping the left most bit which has a value of 1 for letters.

**Table 17-1 Modified Baudot code**

<i>Letter</i>	<i>Code</i>		<i>Figure</i>	<i>Code</i>	
	<i>MSB</i>	<i>LSB</i>		<i>MSB</i>	<i>LSB</i>
A	111000		(-)*	011000	
B	110011				
C	101110				
D	110010				
E	110000		3	010000	
F	110110				
G	101011				
H	100101				
I	101100				
J	111010		8	001100	
K	111110				
L	101001				
M	100111				
N	100110				
O	100011		9	000011	
P	101101		0	001101	
Q	111101		1	011101	
R	101010		4	001010	
S	110100				
T	100001		5	000001	
U	111100		7	011100	
V	101111				
W	111001		2	011001	
X	110111		/	010111	
Y	110101		6	010101	
Z	110001				
()**	100100				

MSB = most significant bit

LSB = least significant bit

\* = hyphen

\*\* = space





