



ADVISORY CIRCULAR

CAA-AC-OPS015
November 2022

STATEMENT OF COMPLIANCE

1.0 PURPOSE

1.0.1 This Advisory Circular (AC) is issued to provide guidance to Air Operators on how to prepare a Statement of Compliance. The Statement of Compliance is a required supplement to all organisation manuals that are required by the Regulations. These are the manuals that define the organisation administration structure, activities, management team, technical personnel, equipment, tools, facilities, capabilities, technical operations procedures and guidelines.

1.0.2 The Advisory Circular (AC) Cancels CAA-AC-OPS015 dated July 2020

2.0 REFERENCE

- I The Civil Aviation (Air Operators Certification and Administration) Regulations, 2022;
- II The Civil Aviation (Operation of Aircraft - Commercial Air Transport Aeroplanes) Regulations, 2022;
- III The Civil Aviation (Personnel Licensing) Regulations, 2022;
- IV The Civil Aviation (Approved Maintenance Organisations) Regulations, 2022;
- V The Civil Aviation (Instruments and Equipment) Regulations 2022; and
- VI The Civil Aviation (Airworthiness of Aircraft) Regulations, 2022.

3.0 INFORMATION AND GUIDANCE

- 3.0.1 The Statement of Compliance is prepared by the operator and submitted to the Authority indicating how all the relevant applicable Regulations to the proposed operations have been addressed.
- 3.0.2 The statement normally is in a form of a complete listing of all the pertinent sections and sub parts of the relevant regulations, e.g. AOC and Airworthiness Regulations as the case may be.
- 3.0.3 The initial statement of compliance is submitted together with the formal application for organisation and/or manual approval. It aids the certification team in determining where the regulatory requirements have been addressed in the applicant's manuals, program and procedures, it also helps to ensure that they have all been adequately addressed.

- 3.0.4 In a typical AOC regulations compliance statement, the format shall include the Regulation number, the regulation subject/title, an indication of whether the Regulation is applicable or not, the relevant Manual chapter, section, sub-section and page where the Regulation application has been addressed and/or a brief description of the method of compliance.
- 3.0.5 The initial statement of Compliance (and later the final statement) provides the only written evidence that the applicant is aware of all the applicable Regulations and understands how to appropriately apply them.
- 3.0.6 If at the time of submitting the initial statement of compliance (Formal Application Phase) some of the required methods of compliance have not been developed, the applicant may state that compliance information will be provided in the final statement. However, if it shows total lack of knowledge and understanding of the Regulations the application package should be rejected. A generic compliance statement such as “Will Comply” is not acceptable.
- 3.0.7 It is important to observe that the manuals in review and the certification demonstration phase is not carried out until the statement of compliance has been submitted and the certification team is satisfied that all applicable regulatory aspects have been appropriately addressed.

