

THE CIVIL AVIATION ACT
(CAP.)

REGULATIONS
(Made under Section)

THE CIVIL AVIATION (APPROVED TRAINING ORGANIZATION)
REGULATIONS,

ARRANGEMENT OF REGULATIONS

REGULATION

PART I

PRELIMINARY PROVISIONS

1. Title.....	7
2. Interpretation.....	7
3. Application.....	10

PART II

CERTIFICATION AND LOCATION REQUIREMENTS

4. Requirements for an ATO Certificate.....	10
5. Evaluation and checking.....	11
6. Application for issuance or amendment of an ATO certificate.....	11
7. Validity of the certificate.....	14
8. Inspection.....	14
9. Renewal of the certificate.....	15
10. Suspension or revocation.....	15
11. Certificate holder responsibilities.....	15
12. Quality system for ATO.....	16
13. Location of Principal Business Office.....	16
14. Satellite ATOs.....	16

15.	Changes requiring notice to the Authority.....	17
16.	Training Manual and Procedures Manual.....	17
17.	Safety Programme and management System.....	18

PART III

TRAINING FOR FLIGHT CREW LICENCES AND RATINGS

18.	Flight crew training courses.....	18
19.	Personnel.....	20
20.	Training program and approval.....	21
21.	Training aircraft.....	22
22.	Synthetic flight trainers.....	23
23.	Aerodrome and sites.....	23
24.	Training facilities.....	24
25.	Training courses for licenses and ratings for aircraft maintenance engineers, air traffic controllers and flight operation officers.....	25
26.	Personnel.....	26
27.	Training Program and approval.....	26
28.	Training facilities, equipment and material for aircraft maintenance engineer courses.....	27
29.	Training facilities, equipment and material for air traffic controllers or flight radio telephony operator.....	28
30.	Training facilities, equipment and material for flight operations officers or cabin crewmembers.....	29
31.	Advertising limitations.....	30

PART V EXEMPTION

32.	Requirements for Application.....	30
33.	Substance of the request for exemption.....	31
34.	Initial review by the Authority.....	32
35.	Evaluation of the request.....	32

Part VI GENERAL PROVISION

36.	Possession of the licence.....	33
37.	Drug and alcohol testing and reporting.....	33
38.	Inspection of License certificates and authorisations.....	34
39.	Change of Name.....	35
40.	Change of Address.....	35
41.	Replacement of documents.....	35
42.	Certificate Suspension and Revocations.....	35
43.	Use and retention of certificates and records.....	36
44.	Record keeping.....	37

45.	Reports of violation.....	37
46.	Enforcement of directions.....	38
47.	Aeronautical user fees.....	38
48.	Application of regulations to Government and visiting forces, etc.....	38
49.	Extra-territorial application of Regulations.....	39

PART VII

OFFENCES AND PENALTIES

50.	Contravention of Regulations.....	39
51.	Offences and Penalties.....	39

SCHEDULES

FIRST SCHEDULE

Quality Systems.

SECOND SCHEDULE

ATO Training Manual and Procedures Manual contents.

THIRD SCHEDULE

ATO Management Personnel Responsibilities and Qualifications.

FOURTH SCHEDULE.

Offences and Penalties.

GOVERNMENT NOTICE No. Published on.....

THE CIVIL AVIATION ACT
(CAP.)

REGULATIONS

(Made under Section)

THE CIVIL AVIATION (APPROVED TRAINING ORGANIZATION)
REGULATIONS, 2019
ARRANGEMENT OF REGULATIONS

PART I
PRELIMINARY PROVISIONS

Regulation
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1. Title.

These Regulations may be cited as the Civil Aviation (Approved Training Organizations) Regulations, 2019.

2. Interpretation.

In these Regulations, unless the context otherwise requires-
“accountable manager” means the manager who has corporate authority for ensuring that all training commitments can be financed and carried out to the standard required by the Authority and any additional requirements defined by the approved training organization;
“aeroplane” means a power-driven heavier-than-air aircraft, deriving its lift in flight chiefly from aerodynamic reactions on surfaces which remain fixed under given conditions of flight;
“aircraft avionics” refers to a term designating any electronic device — including its electrical part — for use in an aircraft, including radio,

automatic flight control and instrument systems;

“aircraft” means any machine that can derive support in the atmosphere from the reactions of the air, other than the reactions of the air against the earth’s surface;

“approved training” means training conducted under special curricula and supervision approved by the Authority.

“approved training organization” means an organization approved by the Authority to perform approved training as specified in these Regulations and operating under the supervision of the Authority;

“ATO” means an approved training organization;

“ATS surveillance service” refers to a term used to indicate a service provided directly by means of an ATS surveillance system;

“Authority” means the Uganda Civil Aviation Authority;

“Certify as airworthy (to)” means to certify that an aircraft or parts thereof comply with current airworthiness requirements after maintenance has been performed on the aircraft or parts thereof.

“error” means an action or inaction by an operational person that leads to deviations from organizational or the operational person’s intentions or expectations;

“procedures manual” means a manual containing procedures, instructions and guidance for use by personnel of the approved training organization in the execution of their duties in meeting the requirements of the certificate;

“quality manager” means the manager, acceptable to the Authority, responsible for the management of the quality system, monitoring function and requesting corrective actions;

“quality System” means the documented organizational procedures and policies; the internal audit of those policies and procedures; and the management review and recommendation for quality improvement;

“safety management system” means a systematic approach to managing safety, including the necessary organizational structures, accountabilities, policies and procedures;

“State safety programme (SSP)” means an integrated set of regulations and activities aimed at improving safety;

“satellite ATO” means an ATO at a location other than primary location of the ATO;

“synthetic flight trainer” means any one of the following three types of apparatus in which flight conditions are simulated on the ground:

- (i) a flight simulator, which provides an accurate representation of the cockpit of a particular aircraft type to the extent that the mechanical, electrical, electronic, etc. aircraft systems control functions, the normal environment of flight crew members, and the performance and flight characteristics of that type of aircraft are realistically simulated;
- (ii) a flight procedures trainer, which provides a realistic cockpit environment, and which simulates instrument responses, simple control functions of mechanical, electrical, electronic, etc. aircraft systems, and the performance and flight characteristics of aircraft of a particular class; or
- (iii) a basic instrument flight trainer, which is equipped with appropriate instruments, and which simulates the cockpit environment of an aircraft in flight in instrument flight conditions.

“threat” means events or errors that occur beyond the influence of an operational person, increase operational complexity and must be managed to maintain the margin of safety

“training manual” means a manual containing the training goals, objective, standards syllabi, and curriculum for each phase of the approved training course;

“training specifications” means a document issued to an approved training organization certificate holder by the Authority that specifies training program requirements and authorizes the conduct of training, checking, and testing with any of the attendant limitations.

3. Application

These Regulations shall apply to all persons operating or maintaining a training organisation registered in Uganda

PART II

CERTIFICATION AND LOCATION REQUIREMENTS

4. Requirements for an ATO Certificate

1. (1) A person, other than-
 - (a) an Air Operator Certificate or Approved Maintenance Organisation certificate holder conducting training of its own personnel under the Civil Aviation (Air Operator Certification and Administration) Regulations, and the Civil Aviation (Approved Maintenance Organisation) Regulations, respectively; and
 - (b) an operator with a approved training programme in its Operations Manual under Civil Aviation (Operation of Aircraft) Regulations, shall not hold out as or operate an approved training organization without, or in violation of, an Aviation Training Organization (ATO) certificate and training specifications issued under these Regulations.

(2) A person shall not conduct training, testing or checking in synthetic flight trainers without, or in violation of, the certificate and training specifications required under these Regulations.

(3) The Authority will issue to an ATO that meets the requirements of these Regulations an ATO certificate and training specifications for providing courses for flight crew licences and ratings and for courses for personnel other than flight crew members, as approved by the Authority.

(4) A holder of an ATO certificate shall, at all times, display that certificate in a place in the training organisation that is normally accessible to the public and that is not obscured.

5. Evaluation and checking

- (1) Where the Authority has authorized an approved training organization to conduct the testing required for the issue of a licence or rating, the testing shall be conducted by personnel authorized by the Authority or designated by the training organization in accordance with criteria approved by the Authority.

6. Application for issuance or amendment of an ATO certificate

- (1) An applicant for an approved training organisation (ATO) certificate and training specifications, or for an amendment to an ATO certificate and training specifications, shall apply at least ninety days before the beginning of any proposed training which was not approved before.
- (2) An applicant for an ATO certificate shall submit an application on a form and manner prescribed by the Authority; and
- (3) An application shall contain the following information-
 - (a) a statement showing that the minimum qualification requirements for each management position are met;
 - (b) a description of the minimum qualifications and ratings for each instructor;
 - (c) a statement acknowledging that the applicant may notify the authority within ten working days of any change made in the assignment of persons in the required management or instructors positions;
 - (d) the proposed training specifications requested by the applicant;
 - (e) a description of the training equipment that the applicant proposes to use e.g. the aircraft, the synthetic flight trainers including any special equipment used for each phase of training;
 - (f) a listing of the aerodromes or sites at which training flights originate, if applicable, and a description of the applicant's training facilities, equipment and qualifications of personnel to be used;
 - (g) a training program, including manuals, curricula, outlines, courseware, procedures and documentation to support the items required in Regulations 23,27 and 28;
 - (h) a description of a recordkeeping system that will identify and document the details of training, qualification, and licencing of students, instructors, and evaluators;
 - (i) a description of quality control measures proposed;
 - (j) a method of demonstrating the applicant's qualification and ability to provide training for a licence or rating in fewer than the

minimum hours prescribed in the Civil Aviation (Personnel Licencing) Regulations if the applicant proposes to do so; and
(k) a statement of compliance showing how the applicant has met all applicable requirements in these Regulations and other applicable Regulations.

(4) An ATO shall submit manual establishing procedures acceptable to the Authority to ensure compliance with all relevant requirements of these regulations and the procedures shall include a quality system which meets the requirements specified in regulation 16.

(5) An applicant for an ATO certificate shall ensure that the facilities and equipment described in the application are-

- (a) available for inspection and evaluation prior to approval; and
- (b) in place and operational at the location of the ATO prior to the issue of a certificate under these Regulations.

(6) The Authority shall after inspection, issue to an applicant who meets the requirements of these Regulations and is approved by the Authority:

- (a) an ATO certificate containing-
 - (i) the name and location of the ATO;
 - (ii) the date of issue and period of validity of the certificate;
 - (iii) the authorized locations of operations; and
 - (iv) training courses for the following categories, as applicable, flight crew training, training for personnel other than flight crew and other training as approved by the Authority:
- (b) training specifications containing-
 - (i) authorization for the ATO;
 - (ii) the type of training authorized, including approved training courses;
 - (iii) the rating, category, class and type of aircraft, or parts of the aircraft, that may be used for training, testing and checking;
 - (iv) for each synthetic flight trainer that may be used for training, testing and checking, the make, model and series of aircraft being simulated, the qualification level and the identification number assigned by the

- Authority;
- (v) any aircraft, or part of the aircraft, approved for training, as appropriate;
- (vi) the staff required to perform and meet the requirements of these Regulations; and
- (vii) any other items the Authority may require or allow.

(7) The Authority shall not issue an ATO certificate if it finds that the applicant does not comply with the approval requirements of these Regulations.

(8) The Authority may amend an ATO certificate or the training specifications-

- (a) on the Authority's own initiative, under the applicable legislation;
- or;
- (b) upon application by the certificate holder.

(9) A training organisation located outside Uganda may apply for a Uganda ATO certificate, to provide training leading to a license issued by Authority provided the requirements of these Regulations are met.

7. Validity of the certificate.

- (1) A certificate issued or renewed to an approved training organization (ATO) shall be valid for twelve months from the date of issue or renewal, unless a shorter period is specified by the Authority.
- (a) the Authority amends, suspends, revokes or otherwise terminates the certificate; or
- (b) the ATO surrenders it to the Authority.

8. Inspection

- (1) The Authority may, at any time, inspect an approved training organization (ATO) certificate holder's facilities, records, personnel and equipment to determine the ATO's ongoing compliance with these Regulations.
- (2) The Authority shall conduct inspections at least once annually.
- (3) After the inspection specified in sub-regulation (1), an ATO certificate holder shall be notified, in writing, of any deficiencies found during the inspection.
- (4) An inspection shall also be conducted on the applicant for, or on the holder of an ATO certificate based outside Uganda
- (5) An inspection carried out pursuant to this Regulation shall focus

on-

- (a) adequacy of, and qualifications of Staff;
- (b) validity of instructors' licences, ratings and logbooks;
- (c) training aircraft, associated documents and maintenance records;
- (d) synthetic flight trainer's qualification and approval;
- (e) facilities: library, class rooms, training equipment adequacy to the courses being conducted and the number of students;
- (f) documentation: documents related to the courses; updating system; training and operations manuals;
- (g) training records and assessment forms;
- (h) flight instruction including pre-flight briefing, actual flight debriefing for ATOs for flight crew training;
- (i) examination: management and control;
- (j) instruction program for personnel other than flight crew; and
- (k) quality assurance system.

9. Renewal of the certificate

- (1) An approved training organization (ATO) may apply for renewal of its ATO certificate at least thirty days before the expiry date in order to ensure continuity of the training, provided the ATO meets the requirements prescribed in these Regulations.
- (2) The Authority shall inspect an ATO that applies for a renewal to ensure that the ATO meets the requirements prescribed in these Regulations.
- (3) Any application for renewal of a certificate made after the expiry of the certificate shall be treated as an initial application and the applicant shall comply with the procedure for initial issuance as provided in regulation 6.

10. Suspension or revocation

- (1) The Authority shall suspend or revoke an approved training organization certificate, if it is established that a certificate holder does not comply or no longer meets the requirements of these Regulations.

11. Certificate holder responsibilities

- (1) A holder of an approved training organization (ATO) certificate shall -
 - (a) ensure that the facilities and working environment of the ATO are appropriate for the tasks to be performed;
 - (b) ensure that it has the necessary technical data, equipment, training devices and material to conduct the courses for which it is

- approved.
- (c) not make a substantial change in facilities, equipment or material that have been approved for a particular training program, unless that change is approved by the Authority in advance;
 - (d) maintain the records required by these Regulations in facilities adequate for that purpose; and
 - (e) describe the method used for the completion and retention of the training records.

12. Quality system for ATO

- (1) An approved training organization (ATO) shall establish a quality system acceptable to the Authority which includes:
 - (a) an independent audit procedure to monitor training standards;
 - (b) the integrity of knowledge examinations and practical assessments; and
 - (c) compliance with and adequacy of procedures.
- (2) The management of the quality system must include feedback of the independent audit findings to the ATO senior management personnel and ultimately to the accountable manager to ensure, as necessary, corrective action.
- (3) The quality system shall meet the requirements prescribed in the First Schedule to these Regulations.

13. Location of Principal Business Office

- (1) An applicant for, or holder of an approved training organization certificate shall establish and maintain a principal business office that is physically located at the address shown on the certificate.

14. Satellite ATOs

- (1) A holder of an approved training organization (ATO) certificate may conduct training in accordance with a training program approved by the Authority at a satellite ATO if:
 - (a) the facilities, equipment, personnel and course content of the satellite ATO meet the applicable requirements;
 - (b) the instructors at the satellite ATO are under the direct supervision of management personnel of the principal ATO; and
 - (c) the ATO certificate holder's training specifications reflect the name and address of the satellite ATO and the approved training courses offered at the satellite ATO.
- (2) The Authority shall issue training specifications which prescribe

the operations required and authorized at each satellite ATO.

(3) An ATO may sub-contract certain activities to any other organizations subject to the approval of the Authority.

(4) The ultimate responsibility for the training provided by the satellite ATO remains with the principal ATO.

(5) The ATO and the satellite ATO will execute a written agreement defining the safety and quality-related services to be provided; the satellite ATO's safety related activities relevant to the agreement should be included in the ATO's quality assurance programme.

15. Changes requiring notice to the Authority

(1) An approved training organization ATO shall notify the Authority within thirty days of any of the following changes:

- (a) the Accountable Manager;
- (b) the Quality Manager;
- (c) the instructional staff; and
- (d) the housing, training facilities and equipment, procedures, training programs and work scope that could affect the approval.

(2) The Authority may prescribe the conditions under which the ATO may operate during the period such changes as specified in sub-regulation (1) occurs unless the Authority determines that the approval be suspended.

16. Training Manual and Procedures Manual

(1) An applicant or a holder of an approved training organization (ATO) certificate shall prepare and maintain a Training Manual and Procedures Manual and submit to the Authority for Approval.

(2) The Training Manual and Procedures Manual under sub regulation (1) shall contain information and instructions to enable staff to perform their duties and to give guidance to students on how to comply with course requirements, as listed in the Training Manual and Procedures Manual set out in the Second Schedule to these Regulations.

(3) An ATO may combine the Training Manual and Procedures Manual.

(4) The ATO shall ensure that the Training Manual and Procedures Manual are amended as necessary to keep the information contained therein up to date.

(5) Copies of all amendments to the Training Manual and Procedures Manual shall be furnished promptly to all organizations or persons to whom

the manual has been issued after being approved by the Authority.

(6) The training organization shall provide Training Manual and Procedures Manual for the use and guidance of personnel concerned.

17. Safety Programme and management System

2. The approval of a training organization by Uganda shall be dependent upon the applicant demonstrating compliance with the requirements contained in the Civil Aviation (Safety Management System) Regulations.

PART III

TRAINING FOR FLIGHT CREW LICENCES AND RATINGS

18. Flight crew training courses.

(1)The Authority may approve, as provided in the training specifications, the following courses of instruction to an applicant for, or a holder of an approved training organization certificate, provided the applicant meets the requirements of the Civil Aviation (Personnel Licensing) Regulations and these Regulations-

- (a) private pilot licence course;
- (b) commercial pilot licence course;
- (c) instrument rating course;
- (d) commercial pilot licence
- (e) instrument rating-multi-
- (f) airline transport pilot licence course;
- (g) multi-crew pilot licence
- (h) flight engineer licence course;
- (i) flight navigator licence course;
- (j) flight instructor course;
- (k) instructor course for additional type or class ratings;
- (l) Ground instructor course;
- (m) instructor course for synthetic flight training;

(2) A person shall not conduct training any aviation complementary

courses without or in contravention of the Approved Training Organizations certificate and its associated training specifications granted further to successful completion of the five-phase certification process;

- (a) Aviation Security Course
- (b) Aircraft ground handling.
- (c) Aircraft Accident Investigation
- (d) Crew resource management
- (e) Safety Management systems
- (f) Dangerous goods
- (g) Aviation instructor course
- (h) Human factors
- (i) Train the trainer
- (j) Quality management
- (k) Extended diversion time operation
- (l) Engine or crew resource management integrated course;
- (m) refresher courses;
- (n) category II and III Ops;
- (o) ETOPS;
- (p) human factors;
- (q) safety management systems;
- (r) loss of control in flight;
- (s) upset prevention and recovery; and,
- (t) class rating course;
- (u) type rating course;
- (v) Any other course as may be acceptable to the Authority

19. Personnel.

(1) An approved training organization (ATO) shall satisfy the Authority that there shall be on its staff-

- (a) an accountable manager;
- (b) a quality manager;
- (c) a head of training;
- (d) safety manager;
- (e) a chief flight instructor, as applicable;
- (f) a chief ground instructor as applicable; and

(g) an adequate number of ground & flight instructors and any other instructors relevant to the course provided.

(2) An instructor to be used for flight training must hold an instructor rating or authorization in accordance with the Civil Aviation (Personnel Licensing) Regulations relevant to the instructions given.

(3) An ATO shall ensure that all instructional personnel receive initial and continuous training appropriate to their assigned tasks and responsibilities; the training program for instructional personnel established by the ATO shall include training in knowledge and skills related to human performance.

(4) The responsibilities and qualifications of the management personnel employed in an ATO shall be as specified in the Third Schedule to these Regulations.

(5) The Authority may approve positions, other than those listed, if the ATO is able to show that it can conduct the training with the high training standard under the direction of fewer or different categories of management personnel due to the-

- (a) kind of training conducted;
- (b) number of students;
- (c) locations of training.

(6) An ATO shall prescribe the duties and qualification of the personnel designated as responsible for planning, performing and supervising the training of the personnel referred to in sub regulation (1).

(7). The competence of training personnel shall be in accordance with procedures prescribed and accepted by the Authority.

20. Training program and approval

(1) An applicant for, or a holder of an approved training organization (ATO) certificate, shall apply to the Authority for training program approval.

(2) An applicant for, or holder of an ATO certificate shall develop training program for each type of course offered which shall include-

- (a) a breakdown of flying and theoretical knowledge instruction in either a week-by-week or phase presentation, a list of standard exercises and a curriculum summary; in particular, synthetic flight training and theoretical knowledge instruction shall be phased in such a manner as to ensure that students shall be able to

- apply to flying exercises the knowledge gained on the ground;
 - (b) minimum aircraft and flight training equipment requirements for each proposed program;
 - (c) minimum instructor qualifications for each proposed program; and
 - (d) a program for initial training and continuing training of each instructor employed to instruct in a proposed program.
- (3) The content and sequence of the training program shall be acceptable to the Authority.

(4) Approved training shall provide a level of competency at least equal to that provided by the minimum experience requirements for personnel not receiving such approved training.

(5) The qualifications required for the issue of personnel licenses can be more readily and speedily acquired by applicants who undergo closely supervised, systematic and continuous courses of training, conforming to a planned syllabus or curriculum. Provision has accordingly been made for some reduction in the experience requirements for the issue of certain licenses and ratings prescribed in the Civil Aviation (Personnel Licensing) Regulations, in respect of an applicant who has satisfactorily completed a course of approved training.

(6) Approved training for flight crew shall be conducted within an approved training organization.

21. Training aircraft.

(1) A holder of an approved training organization certificate shall provide an adequate fleet of training aircraft as approved by the Authority appropriate to the courses of training for flight crew licences and ratings.

(2) A training aircraft provided under sub regulation (1) shall be fitted with duplicated primary flight controls for use by the instructor and the student and shall not have swing-over flight controls.

(3) The fleet provided under sub-regulation (1) shall include-

- (a) as appropriate to the courses of training, aeroplanes suitable for demonstrating stalling and spin avoidance;
- (b) helicopters shall include, as appropriate to the courses of training, a helicopter suitable for auto-rotation demonstration; and
- (c) aircraft suitably equipped to simulate instrument meteorological

conditions and suitably equipped for instrument flight training and testing.

22. Synthetic flight trainers

(1) An applicant for, or a holder of an approved training organization certificate providing synthetic flight training shall-

- (a) satisfy the Authority that suitably equipped synthetic flight trainers are provided having regard to the number of students and organization of courses; and
- (b) show that each synthetic flight trainer used for training, testing and checking will be or is specifically qualified and approved by the Authority for:
 - (i) each manoeuvre and procedure for the make, model and series of aircraft, set of aircraft, or aircraft type simulated, as applicable; and
 - (ii) each training program or training course in which the synthetic flight trainer is used, if that program or course is used to satisfy any requirement of these Regulations.

(2) Synthetic training devices shall be qualified according to the requirements established and approved by the Authority to ensure that they are appropriate to the task.

23. Aerodrome and sites.

(1) An applicant for, or a holder of, an approved training organization certificate that intends to conduct or conducts flight training shall show that it has continuous use of each airport and sites for helicopter, whichever is applicable, training at which training flights originate and that the airport has an adequate runway and other necessary equipment.

(2) A base aerodrome and any alternative base aerodrome at which flying training is being conducted shall have at least the following facilities-

- (a) at least one runway or take-off area that allows training aircraft to make a normal take-off or landing at the maximum take-off or maximum landing mass authorized, and touch down autorotation as appropriate: -
 - (i) under calm wind of not more than five knots conditions and temperatures equal to the mean high temperature for the hottest month of the year in the operating area;

- (ii) clearing all obstacles in the take-off flight path by at least fifty feet;
- (iii) with the powerplant operation and the landing gear, if applicable recommended by the manufacturer;
- (iv) with a smooth transition from lift-off to the best rate of climb speed without exceptional piloting skills or techniques;
- (b) wind direction indicator that is visible at ground level from the ends of each runway;
- (c) have adequate runway electrical lighting if used for night training; and
- (d) have a traffic direction indicator when:
 - (i) the airport does not have an operating control tower; and;
 - (ii) traffic and wind advisories are not available;
- (e) sites shall be available for-
 - (i) confined area operation training;
 - (ii) simulated engine off autorotation; and
 - (iii) sloping ground operation.

24. Training facilities

- (1) An applicant for, or a holder of an approved training organization (ATO) certificate shall, subject to the determination by the Authority, have facilities appropriate for the maximum number of students expected to be taught at any time.
 - (2) The minimum facilities shall be-
 - (a) for flight operations -
 - (i) an operation room;
 - (ii) a flight planning room;
 - (iii) adequate briefing rooms;
 - (iv) an office for the instructors;
 - (b) for knowledge instructions -
 - (i) classroom accommodation;
 - (ii) suitable demonstration equipment;
 - (iii) a radio telephony training and testing facility;
 - (iv) a library; and
 - (v) an office for instructors.
 - (3) A holder of an ATO certificate shall not make a substantial change in facilities, equipment or material that have been approved for a particular training program unless that change is approved by the Authority in advance.

- (4) The ATO's principal place of business shall:
 - (a) not be shared with or used by another ATO; and
 - (b) be adequate to maintain the files and record required to operate business of the ATO.

PART IV

TRAINING FOR LICENCES AND RATINGS FOR AIRCRAFT MAINTENANCE ENGINEERS, AIR TRAFFIC CONTROLLERS AND FLIGHT

OPERATION OFFICERS

25. Training courses for licenses and ratings for aircraft maintenance engineers, air traffic controllers and flight operation officers.

(1) The Authority may approve the following courses of instruction to an applicant for, or holder of an approved training organization certificate, provided the applicant meets the requirements of the Civil Aviation (Personnel Licensing) Regulations. -

- (a) aircraft maintenance engineers basic course;
- (b) airframe rating, powerplant rating, avionics rating course;
- (c) air traffic controller licence course;
- (d) training for ratings for air traffic controller licences;
- (e) flight operation officer/dispatcher course;
- (f) flight radio telephony operator course; and
- (g) cabin crewmember course.

26. Personnel

(1) An approved training organization (ATO) shall satisfy the Authority that an adequate number of qualified, competent staff are employed as follows-

- (a) an accountable manager;
- (b) a quality control manager;
- (c) a head of training;
- (d) a safety manager;
- (e) a chief instructor; and
- (f) an adequate number of instructors relevant to the courses provided, qualified in accordance with the requirements of the Civil Aviation (Personnel Licensing) Regulations.

(2) The ATO shall ensure that all instructional personnel receive initial and continuous training appropriate to their assigned tasks and

responsibilities of the training program established by the training organization and shall include training in knowledge and skills related to human performance.

(3) The personnel specified in this regulation shall submit their credentials to the Authority and shall show that they have relevant qualifications and satisfactory experience related to approved training as appropriate in accordance with the Third Schedule to these Regulations.

27. Training Program and approval

(1) An applicant for, or a holder of an approved training organization certificate shall-

- (a) apply to the Authority for an approval of a training program;
- (b) ensure that each training program submitted to the Authority for approval meets the applicable requirements;
- (c) indicate in the application:
 - (i) courses which are part of the program; and
 - (ii) requirements of the Civil Aviation (Personnel Licensing)

Regulations which may be satisfied.

(2) Where the Authority finds that the approved training programme does not meet the applicable requirements, it shall require the holder to make revision in the training programme.

(3) Approved training for air traffic controllers shall be conducted within an approved training organization.

28. Training facilities, equipment and material for aircraft

maintenance engineer courses.

(1) An applicant for, or a holder of an approved training organization (ATO) certificate that intends to conduct or conducts aircraft maintenance engineer courses shall have suitable facilities, as determined by the Authority, appropriate for the maximum number of students expected to be taught at any time and the ratings sought, as follows:

- (a) an enclosed adequately equipped classroom;
- (b) a well-equipped library;
- (c) workshops, equipment, tools, adequate supply of materials, special tools and similar articles for the rating sought;
- (d) adequate office facilities; and
- (e) secure storage facilities for examination papers and training records.

(2) An applicant for, or holder of an ATO certificate with approved

licenced maintenance engineer courses shall have and maintain the adequate instructional equipment as is appropriate to the rating sought.

(3) A holder of an ATO certificate shall not make any change in facilities, equipment or material that have been approved for a particular training program, unless that change is approved by the Authority in advance.

(4) An applicant for, or holder of, an ATO certificate to conduct aircraft maintenance engineer courses shall ensure that the tools, equipment, materials, and instructional equipment required by paragraph (1) and (2) be in satisfactory working condition for instructional and practice purposes.

(5) Competency based approved training for aircraft maintenance engineers' licence shall be conducted within an Approved Maintenance Organization.

29. Training facilities, equipment and material for air traffic

controllers or flight radio telephony operator

(1) An applicant for, or holder of, an approved training organization (ATO) certificate to train air traffic controllers or flight radio telephony operators shall have facilities as determined by the Authority, appropriate for the maximum number of students expected to be taught at any time and the ratings sought, as follows:

- (a) an enclosed adequately equipped classroom;
- (b) well equipped library;
- (c) well designed simulators appropriate for the rating sought;
- (d) adequate office accommodation for instructors;
- (e) control desk or console where applicable;
- (f) ICAO approved syllabus for rating being sought; and
- (g) secure storage facilities for examination papers and training records.

(2) An applicant for, or a holder of, an ATO certificate with air traffic control or flight radio telephony operator courses shall maintain instructional equipment as is appropriate to the rating sought.

(3) A holder of an ATO certificate to train air traffic controllers or flight radio telephony operators shall not make any change in facilities, equipment, simulators or materials that have been approved for a particular training unless that change is approved by the Authority in advance.

(4) An applicant for, or holder of, an ATO certificate to train air traffic controllers or flight radio telephony operators shall ensure that the equipment,

materials, and simulators required by paragraph (1) and (2) be in satisfactory working condition for instructional and practice purposes.

30. Training facilities, equipment and material for flight operations

officers or cabin crewmembers.

(1) An applicant for, or holder of an approved training organization (ATO) certificate to train flight operations officers or cabin crewmembers shall have facilities, as determined by the Authority, appropriate for the maximum number of students expected to be taught at any time, as follows:

- (a) adequate enclosed classroom;
- (b) flight operations facilities, including:
 - (i) an operations room;
 - (ii) a flight planning room;
 - (iii) an office for the instructors;
- (c) suitable demonstration equipment and cabin mockups;
- (d) suitable radio telephony training and testing facility (for flight operations officer training only);
- (e) a library; and
- (f) secure storage facilities for examination papers and training records.

(2) An applicant for, or a holder of an ATO certificate for flight operations officers or cabin crew members courses shall have and maintain instructional equipment appropriate for the training sought.

(3) A holder of an ATO certificate shall not make a substantial change in facilities, equipment or material that have been approved for a particular training program, unless that change is approved by the Authority in advance.

(4) An applicant for, or holder of, an ATO certificate to train flight operations officers or cabin crewmembers shall ensure that the equipment and materials, required by paragraph (1) and (2) be in satisfactory working condition for instructional and practice purposes.

31. Advertising limitations.

- (1) An approved training organization shall not-
- (a) conduct or advertise to conduct any training, testing, or checking that is not approved by the Authority if that training is designed to satisfy any requirement of these Regulations;
 - (b) make any statement relating to its ATO certification and training

- specifications that is false or designed to mislead any person contemplating enrolment in that ATO; or
- (c) advertise that the ATO is certified unless it clearly differentiates between courses that have been approved under these Regulations and those that have not been approved under these Regulations.
 - (2) An ATO whose certificate has been surrendered, suspended, revoked, or terminated shall promptly:
 - (a) remove all indications, including signs, wherever located, that the ATO was certified by the Authority; and
 - (b) notify all advertising agents, and advertising media employed by the ATO to cease all advertising indicating that the ATO is certified by the Authority.

PART V EXEMPTIONS

32. Requirements for Application

- (1) A person may apply to the Authority for an exemption from any of the provisions of these Regulations.
- (2) An application for an exemption shall be submitted to the Authority at least sixty days in advance of the proposed effective date, to obtain timely review.
- (3) A request for an exemption must contain the applicant's-
 - (a) name;
 - (b) physical address and mailing address;
 - (c) telephone number;
 - (d) fax number if available; and
 - (e) email address if available.
- (4) The application shall be accompanied by a fee prescribed by the

Authority.

33. Substance of the request for exemption

- (1) An application for an exemption must contain the following-
 - (a) a citation of the specific requirement from which the applicant seeks exemption;
 - (b) an explanation of why the exemption is needed;
 - (c) a description of the type of operations to be conducted under the proposed exemption;
 - (d) the proposed duration of the exemption;
 - (e) an explanation of how the exemption would be in the public

- interest, that is, benefit the public as a whole;
- (f) a detailed description of the alternative means by which the applicant will ensure a level of safety equivalent to that established by the regulation in question;
 - (g) a review and discussion of any known safety concerns with the requirement, including information about any relevant accidents or incidents of which the applicant is aware; and
 - (h) if the applicant seeks to operate under the proposed exemption outside of Uganda's airspace, an indication whether the exemption would contravene any provision of the Standards and Recommended Practices of the International Civil Aviation Organization (ICAO) as well as the Regulations pertaining to the airspace in which the operation will occur.

(2) Where the applicant seeks expedient processing of the application, the application must contain supporting facts and reasons that the application was not filed in time, and the reasons why it should be processed expeditiously.

(3) The Authority may deny an application for exemption from the application of any of the provisions of these Regulations if the Authority finds that the applicant has not given sufficient reasons for the exemption within the period specified in Regulation 31(2).

Review, publication and issue or denial of the exemption.

34. Initial review by the Authority

(1) The Authority shall review the application for accuracy and compliance with the requirements of regulations 31 and 32.

(2) If the application appears on its face to satisfy the provisions of this regulation and the Authority determines that a review of its merits is justified, the Authority will publish a detailed summary of the application in the Government Gazette for comment and specify the date by which comments must be received by the Authority for consideration.

(3) Where the filing requirements of Regulations 31 and 32 have not been met, the Authority will notify the applicant and take no further action until and unless the applicant corrects the application and re-files it in

accordance with these Regulations.

(4) If the request is for emergency relief, the Authority shall publish the application or the Authority's decision as soon as possible after processing the application.

35. Evaluation of the request.

(1) Upon the initial review and if the filing requirements have been satisfied, the Authority shall conduct an evaluation of the request to determine whether-

- (a) an exemption would be in the public interest;
- (b) the applicant's proposal would provide a level of safety equivalent to that established by the regulation, although where the Authority decides that a technical evaluation of the request would impose a significant burden on the Authority's technical resources, the Authority may deny the exemption on that basis;
- (c) a grant of the exemption would contravene the applicable International Civil Aviation Organisation Standards and Recommended Practices; and
- (d) the request should be granted or denied, and of any conditions or limitations that should be part of the exemption.

(2) The Authority shall notify the applicant by letter and publish a detailed summary of its evaluation and decision to grant or deny the request.

(3) The summary referred to in sub-regulation (2) shall specify the duration of the exemption and any conditions or limitations of the exemption.

(4) If the exemption affects a significant population of the aviation community of Uganda the Authority shall publish the summary in the aeronautical information circular.

PART VI

GENERAL PROVISIONS

36. Possession of the licence

(1) A holder of a licence, certificate or authorisation issued by the Authority shall have that licence, certificate or authorisation in his physical possession or at the work site when exercising the privileges of the licence, certificate or authorisation.

(2) A flight crew of a foreign registered aircraft shall hold a valid licence, certificate or authorisation and have that licence, certificate or

authorisation in his physical possession or at the work site when exercising the privileges of the licence, certificate or authorisation.

37. Drug and alcohol testing and reporting.

(1) A person who performs any function requiring the Authority's approval may be tested for drug or alcohol usage.

(2) Where the Authority or any person authorised by the Authority wishes to test a person referred to in sub regulation (1) for the percentage by weight of alcohol in the blood, or for the presence of narcotic drugs, marijuana, or depressant or stimulant drugs or substances in the body, and that person:

- (i) refuses to submit to the test; or
- (ii) having submitted to the test, refuses to authorise the release of the test results, the Authority may suspend or revoke the certificate of the approved training organization (ATO) that employs that person.

(3) In determining whether to suspend or revoke the certificate of the ATO, the Authority shall consider all relevant factors, including:

- (a) whether the ATO had knowledge of the drug or alcohol use;
- (b) whether the ATO encouraged the person to refuse the drug or alcohol test;
- (c) whether the ATO dismissed the person who failed or refused the drug tests; or
- (d) the position that person held in the ATO.

(4) The Authority shall require the ATO to show cause why that person should not be dismissed from the employment of the ATO.

(5) A person who is convicted, whether in or outside the [State], for any offence relating to the growing, processing, manufacture, sale, disposition, possession, transportation, or importation of narcotic drugs, marijuana, or depressant or stimulant drugs or substances, shall be dismissed from the employment of the ATO.

(6) The Authority may suspend or revoke the certificate of an ATO that refuses to dismiss from its employment a person convicted under sub regulation (4)

38. Inspection of License certificates and authorisations

(1) A person who holds a License, certificate or authorisation required by these Regulations shall present it for inspection upon a request from the

Authority or any other person authorised by the Authority.

39. Change of Name

(1) A holder of a certificate or authorisation issued under these Regulations may apply to change the name on a certificate or authorisation.

(2) The holder shall include with any such request:

(a) the current certificate or authorisation; and

(b) a court order, or other legal document verifying the name change.

(3) The Authority may change the certificate or authorisation and

issue a replacement;

(4) The Authority shall return to the holder the original documents specified in sub-regulation 2(b) and retain copies thereof and return the replaced certificate or authorisation with the appropriate endorsement.

40. Change of Address

(1) A holder of a certificate or authorisation issued under these Regulations shall notify the Authority of the change in the physical and mailing address and shall do so in the case of:

(a) physical address, at least fourteen days in advance;

(b) mailing address upon the change.

(2) A person who fails to notify the Authority of the change of physical address within the time frame specified in sub-regulation (1) shall not exercise the privileges of the certificate or authorisation.

41. Replacement of documents

(1) A person may apply to the Authority in the prescribed form for replacement of documents issued under these Regulations if such documents are lost or destroyed.

42. Certificate Suspension and Revocations.

(1) The Authority may, where it considers it to be in the public interest, suspend provisionally, pending further investigation, any certificate, approval, exemption, authorisation or such other document issued, granted or having effect under these Regulations.

(2) The Authority may, upon the completion of an investigation which has shown sufficient ground to its satisfaction and where it considers it to be in the public interest, revoke, suspend, or vary any certificate, approval, exemption or such other document issued or granted under these Regulations.

(3) The Authority may, where it considers it to be in the public

interest, prevent any person or aircraft from flying.

(4) A holder or any person having the possession or custody of any certificate, approval, exemption or such other documents which has been revoked, suspended or varied under these Regulations shall surrender it to the Authority within 14 days from the date of revocation, suspension or variation.

(5) The breach of any condition subject to which any certificate, approval, exemption or any other document, has been granted or issued under these Regulations shall render the document invalid during the continuance of the breach.

43. Use and retention of certificates and records.

(1) A person shall not:

- (a) use any certificate, approval, exemption or such other document issued or required by or under these Regulations which has been forged, altered, revoked, or suspended, or to which he is not entitled; or
- (b) forge or alter any certificate, approval, exemption or such other document issued or required by or under these Regulations; or
- (c) lend any certificate, approval, exemption or such other document issued or required by or under these Regulations to such other person; or
- (d) make any false representation for the purpose of procuring for himself or any other person the grant issue renewal or variation of any such certificate, approval, or exemption or such other document.

(2) During the period for which it is required under these Regulations to be preserved, a person shall not mutilate, alter, render illegible or destroy any records, or any entry made therein, required by or under these Regulations to be maintained, or knowingly make, or procure or assist in the making of, any false entry in any such record, or wilfully omit to make a material entry in such record.

(3) All records required to be maintained by or under these Regulations shall be recorded in a permanent and indelible material.

(4) A person shall not purport to issue any certificate or exemption for the purpose of these Regulations unless he is competent, qualified and

authorised to do so under these Regulations.

(5) A person shall not issue any certificate of the kind referred to in sub-regulation (4) unless he has satisfied himself that all statements in the certificate are correct, and that the applicant is qualified to hold that certificate.

44. Record keeping.

(1) A holder of an approved training organization (ATO) certificate shall have a system to maintain and retain the following records for a minimum period of ten years from the date of completion of training:

- (a) details of training given to individual students;
- (b) detailed and regular progress reports from instructors including assessments, and regular progress tests and examinations;
- (c) trainee information, including, names, course, certificates held, expiry dates of medical certificates and if applicable, ratings.

(2) An ATO shall maintain a system for recording the qualifications and training of instructional and examining staff, where appropriate.

(3) Records of qualifications and training of instructors and examiners shall be retained for a minimum period of ten years after the instructor or examiner ceases to perform a function for the training organization.

(4) An ATO shall submit training records and report as required by the Authority.

(5) The format of the student training records shall be specified in the training manual.

45. Reports of violation.

(1) Any person who knows of a violation of the Civil Aviation Act, or any amendment under the Act, or any rule, regulation, or order issued there under, shall report the violation to the Authority.

(2) The Authority will determine the nature and type of any additional investigation or enforcement action that need be taken.

46. Enforcement of directives

(1) A person who fails to comply with any directives given to him by the Authority or by any authorised person these Regulations shall be deemed for the purposes of these Regulations to have contravened that provision.

47. Aeronautical user fees

(1) The Authority may notify the fees to be charged in connection with the issue, validation, renewal, extension or variation of any certificate, licence or other document, including the issue of a copy thereof, or the undergoing of any examination, test, inspection or investigation or the grant of any permission or approval, required by, or for the purpose of these Regulations any orders, notices or proclamations made thereunder.

(2) Upon an application being made in connection with which any fee is chargeable in accordance with the sub-regulation (1), the applicant shall be required, before the application is entertained, to pay the fee so chargeable.

(3) If, after payment has been made, the application is withdrawn by the applicant or otherwise ceases to have effect or is refused, the Authority shall not refund the payment made.

48. Application of regulations to Government and visiting forces, etc

(1) These Regulations shall apply to aircraft, not being military aircraft, belonging to or exclusively employed in the service of the Government, and for the purposes of such application, the department or other authority for the time being responsible for management of the aircraft shall be deemed to be the operator of the aircraft, and in the case of an aircraft belonging to the Government, to be the owner of the interest of the Government in the aircraft.

(2) Except as otherwise expressly provided, the naval, military and air force authorities and member of any visiting force and property held or used for the purpose of such a force shall be exempt from the provision of these regulations to the same extent as if the visiting force formed part of the military force of Uganda

49. Extra-territorial application of Regulations

(c) Except where the context otherwise requires, the provisions of these Regulations-

(a) in so far as they apply, whether by express reference or otherwise, to aircraft registered in Uganda, shall apply to such aircraft wherever they may be;

(b) in so far as they apply, whether by express reference or otherwise, to other aircraft, shall apply to such aircraft when they are within Uganda.

(c) in so far as they prohibit, require or regulate, whether by express

reference or otherwise, the doing of anything by any person in, or by any of the crew of, any aircraft registered in Uganda, shall apply to such persons and crew, wherever they may be; and (d) in so far as they prohibit, require or regulate, whether by express reference or otherwise, the doing of anything in relation to any aircraft registered in Uganda by other persons shall, where such persons are citizens of Uganda, apply to them wherever they may be.

PART VII

OFFENCES AND PENALTIES

50. Contravention of Regulations

(1) A person who contravenes any provision of these Regulations may have his certificate, approval, authorisation, exemption or such other document revoked or suspended.

51. Offences and Penalties

(1) A person who contravenes these Regulations, orders, notices or proclamations made thereunder in relation to an aircraft, the operator of that aircraft and the pilot-in-command, if the operator or, the pilot in command is not the person who contravened that provision he shall, without prejudice to the liability of any other person under these Regulations for that contravention, be deemed for the purposes of this Regulation to have contravened that provision unless he proves that the contravention occurred without his consent or connivance and that he exercised all due diligence to prevent the contravention.

(2) If it is proved that an act or omission of any person, which would otherwise have been a contravention by that person of a provision of these Regulations, orders, notices or proclamations made there under was due to any cause not avoidable by the exercise of reasonable care by that person, the act or omission shall be deemed not to be a contravention by that person of that provision.

(3) Where a person is charged with contravening a provision of these Regulations orders, notices or proclamations made thereunder by reason of

his having been a member of the flight crew of an aircraft on a flight for the purpose of commercial air transport operations, the flight shall be treated, without prejudice to the liability of any other person under these Regulations, as not having been for that purpose if he proves that he neither knew nor had reason to know that the flight was for that purpose.

(4) A person who contravenes any provision of these Regulations, orders, notices or proclamations made thereunder not being a provision referred to in sub-regulation (9) shall, upon conviction, be liable to a fine, and in the case of a continuing contravention, each day of the contravention shall constitute a separate offence.

(5) In case an aircraft is involved in a contravention and the contravention is by the owner or operator of the aircraft, the aircraft shall be subject to a lien for the penalty.

(6) Any aircraft subject to a lien for the purpose of sub-regulation (5) may be seized by and placed in the custody of the Authority;

(7) The aircraft shall be released from custody of the Authority upon-

(a) payment of the penalty or the amount agreed upon in compromise;

(b) deposit of a bond in such amount as the Authority may prescribe, conditioned upon payment of the penalty or the amount agreed upon in compromise;

(c) receiving an order of the court to that effect.

(8) The Authority and any person specifically authorised by name by him or any police officer not below the rank of inspector specifically authorised by name by the Minister, may compound offences under Part A of the Schedule to these Regulations by assessing the contravention and requiring the person reasonably suspected of having committed the offence to pay to the Authority a sum equivalent in Uganda shillings of one hundred United States dollars and three hundred United States dollars for provisions referred to in sub-part (i) and sub-part (ii) respectively in Part A of the Schedule to these Regulations.

(9) A person who contravenes any provision specified in Part B of the Schedule to these Regulations, commits an offence and is upon conviction, liable to a fine not less than the equivalent in Uganda Shillings of one

thousand United States Dollars or to imprisonment for a term of twelve months or to both.

Cap. 20 (10) Where any person is aggrieved by any order made under sub-regulation (8), he may, within twenty one days of such order being made, appeal against the order to a higher court and the provisions of Part X of the Criminal Procedure Act shall apply mutatis mutandis, to every such appeal as if it were an appeal against a sentence passed by a district court in the exercise of its original jurisdiction.

FIRST SCHEDULE

QUALITY SYSTEM

REGULATION 11(3)

Approved Training Organisation: Quality System

1. Interpretation

In this Schedule:

“quality” means the totality of features and characteristics of a product or service that bear on its ability to satisfy stated or implied needs.

“quality assurance” means all those planned and systematic actions necessary to provide adequate confidence that all training activities satisfy given requirements, including the ones specified by the ATO in relevant manuals.

“quality manual” means the document containing the relevant information pertaining to the ATO’s quality system and quality assurance programme.

“quality audit” means a systematic and independent examination to determine whether quality activities and related results comply with planned arrangements

and whether these arrangements are implemented effectively and are suitable to achieve objectives;

“small ATO” means an ATO with capacity to train a maximum of 50 students.

2. The quality system of an ATO for training for licences and ratings shall address the following elements-
 - (a) determination of the organization’s training policy and training and flight safety standards;
 - (b) determination and establishment of assignment of responsibility, resources, organization and operational processes, which will make allowance for policy and training and flight safety standards;
 - (c) follow up system to ensure that policy, training and flight safety standards are complied with;
 - (d) registration and documentation of deviations from policy, training and flight safety standards together with necessary analysis, evaluations and correction of such deviations;
 - (e) evaluation of experiences and trends concerning policy, training and flight safety standards;
 - (f) a strategic review of policies and procedures which measures the ATO’s current assumptions, objectives and plans by applying a relevance test matched to evolving trends in the industry or changes occurring within the ATO; and
 - (g) an employee training plan that instils and promotes best practices in quality management efforts.

3. **REQUIREMENTS FOR A QUALITY SYSTEM**

(1) Purpose of a Quality System

The implementation and employment of a Quality System will enable the ATO to monitor compliance with the relevant parts of the Procedures Manual and the Training Manual, and any other standards as established by the ATO or the Authority, to ensure safe and efficient training.

- (2) Quality Policy and Strategy
 - (a) The ATO shall describe how it formulates, deploys, and reviews its policies and strategies and turns them into plans and actions. A formal written Quality Policy Statement shall be established as a commitment by the Accountable Manager as to what the Quality System is intended to achieve. The Quality Policy shall reflect the achievement and continued compliance with relevant parts of the Procedures Manual and the Training Manual together with any additional standards specified by the ATO or the Authority.
 - (b) The Accountable Manager will have overall responsibility for the Quality System including the frequency, format and structure of the internal management evaluation activities.
- (3) Quality System
 - (a) The Quality System of the ATO shall ensure compliance with and the adequacy of training activities conducted.
 - (b) The ATO will specify the basic structure of the Quality System applicable to all training activities conducted.
 - (c) The Quality System will be structured according to the size of the ATO and the complexity of the training to be monitored.
- (4) Scope

A quality System will address the following:

 - (a) Leadership
 - (b) Policy and Strategy
 - (c) Processes
 - (d) The relevant provisions of Civil Aviation (Personnel Licensing) Regulations and these Regulations.
 - (e) Additional standards and training procedures as stated by the ATO
 - (f) The organizational structure of the ATO
 - (g) Responsibility for the development, establishment and management of the Quality System
 - (h) Documentation, including manuals, reports and records

- (i) Quality Assurance Programme
- (j) The required financial, material and human resources
- (k) Training requirements
- (l) Customer satisfaction

(5) Feedback System

The quality system will include a feedback system to ensure that corrective actions are both identified and promptly addressed. The feedback system also specifies who is required to rectify discrepancies and non-compliance in each particular case, and the procedure to be followed if corrective action is not completed within an appropriate timescale.

(6) Documentation

- (a) Relevant documentation includes the relevant part(s) of the Training and Procedures Manual, which may be included in a separate Quality Manual.
- (b) In addition, the relevant document also includes the following:
 - i. description of the ATO;
 - ii. quality policy and strategy;
 - iii. glossary;
 - iv. organizational risk profile;
 - v. risk management plan;
 - vi. coherence matrix;
 - vii. procedures and reporting system for corrective and preventive actions;
 - viii. specified training standards;
 - ix. assignment of duties and responsibilities in relation to the QA or QS; and
 - x. training procedures related to the QS to ensure regulatory compliance.

- (7) Quality Assurance Programme
- (a) The Quality Assurance Programme includes all planned and systematic actions necessary to provide confidence that all training are conducted in accordance with all applicable requirements, standards and procedures.
 - (b) The Quality Assurance Programme describes:
 - (i) Schedule of the monitoring process
 - (ii) Audit procedures
 - (iii) Reporting procedures
 - (iv) Follow-up and corrective action procedures
 - (v) Recording System
 - (vi) The training syllabus
 - (vii) Document control
 - (c) The Quality Assurance Programme of the ATO shall identify the persons within the ATO who have the experience, responsibility and authority to:
 - (i) Perform quality inspections and audits as part of ongoing Quality Assurance
 - (ii) Identify and record any concerns or findings, and the evidence necessary to substantiate such concerns or findings
 - (iii) Initiate or recommend solutions to concerns or findings through designated reporting channels
 - (iv) Verify the implementation of solutions within specific timescales
 - (v) Report directly to the Quality Manager.
- (8) Quality Inspections
- (a) The primary purpose of a quality inspection is to observe a particular event, action or document etc., in order to verify whether established training procedures and requirements are followed during the accomplishment of that event and whether the required standard is achieved.
 - (b) Typical subject areas for quality inspections are:

- (i) all training courses covered under these regulations
- (ii) Maintenance, if applicable
- (iii) Technical Standards
- (iv) Training Standards

(9) Audits

- (a) An audit is a systematic, and independent comparison of the way in which a training is being conducted against the way in which the published training procedures say it should be conducted.
- (b) Audits include at least the following quality procedures and processes:
 - (i) An explanation of the scope of the audit
 - (ii) Planning and preparation
 - (iii) Gathering and recording evidence
 - (iv) Analysis of the evidence
- (c) The various techniques that make up an effective audit are:
 - (i) Interviews or discussions with personnel
 - (ii) A review of published documents
 - (iii) The examination of an adequate sample of records
 - (iv) The witnessing of the activities which make up the training
 - (v) The preservation of documents and the recording of observations

(10) Auditors

- (a) The ATO must decide, depending on the complexity of the training, whether to make use of a dedicated audit team or a single auditor. In any event, the auditor or audit team shall have relevant training and/or operational experience.
- (b) The responsibilities of the auditors will be clearly defined in the relevant documentation.

(11) Auditor's independence

- (a) Auditors shall not have any day-to-day involvement in the area of the operation or maintenance activity which is to be audited. An ATO may, in addition to using the services of full-time dedicated personnel belonging to a separate quality department, undertake the monitoring of specific areas or activities by the use of part-time auditors.
- (b) An ATO whose structure and size does not justify the establishment of full-time auditors may undertake the audit function by the use of part-time personnel from within its own organization or from an external source under the terms of an agreement acceptable to the Authority.
- (c) In all cases the ATO will develop suitable procedures to ensure that persons directly responsible for the activities to be audited are not selected as part of the auditing team. Where external auditors are used, it is essential that any external specialist is familiar with the type of training conducted by the ATO.

(12) Audit Scope

ATOs are required to monitor compliance with the Training and Procedures Manuals they have designed to ensure safe and efficient training. In doing so they should as a minimum, and where appropriate, monitor:

- (a) Organization
- (b) Plans and objectives
- (c) Training Procedures
- (d) Flight Safety
- (e) Manuals, Logs and Records
- (f) Flight and Duty Time limitations
- (g) Rest requirements and scheduling
- (h) Aircraft Maintenance and operations interface
- (i) Maintenance programmes and continued airworthiness
- (j) Maintenance accomplishment

(13) Audit Scheduling

- (a) A Quality Assurance Programme will include a defined audit schedule and a periodic review cycle. The schedule may be flexible, and allow unscheduled audits when negative trends are identified. Follow-up audits will be scheduled when necessary to verify that corrective action was carried out and that it was effective.
 - (b) An ATO will establish a schedule of audits to be completed during a specific calendar period. All aspects of the training are to be reviewed within a period of 12 months in accordance with the programme unless an extension to the audit period is accepted as explained below.
 - (c) An ATO may increase the frequency of their audits at their discretion but should not decrease the frequency without the acceptance of the Authority. It is considered unlikely that a period of greater than 24 months would be acceptable for any audit topic.
 - (d) When an ATO defines the audit schedule, significant changes to the management, organization, training, or technologies must be considered, as well as changes to the regulatory requirements.
- (14) Monitoring and corrective action
- (a) The primary aim of monitoring within the Quality System is to investigate and judge its effectiveness, thereby ensuring that defined policies and training standards are complied with continuously. Monitoring activity is based upon quality inspections, audits, corrective action and follow-up. The ATO shall establish and publish a quality procedure to monitor regulatory compliance on a continuing basis. The objective of this monitoring activity is eliminating the causes of unsatisfactory performance.
 - (b) Any non-compliance identified shall be communicated to the manager responsible for taking corrective action or, if appropriate, the Accountable Manager. Such non-compliance shall be documented to support further investigation, to determine the cause, and to enable the development of recommendations of appropriate corrective actions.

(c) The Quality Assurance Programme shall include procedures to ensure that corrective and preventive actions are developed in response to findings. These quality procedures will allow for the monitoring of corrective actions to verify their effectiveness and that they have been completed. Organizational responsibility and accountability for the implementation of corrective action resides with the department finding was identified. The Accountable Manager will have the ultimate responsibility for ensuring, through the Quality Manager(s), that corrective action has re-established compliance with the standard required by the Authority and any additional requirements established by the ATO.

(15) Corrective action

- (a) Subsequent to the quality inspection or audit, the ATO will determine:
- (i) The seriousness of any findings and any need for immediate corrective action
 - (ii) The origin of the finding
 - (iii) What corrective actions are required to ensure that the non-compliance does not recur
 - (iv) A schedule for corrective action
 - (v) The identification of individuals or departments responsible for implementing corrective action
 - (vi) Allocation of resources by the Accountable Manager, where appropriate
- (b) The Quality Manager will:
- (i) Verify that corrective action is taken by the manager responsible in response to any finding of non-compliance
 - (ii) Verify that corrective action includes the elements outlined in paragraph (14) above
 - (iii) Monitor the implementation and completion of corrective action

- (iv) Provide management with an independent assessment of corrective action, implementation and completion
- (v) Evaluate the effectiveness of corrective action through the follow-up process

(16) Management Evaluation

- (a) A management evaluation is a comprehensive, systematic documented review by the management of the quality system, training policies, and procedures.
- (b) A management evaluation considers the results of quality inspections, audits and any other relevant indicators, as well as the overall effectiveness of the management organization in achieving stated objectives. A management evaluation also identifies and corrects trends, and prevents, where possible, future non-conformities. Conclusions and recommendations made as a result of an evaluation should be submitted in writing to the responsible manager for action.
- (c) The responsible manager is an individual who has the authority to resolve issues and take action. The Accountable Manager will decide upon the frequency, format, and structure of internal management evaluation activities.

(17) Recording

- (a) Accurate, complete and readily accessible records documenting the result of the Quality Assurance Programme shall be maintained by the ATO. Records are essential to enabling an ATO to analyze and determine the root causes of non-conformity, so that areas of non-compliance can be identified and subsequently addressed.
- (b) The following records shall be retained for a period of 5 years:
 - (i) Audit schedules

- (ii) Quality inspection and audit reports
- (iii) Responses to findings
- (iv) Corrective action reports
- (v) Follow-up and closure reports
- (vi) Management evaluation reports

(18) Quality Assurance Responsibility for Satellite ATOs

The ATO will ensure that the satellite ATO has the necessary authorisations or approvals, and commands the necessary resources and competence to undertake the tasks. If the ATO requires the satellite ATO to conduct activity which exceeds the satellite ATO's authorisation or approval, the ATO is responsible for ensuring that the satellite ATO's quality assurance takes account of such additional requirements.

(19) Quality System Training

- (a) Correct and thorough training is essential to optimise quality in every organization. In order to achieve significant outcomes of such training the ATO will ensure that its staff understands the objectives as laid down in the Quality Manual.
- (b) Those responsible for managing the Quality System are to receive training covering:
 - (i) An introduction to the concept of Quality System
 - (ii) Quality management
 - (iii) Concept of Quality Assurance
 - (iv) Quality manuals
 - (v) Audit techniques
 - (vi) Reporting and recording
 - (vii) The way in which the Quality System will function in the ATO
- (c) Time must be provided to train every individual involved in quality management and for briefing the remainder of the employees. The

allocation of time and resources is to be governed by the size and complexity of the operation concerned.

(d) Sources of Training

Quality management courses are available from the various national or international institutions, and an ATO may consider whether to rely on such institutions in training those personnel likely to be involved in the management of Quality Systems. Organizations with sufficient, appropriately-qualified staff may consider whether to carry out in-house training.

(20) Quality Systems for small ATO.

- (a) The requirement to establish and document a Quality System, and to employ a Quality Manager applies to all ATOs.
- (b) Complex quality systems may be inappropriate for small ATOs and the clerical effort required to draw up manuals and quality procedures for a complex system may stretch their resources. An ATO will tailor its quality system to suit the size and complexity of its training and allocate resources accordingly.
- (c) Small ATOs may develop a Quality Assurance Programme that employs a checklist. The checklist must have a supporting schedule that requires completion of all checklist items within a specified timescale, together with a statement acknowledging completion of a periodic review by top management. An occasional independent overview of the checklist contents and achievement of the Quality Assurance should be undertaken.
- (d) The small ATO may decide to use internal or external auditors or a combination of the two. In these circumstances, external specialists and or qualified organizations may perform the quality audits on behalf of the Quality Manager.

- (e) If the independent quality audit function is conducted by external auditors, the audit schedule will be described in the relevant documentation.
- (f) Whatever arrangements are made, the main ATO retains the ultimate responsibility for the quality system and especially the completion and follow-up of corrective actions.

SECOND SCHEDULE

ATO TRAINING MANUAL AND PROCEDURES MANUAL CONTENTS

Regulation 15(1)

1. General

The Training Manual for approved training courses shall include the following:

1. Preamble relating to the use and applicability of the manual
2. Table of contents.
3. Amendment, revision and distribution of the manual:
 - a) procedures for amendment;
 - b) record of amendments page;
 - c) distribution list; and
 - d) list of effective pages.
4. Glossary of definitions and significant terms, including a list of acronyms and/or abbreviations.
5. Description of the structure and layout of the manual, including:
 - a) the various parts and sections, as well as their contents and use; and
 - b) the numbering system for headings and paragraphs.

6. Description of the scope of training authorized under the ATO's terms of approval.
7. Organization (chart of the ATO's management organization)
8. Corporate Commitment statement

2. Pilot Training

The Training Manual for use at an ATO conducting approved training courses for pilots shall include the following:

(a) The Training Plan:

(i) Aim of the course:

A statement of what the student is expected to do as a result of the training, the level of performance, and the training constraints to be observed;

(ii) Pre-entry requirements:

Minimum age, educational requirements including language, and medical requirements;

(iii) Credits for previous experience:

To be obtained from the Authority before training begins;

(iv) Training Curricula:

The single engine flying curriculum, the multi-engine flying curriculum, the synthetic flight training curriculum and the theoretical knowledge training curriculum;

(v) The time scale and scale in weeks:

For each curriculum: arrangements of the course and the integration of curricula time;

(vi) Training program:

The general arrangements of daily and weekly programs for flying, ground and synthetic flight training; bad weather constraints; program constraints in terms of maximum student training times (flying, theoretical knowledge, synthetic) e.g. per day or week or month; restrictions in respect of duty periods for students; duration of dual and solo flights at various stages; maximum flying hours in any day or night; maximum number of training

flights in any day or night and minimum rest period between duty period for students;

(vii) Training records:

Rules for security of records and documents; attendance records; the form of training records to be kept; persons responsible for checking records and students' log books; the nature and frequency of records' checks; standardisation of entries in training records and rules concerning log book entries;

(viii) Safety training:

Individual responsibilities; essential exercises; frequency of emergency drills; frequency of dual checks at various stages and requirement before first solo day or night or navigation;

(ix) Checks and tests:

- Flying: Progress checks and skill tests.
- Knowledge: Progress tests and knowledge tests.
- Authorization for test.
- Rules concerning refresher training before retest.
- Test reports and records.
- Procedures for test paper preparation, type of question and assessment, standard required for 'Pass'.
- Procedure for question analysis and review and for raising replacement papers.
- Test resit procedures.

(x) Training effectiveness:

Individual responsibilities; General Assessment; liaison between departments; Identification of unsatisfactory progress individual students; actions to correct unsatisfactory progress; procedure for changing instructors; maximum number of instructor changes per student; internal feedback system for

detecting training deficiencies; procedure for suspending a student from training; discipline and reporting and documentation;

(xi) Standards and level of performance at various stages:

- Individual responsibilities.
- Standardization - Standardization requirements and procedures.
- Application of test criteria.

(b) Briefing and air exercises:

(i) Air exercise:

A detailed statement of the content specification of all the air exercises to be taught, arranged in the sequence to be flown with main and sub-titles;

(ii) Air exercise reference list:

An abbreviated list of the exercises referred to paragraph (i) giving only main and sub-titles for quick reference, and preferably in flip-card form to facilitate daily use by instructors;

(iii) Course structure – Phase of training:

A statement of how the course will be divided into phases, indication of how the air exercises referred to in paragraph (ii) will be divided between the phases and how they will be arranged to ensure that they are completed in the most suitable learning sequence and that essential emergency exercises are repeated at the correct frequency. Also, the curriculum hours for each phase and for groups of exercises within each phase shall be stated and when progress tests are to be conducted, etc.

(iv) Course structure integration of curricula:

The manner in which theoretical knowledge, synthetic flight training and flying training will be integrated so that as the flying training exercises are carried out students will be able to apply the knowledge gained from the associated theoretical knowledge instruction and synthetic flight training;

(v) Student progress:

The requirement for student progress including a brief but specific statement of what a student is expected to be able to do and the standard of proficiency the student must achieve before progressing from one phase of air exercise training to the next. Include minimum experience requirements in terms of hours, satisfactory exercise completion, as necessary before significant exercises, such as night flying;

(vi) Instructional methods:

The requirements, particularly in respect of pre and post-flying briefing, adherence to curricula and training specifications and authorisation of solo flights;

(vii) Progress tests:

The instructions given to examining staff in respect of the conduct and document of all progress tests;

(viii) Glossary of terms:

Definition of significant terms as necessary;

(ix) Appendices:

Samples of:

- Progress report forms,
- progress test report forms,
- skill test report forms,
- certificates of experience,
- competence, etc. as required, issued by an ATO.

(c) Synthetic flight training: Structure generally as in (b).

(d) Knowledge instruction: Structure generally as in (b) with a training specification and objectives for each subject. Individual lesson plans to include mention of the specific training aids available for use.

3. Training Other than Pilot Training

The Training Manual for use by an ATO conducting approved training courses other than pilots training shall include the following:

- (a) The Course Plan:
 - (i) The objectives and learning outcomes;
 - (ii) Pre-entry requirements:
 - Minimum age,
 - educational requirements including language, and
 - medical requirements as applicable for training sought.
 - (iii) A list showing each subject and the topics covered in the subject;
 - (iv) A description of the examination or assessment methods and the examination or assessment criteria;
 - (v) A description of:
 - (a) methods in which training is conducted (for example: lecture, computer-based training, simulators or practical training); and
 - (b) available equipment and data necessary for training.
 - (vi) A description of the facilities including classroom, laboratory and workshop necessary to deliver the training;
 - (vii) A list showing the prerequisites, if any, for each subject;
 - (viii) Credit for previous knowledge, experience or other qualifications, proof of which should be obtained from the Authority before the training commences;
 - (ix) A statement showing the number of hours of training that are necessary for each topic and for the whole course.
 - (x) The minimum and maximum student attendance requirements for each subject and description of the way in which students' attendance is checked and recorded
 - (xi) For each subject, a copy of:
 - (a) the course notes that are to be given to student; and
 - (b) any examination paper or examinations question bank that is to be used
 - (xii) A list showing the units of competency that must be completed for each course

- (b) Training
 - (i) Organisation of courses and course schedules
 - (ii) Preparation of course material
 - (iii) Preparation of classroom equipment
 - (iv) Preparation of workshops, simulation media and equipment
 - (v) Method of conducting knowledge and practical training
 - (vi) Retention of Records of Training conducted
 - (vii) Rules for security of records and documents; attendance records; the form of training records to be kept; persons responsible for checking records and students' log books; the nature and frequency of records' checks; and standardisation of entries in training records;
 - (viii) Use of locations other than location for which the ATO is approved
 - (ix) Conduct of basic practical training

- (c) Examinations
 - (i) Organisation and conduct of Examinations
 - (ii) Security of Examination Materials
 - (iii) Preparation of Examination Rooms
 - (iv) Marking and record of Examinations
 - (v) Storage and retention of Examination records
 - (vi) Examination or assessment at satellite locations
 - (vii) Preparation, Control and Issue of training course records
 - (viii) Storage of course material and equipment
 - (ix) Prevention, Investigation and reporting of examination or assessment misconduct.

- (d) Certification
 - (i) course transcript;
 - (ii) certificates

The Procedures Manual for approved training courses shall include the following:

1. General

- (i) a list and description of all volumes in the procedure manual.
- (ii) a list of effective pages and revision pages
- (iii) corporate Commitment statement
- (iv) a list of Management Staff.
- (v) responsibilities and qualifications of Management staff.
- (vi) organisation Chart
- (vii) description of facilities, equipment and data
- (viii) type of approved training courses and the capability lists
- (ix) amendment of Procedures Manual and Training Manual
- (x) number of instructors
- (xi) notification Procedure to Authority

2. Pilot Training

- (i) a list and description of all volumes in the Procedures Manual;
- (ii) administration (function and management);
- (iii) schedules of responsibilities for all management and administrative staff;
- (iv) student discipline and disciplinary action.
- (v) approval/authorization of flights;
- (vi) preparation of flying programme (restriction of numbers of aircraft in poor weather);
- (vii) control of training aircraft;
- (viii) responsibilities of pilot-in-command;
- (ix) carriage of passengers;
- (x) aircraft documentation;
- (xii) retention of documents;
- (xiii) flight crew qualification records,
- (xiv) renewal of licences and medical certificates;

- (xv) flying duty period and flight time limitations for flying instructors;
 - (xvi) flying duty period and flight time limitations for students;
 - (xvii) rest periods for flying instructors;
 - (xviii) rest periods for students;
 - (xix) pilots' log books;
 - (xx) flight planning; and
 - (xxi) safety covering general: equipment, radio listening watch, hazards, accidents and incidents (including reports) and safety pilots.
- (b) Technical
- (i) aircraft descriptive notes;
 - (ii) aircraft handling (including checklists, limitations, aircraft maintenance and technical logs, in accordance with relevant requirements, etc.);
 - (iii) emergency procedures;
 - (iv) radio and radio navigation aids;
 - (v) Minimum equipment list (MEL); and
 - (vi) Configuration deviation list (CDL).
- (c) Route
- (i) performance (legislation, take-off, route, landing, etc.);
 - (ii) flight planning (fuel, oil, minimum safe altitude, navigation equipment, etc.);
 - (iii) loading (loadsheets, mass, balance, limitations);
 - (iv) weather minima (flying instructors);
 - (v) weather minima (students: at various stages of training); and
 - (vi) training routes/areas.
- (d) Staff training
- (i) appointments of persons responsible for standards or competence of flying staff;
 - (ii) initial training;
 - (iii) refresher training;
 - (iv) standardization training;
 - (v) proficiency checks;

- (vi) upgrading training; and
 - (vii) Staff standards evaluation.
- (e) Quality Management System
- (i) the procedure for quality control of training;
 - (ii) the procedures used to audit examination and competency assessment system;
 - (iii) the procedures used to analyse the results of any examination or assessment;
 - (iv) the procedures used to rectify deficiencies identified by analysis in (iii);
 - (v) the procedure used for conducting periodic reviews including information on review timetable;
 - (vi) the procedure for maintenance of instructors' skills and qualifications;
 - (vii) the procedure used for recording instructors' qualification;
 - (viii) the procedures to assess compliance and adequacy of the procedures.

3. Training other than Pilot Training

- (a) Training and Examination Procedures
- (i) courses organization procedures;
 - (ii) the procedures used to develop or acquire documents for Training and Examinations;
 - (iii) the procedures used to prepare and use of equipment for theory and basic practical training;
 - (iv) the procedures for conducting knowledge and practical training;
 - (v) training record storage and retention procedures;
 - (vi) procedures for conducting examinations and practical skill assessments;
 - (vii) procedures for marking of examinations and recording the results;
 - (viii) procedures for storage of examination records;
 - (ix) the procedures for storage of course material and equipment used for instruction;

- (x) procedures to prevent, investigate and report to Authority any examination or assessment misconduct.
- (b) Quality Management System
- (i) the procedure for quality control of training;
 - (ii) the procedures used to audit examination and competency assessment system;
 - (iii) the procedures used to analyse the results of any examination or assessment;
 - (iv) the procedures used to rectify deficiencies identified by analysis in (iii);
 - (v) the procedure used for conducting periodic reviews including information on review timetable;
 - (vi) the procedure for maintenance of instructors' skills and qualifications;
 - (vii) the procedure used for recording instructors' qualification;
 - (viii) the procedures to assess compliance and adequacy of the procedures.
- (c) Appendices
- (i) samples of documents and forms used;
 - (ii) syllabus of each training course;
- (d) Staff training
- i. Identification of persons or positions responsible for the maintenance of the standards and performance criteria of the training, and for ensuring the competency of personnel;
 - ii. Details of the procedures to validate the qualifications and determine the competency of instructional personnel;
 - iii. Details of the initial and recurrent training programmes for all personnel, including awareness training with respect to their responsibilities within the ATO's system governance processes; and
 - iv. Procedures for proficiency checks and upgrade training.

THIRD SCHEDULE

ATO MANAGEMENT PERSONNEL RESPONSIBILITIES AND QUALIFICATIONS
Regulation 19(4) and 26(3)

PART A: GENERAL- FOR ALL APPROVED TRAINING ORGANISATIONS

1. Accountable Manager

(1) The Accountable Manager:

- (a) Is the Chief Executive and corporate authority for ensuring that all training commitments are financed and carried out to the standard required by the Authority and any additional requirements defined by the aviation training organisation; and

- (b) May delegate in writing to another person within the organization, the day-to-day management but not the overall approval management responsibility.
- (2) The Accountable Manager shall possess the following qualifications:
 - (a) A background in the management of training organizations
 - (b) Knowledge of the Civil Aviation (Approved Training Organization) Regulations and the regulations and other materials published by the Authority that are applicable to the courses taught by the ATO; and
 - (c) A thorough understanding of the organization and training program of the ATO.

2. Quality Manager

- (a) The Quality Manager shall:

have the primary role to verify, by monitoring activities in the field of training, that the standards required by the Authority, and any additional requirements as established by the ATO are being carried out properly;

be responsible for ensuring that the Quality Assurance Programme is properly implemented, maintained and continuously reviewed and improved;

have direct access to all parts of the ATO's organization; and

in the case of small ATO's, the posts of the Safety Manager and the Quality manager may be combined.

- (b) in the case that the posts of the Safety Manager and the Quality Manager are combined the quality audits shall be conducted by independent personnel.

- (c) The minimum qualifications for Quality Manager are:

- (i) A technically qualified person in at least one field of the training to be conducted;

- (ii) At least three years' experience the training to be conducted;

- (iii) Must have successfully completed a training in quality management recognized by the Authority

3. Safety Manager

- (a) The following are minimum qualifications for Safety Manager:

- (i) Must have undergone safety Management system Course or equivalent course recognised by the Authority;
- (ii) Proven knowledge and experience in the aviation environment or hold or have held an aviation licence;
- (iii) Of sound knowledge of safety management principles and practices; and
- (iv) must have good interpersonal and communication skills, be technically competent and a person of integrity, be impartial in carrying out tasks, be tactful, have good understanding of human nature and possess the ability to get along with other people.

(b) The scope of the safety manager's duties should include safety planning, safety programme implementation and the operation of the SMS.

(c) The safety manager, like the quality manager, should report directly to the head of training.

PART B - FLIGHT CREW TRAINING

1. Head of Training

The Head of Training shall have:

- (a) overall responsibility for ensuring satisfactory integration of flying training, synthetic flight training and theoretical knowledge instruction and for supervising the progress of individual students; and
- (b) had extensive experience in training as a flight instructor for professional pilot licences and possess a sound managerial capability.
- (c) must have good interpersonal and communication skills, be technically competent and a person of integrity, be impartial in carrying out tasks, be tactful, have good understanding of human nature and possess the ability to get along with other people.

2. Chief Flight Instructor

The Chief Flight Instructor shall:

- (a) be responsible for the supervision of flight and synthetic flight instructors and for the standardisation of all flight instruction and synthetic flight instruction;
- (b) hold the highest professional pilot licence related to the flying training courses conducted;
- (c) hold the rating(s) related to the flying training courses conducted;
- (d) hold a flight instructor rating for at least one of the types of aircraft used on the course; and
- (e) must have good interpersonal and communication skills, be technically competent and a person of integrity, be impartial in carrying out tasks, be tactful, have good understanding of human nature and possess the ability to get along with other people.

3. Flight and Synthetic Flight Instructors

(a) A Flight instructor shall hold:

- (i) a professional pilot licence and ratings related to the flying training courses conducted;
- (ii) a flight instructor rating on the types of aircraft used on the course; and
- (iii) an instrument rating instructor endorsement if he is to conduct instrument rating training.

(b) A Synthetic flight instructor shall:

- (i) be a holder or have held a professional pilot licence; and
- (ii) possess an authorization from the Authority.

4. Chief Ground Instructor

The Chief Ground Instructor shall:

- (a) be responsible for the supervision of ground instructors and for the standardisation of all ground instruction;
- (b) hold a Ground Instructor's licence in the field he is to give instructions; or
- (c) Hold an Instructor authorization from the Authority; and
- (d) must have good interpersonal and communication skills, be technically competent and a person of integrity, be impartial in carrying out tasks, be tactful, have good understanding of human nature and possess the ability to get along with other people.

5. Ground instructors

A Ground Instructor shall:

- (a) hold a Ground Instructor's licence in the field he is to give instructions; or
- (b) hold an Instructor authorization from the Authority; and
- (c) have good interpersonal and communication skills;

6. Flight engineer Instructors

A Flight Engineer Instructor shall:

- (a) hold a flight engineer licence and ratings related to the training courses to be conducted;
- (b) hold an instructor's endorsement in his licence from the Authority on the course to be conducted; and
- (c) hold an authorization in accordance with the Civil Aviation (Personnel Licensing) Regulations if he is to conduct training in synthetic flight trainer.

PART C - AIRCRAFT MAINTENANCE ENGINEERING TRAINING

1. Head of Training

The Head of Training shall have:

- (a) overall responsibility for ensuring satisfactory integration of engineering training, that includes practical and theoretical knowledge instruction and for supervising the progress of individual students;

- (b) have or had a AME licence and extensive experience in training aircraft maintenance engineers and possess a sound managerial capability; and
- (c) good interpersonal and communication skills, be technically competent and a person of integrity, be impartial in carrying out tasks, be tactful, have good understanding of human nature and posses the ability to get along with other people.

2. Chief Aircraft Maintenance Engineering Instructor

The Chief Aircraft Maintenance Engineering Instructor shall:

- (a) be responsible for the supervision of instructions and for the standardisation of all engineering instructions and shall
- (b) hold an AME licence with ratings related to the courses to be conducted;
- (c) have an Instructor endorsement in his AME licence; or
- (d) hold an instructor authorization from the Authority on the courses to be conducted;
- (c) have good interpersonal and communication skills, be technically competent and a person of integrity, be impartial in carrying out tasks, be tactful, have good understanding of human nature and posses the ability to get along with other people; and
- (b) have received training in the teaching and instructional techniques.

3. Aircraft Maintenance Engineering Instructor

An Aircraft Maintenance Engineering Instructor shall:

- (a) hold an AME licence with ratings related to the courses to be conducted and
- (b) have received training in the teaching and instructional techniques;
- (c) hold an instructor's endorsement in his licence from the Authority on the course to be conducted; or
- (d) hold an instructor authorization from the Authority on the courses to be conducted;

PART D - AIR TRAFFIC CONTROL TRAINING

1. Head of Training

The Head of Training shall have:

- (a) overall responsibility for ensuring satisfactory integration of ATS training in both, theoretical and simulator training, and for supervising the progress of individual students;
- (b) had extensive experience in training techniques and managerial capability; and
- (c) must have good interpersonal and communication skills, be technically competent and a person of integrity, be impartial in carrying out tasks, be tactful, have good understanding of human nature and possess the ability to get along with other people.

2. Air Traffic Control Chief Instructor

The Air Traffic Control Chief Instructor shall:

- (a) have had extensive experience in training techniques in the field of air traffic control;
- (b) be responsible for the supervision of the instructors and for the standardisation of all theoretical and simulator instructions;
- (c) hold all the air traffic control ratings related to the ATC courses conducted;
- (d) must have good interpersonal and communication skills, be technically competent and a person of integrity, be impartial in carrying out tasks, be tactful, have good understanding of human nature and possess the ability to get along with other people; and
- (e) have received training in the teaching and instructional techniques.

3. Air Traffic Control Instructor

An Air Traffic Control Instructor shall:

- (a) hold an air traffic control licence with ratings related to the ATC courses to be conducted;
- (b) have received training in the teaching and instructional techniques; and
- (c) hold an instructor authorization from the Authority on the courses to be conducted;

PART E - FLIGHT OPERATIONS OFFICER (FOO) TRAINING

1. Head of Training

The Head of Training shall have:

- (a) overall responsibility for ensuring satisfactory integration of Flight Operations training in both, theoretical and practical training, and for supervising the progress of individual students; and
- (b) had extensive experience in training techniques and managerial capability; and
- (c) must have good interpersonal and communication skills, be technically competent and a person of integrity, be impartial in carrying out tasks, be tactful, have good understanding of human nature and possess the ability to get along with other people.

2. Flight Operations Chief Instructor,

The Chief Instructor shall:

- (a) hold or held a Flight Operations Officer's Licence;
- (b) hold an instructor's endorsement in his licence from the Authority on the course to be conducted; or
- (c) hold an instructor authorization from the Authority on the courses to be conducted;
- (d) possess experience and training requirements for Flight Operations Officer licence as prescribed in the Civil Aviation (Personnel Licensing) Regulations;
- (e) be responsible for the supervision of all instructors and for the standardisation of all the instruction;
- (f) have good interpersonal and communication skills, be technically competent and a person of integrity, be impartial in carrying out tasks, be tactful, have good understanding of human nature and possess the ability to get along with other people; and
- (g) have received training in the teaching and instructional techniques.

3. Flight Operations Instructor

The Flight operations Instructor shall:

- (a) hold a Flight Operations Officer's Licence;

- (b) hold an instructor's endorsement in his licence from the Authority on the course to be conducted;
- (c) hold an instructor authorization from the Authority on the courses to be conducted;
- (d) possess experience and training requirements for Flight Operations Officer licence as prescribed in the Civil Aviation (Personnel Licensing) Regulations.

FOURTH SCHEDULE

Regulation 50 and 51

OFFENCES AND PENALTIES

Regulation Number	Regulation Title	Penalties
4(1),(2)	Requirements for an ATO Certificate	A(ii)
11	Certificate holder responsibilities	A(ii)
12	Quality systems for an ATO	A(ii)
24(3)	Training facilities	B
28(3)	Training facilities equipment and material for aircraft maintenance engineer course	B
29(3)	Training facilities, equipment and material for air traffic controllers or flight radio telephony operator.	B
31(3)	Training facilities, equipment and material	B

	for flight operations officers or cabin crewmembers.	
31	Advertising limitations	B
38	Inspection of licences and certificates.	A(ii)
43(1), (2), (4), (5)	Use and retention of certificates and records.	B
46	Enforcement of directives	A

Cross references:

The Civil Aviation (Personnel Licensing) Regulations as amended, S.I No..... of 2019

The Civil Aviation (Approved Maintenance Organisations) Regulations as amended S.I. No.of 2019

The Civil Aviation (Operation of Aircraft) Regulations as amended , S.I. No. ... of 2019.